

RANDALL S. LUSKEY (SBN: 240915)
rluskey@paulweiss.com

**PAUL, WEISS, RIFKIND, WHARTON
& GARRISON LLP**

535 Mission Street, 24th Floor
San Francisco, CA 94105
Telephone: (628) 432-5100
Facsimile: (628) 232-3101

ROBERT ATKINS (*Pro Hac Vice* admitted)
ratkins@paulweiss.com

JACQUELINE P. RUBIN (*Pro Hac Vice* admitted)
jrubin@paulweiss.com

CAITLIN E. GRUSAUSKAS (*Pro Hac Vice* admitted)
cgrusauskas@paulweiss.com

ANDREA M. KELLER (*Pro Hac Vice* admitted)
akeller@paulweiss.com

**PAUL, WEISS, RIFKIND, WHARTON
& GARRISON LLP**

1285 Avenue of the Americas
New York, NY 10019
Telephone: (212) 373-3000
Facsimile: (212) 757-3990

3 *Attorneys for Defendants*
4 UBER TECHNOLOGIES, INC.,
RASIER, LLC, and RASIER-CA, LLC

5 | *[Additional Counsel Listed on Following Page]*

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION**

20 IN RE: UBER TECHNOLOGIES, INC.,
21 PASSENGER SEXUAL ASSAULT
LITIGATION

Case No. 3:23-md-03084-CRB

This Document Relates to:

**DECLARATION OF ROBERT ATKINS
IN SUPPORT OF DEFENDANTS UBER
TECHNOLOGIES, INC., RASIER, LLC,
AND RASIER-CA, LLC'S MOTION TO
DISMISS PLAINTIFFS' MASTER LONG-
FORM COMPLAINT PURSUANT TO NEW
YORK LAW**

24 | *A.H.M. v. Uber Technologies, Inc., et al.,*
3:23-cv-03482-CRB

Judge: Honorable Charles R. Breyer
Date: TBD
Time: TBD
Courtroom: 6 – 17th Floor

27 3.25 ev 04501 CRB

28 ATKINS DECLARATION IN SUPPORT OF DEFENDANTS
MOTION TO DISMISS PURSUANT TO NEW YORK LAW

Case No. 3:23-md-03084-CRB

1 *Jane Doe LS 231 v. Uber Technologies,
2 Inc., et al., 3:23-cv-04367-CRB*

3 *Jane Doe LS 155 v. Uber Technologies,
4 Inc., et al., 3:23-cv-04374-CRB*

5 *A.I. v. Uber Technologies, Inc., et al.,
6 3:23-cv-04740-CRB*

7 *E.E. v. Uber Technologies, Inc., et al.,
8 3:23-cv-04744-CRB*

9 *Jane Doe LS 66 v. Uber Technologies,
10 Inc., et al., 3:23-cv-05414-CRB*

11 *L.E. v. Uber Technologies, Inc., et al.,
12 3:23-cv-05567-CRB*

13 *Jane Doe LS 302 v. Uber Technologies,
14 Inc., et al., 3:23-cv-05577-CRB*

15 *S.E. v. Uber Technologies, Inc., et al.,
16 3:23-cv-05869-CRB*

17 *Moore v. Uber Technologies, Inc., et al.,
18 3:24-cv-00482-CRB*

19 *Gacovino v. Uber Technologies, Inc., et
20 al., 3:24-cv-00501-CRB*

21 *Moye v. Uber Technologies, Inc., et al.,
22 3:24-cv-00695-CRB*

23 *M.C. v. Uber Technologies, Inc., et al.,
24 3:24-cv-01347-CRB*

25 *B.C. v. Uber Technologies, Inc., et al.,
26 3:24-cv-01917-CRB*

27 *S.M. v. Uber Technologies, Inc., et al.,
28 3:24-cv-01920-CRB*

1 KYLE N. SMITH (*Pro Hac Vice* admitted)
2 ksmith@paulweiss.com
3 JESSICA E. PHILLIPS (*Pro Hac Vice* admitted)
4 jphillips@paulweiss.com
5 **PAUL, WEISS, RIFKIND, WHARTON**
6 **& GARRISON LLP**
7 2001 K Street, NW
8 Washington DC, 20006
9 Telephone: (202) 223-7300
10 Facsimile: (202) 223-7420

11 *Attorney for Defendants*
12 UBER TECHNOLOGIES, INC.,
13 RASIER, LLC, and RASIER-CA, LLC
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DECLARATION OF ROBERT ATKINS

I, Robert Atkins, declare pursuant to 28 U.S.C. § 1746:

1. I am an attorney at the law firm of Paul, Weiss, Rifkind, Wharton & Garrison LLP, attorneys of record for Defendants Uber Technologies, Inc., Rasier, LLC, and Rasier-CA, LLC, (collectively, “Uber”). I respectfully submit this declaration in support of Uber’s Motion to Dismiss Plaintiffs’ Master Long-Form Complaint Pursuant to New York Law. I know the following facts to be true of my own knowledge, and if called to testify, I could competently do so.

2. Attached as **Exhibit 1** is a true and correct copy of the Consolidated Class Action Complaint filed on January 7, 2016 in *McKnight v. Uber Techs., Inc.*, No. 3:14-cv-05615-JST (ECF No. 67).

3. Attached as **Exhibit 2** is a true and correct copy of the Amended Stipulation of Settlement filed on June 1, 2017 in *McKnight v. Uber Techs., Inc.*, No. 3:14-cv-05615-JST (ECF No. 125).

4. Attached as **Exhibit 3** is a true and correct copy of the Mandate from the Ninth Circuit filed on December 22, 2022 in *McKnight v. Uber Techs., Inc.*, No. 3:14-cv-05615-JST (ECF No. 276).

5. Attached as **Exhibit 4** is a true and correct copy of the Superior Court of California for the County of San Francisco's June 22, 2023 Order on Defendants Uber Technologies, Inc. and Rasier, LLC's Demurrer to Plaintiffs' Master Long-Form Complaint in *In Re: Uber Rideshare Cases*, No. CJC-005188.

6. Attached as **Exhibit 5** is a chart listing cases filed by Plaintiffs whose alleged incidents occurred in New York (entries 1-16); the case number corresponding to each case; the U.S. District Court where each case was originally filed; and the date of each alleged incident.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed on April 1, 2024, in New York, New York.

/s/ Robert Atkins
Robert Atkins

EXHIBIT 1

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1 ROBERT AHDOOT, SBN 172098
2 rahdoottwolfson.com
3 TINA WOLFSON, SBN 174806
4 twolfson@ahdoottwolfson.com
5 MEREDITH S. LIERZ, SBN 296650
6 mlierz@ahdoottwolfson.com
7 **AHDOOT & WOLFSON, P.C.**
8 1016 Palm Ave.
9 West Hollywood, California 90069
10 Tel: 310-474-9111; Fax: 310-474-8585

11 *Attorneys for Plaintiffs,*
12 Julian Mena, Todd Schreiber, Nate Coolidge,
13 and Ernesto Mejia

14 MIKE ARIAS, SBN 115385
15 mike@asstlawyers.com
16 ALFREDO TORRIJOS, SBN 222458
17 alfredo@asstlawyers.com
18 **ARIAS, SANGUINETTI, STAHL &**
19 **TORRIJOS, LLP**
20 6701 Center Drive West, Suite 1400
21 Los Angeles, California 90045-7504
22 Tel: 310-844-9696; Fax: 310-861-0168

23 *Attorneys for Plaintiffs,*
24 Matthew Phillipen and Byron McKnight

25 STEVEN D. LIDDLE (admitted *pro hac vice*)
26 sliddle@ldclassaction.com
27 NICHOLAS A. COULSON (admitted *pro hac vice*)
28 ncoulson@ldclassaction.com
LIDDLE & DUBIN, P.C.
975 E. Jefferson Avenue
Detroit, Michigan 48207
Tel: 313-392-0015; Fax: 313-392-0025

15 **UNITED STATES DISTRICT COURT**
16
17 **NORTHERN DISTRICT OF CALIFORNIA**

18
19 MATTHEW PHILLIBEN, JULIAN MENA,
20 TODD SCHREIBER, NATE COOLIDGE,
21 ERNESTO MEJIA, and BYRON MCKNIGHT,
22 individually and on behalf of all others similarly
23 situated,

24 Plaintiffs,

25 v.

26 UBER TECHNOLOGIES, INC., a Delaware
27 Corporation, and RASIER, LLC, a Delaware
28 Limited Liability Company,

Defendants.

Case No. 3:14-cv-05615-JST

**CONSOLIDATED CLASS ACTION
COMPLAINT**

Honorable Jon S. Tigar, Presiding

JURY TRIAL DEMANDED

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1 Plaintiffs Matthew Philliben, Julian Mena, Todd Schreiber, Nate Coolidge, Ernesto Mejia, and
 2 Byron McKnight (“Plaintiffs”), by and through their counsel, file this Consolidated Class Action
 3 Complaint against Uber Technologies, Inc. and Rasier, LLC (collectively “Defendants” or “Uber”), on
 4 behalf of themselves and on behalf of a class of similarly situated individuals, and allege, upon
 5 personal knowledge as to their own actions, and upon information and belief as to all other matters, as
 6 follows:

7 **NATURE OF THE ACTION**

8 1. Defendants operate a “ride sharing” service, commonly known as “Uber,” which
 9 provides transportation services to consumers in cities throughout the United States. Uber operates its
 10 ride sharing service through a smartphone application (the “Uber App”) that permits consumers to
 11 summon, arrange and pay for transportation services electronically via their smartphone.

12 2. Plaintiffs bring this action on behalf of themselves and a class of similarly situated
 13 individuals who were subjected to certain of Uber’s misstatements, misrepresentations, and/or
 14 misrepresentative advertising to consumers regarding its “Safe Rides Fee” and the nature and character
 15 of the background checks and safety measures conducted in association therewith.

16 3. Uber offers a variety of rideshare options that are differentiated by the size and type of
 17 the vehicle and /or the number of riders; the options are or have been referred to as UberPool, UberX,
 18 UberXL, UberSelect, UberBlack, UberSUV, *etc.* Uber charges different fares depending on the type
 19 of option a consumer orders. For all of these options, Uber represents that all of its drivers are
 20 “thoroughly screened through a rigorous process we’ve developed using industry leading standards.”
 21 Any person with a qualifying vehicle (usually later model vehicles) can apply and become a driver
 22 who provides transportation under a number of these options.

23 4. When creating an account with Uber, consumers place a credit card, debit card or
 24 PayPal account on file with Uber, which eliminates the need for cash payments. When a consumer
 25 orders and/or completes an Uber ride, Uber obtains payment from that consumer’s credit card, debit
 26 card or PayPal account, retains a portion for itself and pays the balance to the driver.

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1 5. For a number of these Uber ride options, Uber adds a charge, in the amount of at least
 2 one dollar, to every ride. Uber represents that this charge supports its “industry leading” background
 3 check process and other alleged safety measures. However, Uber’s background check procedures and
 4 purported safety measures are woefully inadequate and fall well short of what is required of other
 5 commercial providers of transportation.

6 6. Specifically, in April 2014, Uber began to charge all consumers who used certain Uber
 7 ride options, including their UberX or UberXL, an undisclosed, mandatory “Safe Rides Fee”
 8 ostensibly to “support continued efforts to ensure the safest possible platform for Uber riders and
 9 drivers, including an *industry-leading* background check process, regular motor vehicle checks, driver
 10 safety education [and] development of safety features in the app. . . .”

11 7. Uber originally set the amount of the Safe Rides Fee at \$1.00. On or about October
 12 2015, Uber raised the price of the Safe Rides Fee. The fee now apparently varies by city. For
 13 example, in San Francisco the Safe Rides Fee is now approximately \$1.35, in Los Angeles it is
 14 approximately \$1.65, in Detroit it is approximately \$2.30, and in Boston it is approximately \$1.15.

15 8. Despite its statements, Uber does not and has never provided an “industry-leading
 16 background check process.” To the contrary, the background check process used by Uber does not use
 17 fingerprint identification and therefore cannot ensure that the information obtained from a background
 18 check actually pertains to the driver that submitted the information. By contrast, taxi regulators in the
 19 most populous parts of the United States require drivers to undergo criminal background checks using
 20 fingerprint identification, usually employing a technology called “Live Scan.” Requiring fingerprints
 21 for background checks ensures that the person whose criminal history has been run is, in fact, the
 22 applicant – and is the industry leading background check process.

23 9. Furthermore, revenue generated from the Safe Rides Fee is not used to provide “regular
 24 motor vehicle checks” for all vehicles operating on platforms that charge a Safe Rides Fee. In some
 25 cases, Uber drivers pay for the annual inspection of their own vehicles.

26 10. Revenue generated from the Safe Rides Fee is also not used to provide sufficient
 27 “driver safety education” for all Uber drivers. Uber allegedly requires its drivers to participate in
 28 driver safety education, but this training, if any, is minimal.

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1 11. The safety features of the Uber App are also insufficient. For example, in late
 2 December 2014, Uber made a single-page, pop-up “Safe Ride Checklist” available to consumers only
 3 in Chicago and Boston, and otherwise ignored the remainder of the United States.

4 12. The revenue from the Safe Rides Fee exceeds the amount that Uber spends on “efforts
 5 to ensure the safest possible platform for Uber riders and drivers,” if any, by a significant amount. As
 6 a consequence, by assessing and charging consumers the Safe Rides Fee, Plaintiffs have been, and will
 7 continue to be, damaged.

8 13. Uber’s assessment of the Safe Rides Fee for services that it fails to provide, or that are
 9 funded by Uber drivers and not through the Safe Rides Fee, constitutes an unlawful and unfair
 10 business practice and breach of implied contract. Uber’s assessment of an undisclosed fee likewise
 11 constitutes, among other things, an unlawful and unfair business practice.

12 14. Uber’s uniform conduct is equally applicable to the class. Plaintiffs bring this class
 13 action against Uber for: (1) breach of implied contract; (2) violations of California’s Consumers Legal
 14 Remedies Act, Cal. Civ. Code § 1750, *et seq.*; (3) violations of the unlawful prong California’s Unfair
 15 Competition Law, Cal. Bus. & Prof. Code § 17200, *et seq.* (the “UCL”); (4) violations of the unfair
 16 prong of the UCL; (5) violations of California’s False Advertising Law Cal. Bus. & Prof. Code §
 17 17500, *et seq.* (the “FAL”); and (6) violations of the Illinois Consumer Fraud Act, 815 ILCS 502/2, *et
 18 seq.* Plaintiffs seek an order requiring Uber to, among other things: (1) cease the false or misleading
 19 misstatements, misrepresentative advertising, or representations; (2) cease the unlawful assessment
 20 and collection of the Safe Rides Fee; (3) conduct a corrective advertising campaign; and (4) pay
 21 damages and restitution to Plaintiffs and Class members.

JURISDICTION AND VENUE

22 15. This Court has subject matter jurisdiction pursuant to 28 U.S.C. § 1332(d)(2). The
 23 amount in controversy, exclusive of interest and costs, exceeds the sum or value of \$5,000,000 and is a
 24 class action in which there are numerous class members who are citizens of states different from
 25 Defendants. The number of members of the proposed class is in the aggregate greater than 100 and
 26 more than two-thirds of the class members reside in states other than the state in which Defendants are
 27 citizens.

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1 16. This Court has personal jurisdiction over Defendants because they are headquartered in
2 San Francisco, California, they conduct business in California and a substantial portion of the acts
3 complained of took place in San Francisco, California.

4 17. Venue is proper in the Northern District of California (San Francisco Division) because
5 Defendants are headquartered in this District, conduct business in this District and many of the acts
6 complained of occurred in this District.

7 18. Intra district Assignment: Pursuant to Civil Local Rules 3-2(c) a substantial part of the
8 events or omissions giving rise to this action occurred in San Francisco County; therefore, it is
9 appropriate to assign the action to the San Francisco Division.

10 19. The wrongful practices alleged herein occurred or were conceived, reviewed, approved
11 and otherwise controlled from Uber's headquarters in San Francisco, California. The
12 misrepresentations and omissions alleged herein were contained on and transmitted from Uber's
13 website and smartphone application, which are maintained in California. The transactions entered into
14 by Plaintiffs and the class with Defendants utilized those platforms and their payments were processed
15 by Defendants' California servers.

PARTIES

17 20. Plaintiff Matthew Philliben is an individual and a resident of Michigan. Plaintiffs
18 Byron McKnight and Julian Mena are individuals and residents of California. Plaintiffs Todd
19 Schreiber and Ernesto Mejia are individuals and residents of Illinois. Plaintiff Nate Coolidge is an
20 individual and a resident of Massachusetts.

21 21. Defendant Uber Technologies, Inc. (“Uber”) is a Delaware corporation with its
22 principal place of business located in San Francisco, California.

23 22. Defendant Rasier, LLC (“Rasier”) is a Delaware Limited Liability Company and a
24 subsidiary of Uber Technologies, Inc.

26 // /
27 // /
28 // /

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FACTUAL ALLEGATIONS

Background

23. Uber operates a “ride sharing” service, commonly known as “Uber,” which provides transportation services to consumers in cities throughout the United States. Defendants operate their ride sharing service through the Uber App. The Uber App allows users to summon a vehicle to transport them much in the way a taxicab would. Users download the Uber App, create an account, enter payment information, and are able to press a button to call for a ride.

24. Payment for rides using the Uber App is automatic: the user must place a credit card, debit card or PayPal account on file through the Uber App before being allowed to obtain transportation. At the end of the ride, Uber automatically charges the user's credit/debit card or PayPal account and the user is e-mailed a receipt for the transaction. Uber retains a portion of every fare.

Uber's Safety Representations

25. Uber makes a number of representations in order to convey the message that Uber takes every measure possible to ensure the safety of its riders.

26. Uber's "Safety" webpage (www.uber.com/safety) states: "Wherever you are around the world, Uber is committed to connecting you with the safest ride on the road." "That means setting the strictest safety standards possible, then working hard to improve them every day. The specifics vary, depending on what local governments allow, but within each city we operate, we aim to go above and beyond local requirements to ensure your comfort and security - and what we're doing in the US is an example of our standards around the world."

27. The “Safety” webpage also describes Uber’s background checks. Until on or about October 2014, beneath a headline of “BACKGROUND CHECKS YOU CAN TRUST,” Uber stated: “Every . . . driver is thoroughly screened through a rigorous process we’ve developed using industry-leading standards. This includes a three-step criminal background screening for the U.S. - with county, federal and multi-state checks that go back as far as the law allows - and ongoing reviews of drivers’ motor vehicle records throughout their time on Uber.”

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1 28. On or about November 2014, the words “industry-leading” on Uber’s “Safety”
 2 webpage were changed to “constantly improving.”

3 29. A link entitled “read more” beneath the section on background checks previously took
 4 users to a blog post dated April 25, 2014 (<http://blog.uber.com/driverscreening>) attributed to Lane
 5 Kasselman, Uber’s Head of Communications for the Americas (the post has since been removed).
 6 Until at least December 2014, that page stated that “Uber ridesharing and livery partners must go
 7 through a rigorous background check that leads the industry.” Moreover, even though the phrase
 8 “leads the industry” was no longer present, at least as of December 2014, Uber still maintained that its
 9 background checks “are often more rigorous than what is required to become a taxi driver.”

10 30. In June 2014, Lane Kasselman was quoted in NBCBayArea.com news as stating:
 11 “We’re confident that every ride on the Uber platform is safer than a taxi.” (<http://www.nbcbayarea.com/investigations/SF-DA-Wants-Stricter-Rules-for-Rideshare-Companies-261754071.html>).

13 31. As of May 2015, the opening section of the Lane Kasselman blog post stated: “All
 14 Uber ridesharing and livery partners must go through a rigorous background check. The three-step
 15 screening we’ve developed across the United States, which includes county, federal and multi-state
 16 checks, has set a new standard. These checks go back 7 years, the maximum allowable by the Fair
 17 Credit Reporting Act. We apply this comprehensive and new industry standard consistently across all
 18 Uber products, including UberX. Screening for safe drivers is just the beginning of our safety efforts.
 19 Our process includes prospective and regular checks of drivers’ motor vehicle records to ensure
 20 ongoing safe driving. Unlike the taxi industry, our background checking process and standards are
 21 consistent across the United States and often more rigorous than what is required to become a taxi
 22 driver Uber works hard to ensure that we are connecting riders with the safest rides on the road.
 23 The current efforts we are undertaking to protect riders, drivers, and cities are just the beginning.
 24 We’ll continue innovating, refining, and working diligently to ensure we’re doing everything we can
 25 to make Uber the safest experience on the road.” Uber also advertises that it “is committed to setting
 26 the bar for safety and continuing to raise it,” that the ride sharing application leads to “Safe Rides,
 27 Safer Cities,” and allows for “Safe Pickups No more waiting alone on a dark street hoping you
 28 can hail a taxi.”

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1 32. Uber has also represented that it has “Background Checks that Exceed any Local or
 2 National Standard.”

3 33. Throughout all of its representations to its customers and would-be customers, Uber
 4 reinforces the message that Uber’s platform does everything possible to ensure rider safety. For
 5 example, Uber states under the heading of “RIDER SAFETY”:

6 a. “From the moment you request a ride to the moment you arrive, the Uber
 7 experience has been designed from the ground up with your safety in mind;”

8 b. “We believe deeply that, alongside our driver partners, we have built the safest
 9 transportation option in 260 cities around the world;”

10 c. “From before the start of your trip until after it’s finished, safety is built into
 11 every step of the Uber experience;” and

12 d. “Of course, no background check can predict future behavior and no technology
 13 can yet prevent bad actions. But our responsibility is to leverage every smart tool at our disposal to set
 14 the highest standard in safety we can. We will not shy away from this task.”

15 34. Such safety related representation and statements are false and/or misleading.

16 35. Uber has also misrepresented the scope of its background check process. For example,
 17 in a blog entitled “Details on Safety” (“Joe Sullivan Blog”) Uber’s Joe Sullivan falsely represents that:

18 a. Uber’s background checks go back “the maximum allowable by the Fair Credit
 19 Reporting Act;”

20 b. Uber checks the National Sex Offender Registry and disqualifies applicants who
 21 appear on the Registry; and

22 c. “Verifying potential criminal records at the source - the courthouse records -
 23 helps ensure the records match the identity of the potential driver.”

24 36. The Joe Sullivan Blog also misstates that California law limits private background
 25 check companies to a “lookback” period of seven years, and that this period of time “strikes the right
 26 balance between protecting the public while also giving ex-offenders the chance to work and
 27 rehabilitate themselves.”

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1 37. Uber does not check the National Sex Offender Registry, and therefore Uber's claims
2 that it "prohibits drug or alcohol offenses, severe traffic violations, and sexual offenses" and that its
3 background check process includes a "lifetime" disqualification for sex offenders are false or
4 misleading. Uber further misleads the public when it states that the Live Scan process flags innocent
5 people and impacts minorities in particular.

6 38. Uber has made numerous other false or misleading statements regarding its safety. For
7 example, Uber falsely claims that its safety measures “always exceed what is required of local taxi
8 companies” and that its background check is better than the FBI fingerprint check and Live Scan.¹

9 39. Uber's representations and statements regarding the safety features of the Uber service
10 impact consumers' decisions to use the Uber App and any of Uber ride options since Uber does not
11 differentiate between different service options when making safety related representations such as
12 Uber has the "safest rides on the road" or it employs "industry leading" background checks.

13 40. Uber has consistently opposed and resisted the various state and local regulations
14 regarding safety which are applied to other transportation services, such as taxis and other commercial
15 car service companies.

The Safe Rides Fee

17 41. Uber reinforces the message about its efforts to ensure customer safety and the quality
18 of its background checks when it charges customers on certain of its ride options, such as UberX, a
19 “Safe Rides Fee,” which is depicted on the rider’s electronic receipt that is sent by Uber after the ride
20 is completed. Next to the phrase “Safe Rides Fee” is a link to a webpage with a purported explanation
21 of the fee. The link appears in the form of a small question mark.

25 ¹ Live Scan fingerprinting refers to both the technique and the technology used by law
enforcement agencies and private facilities to capture fingerprints and palm prints electronically,
without the need for the more traditional method of ink and paper. In the United States, most law
enforcement agencies use live Scan as their primary tool in the recognition of human individuals. Live
Scan is commonly used for criminal booking, sexual offender registration, civil applicant and
background check. Live Scan results can be verified and returned to the source within 72 hours of
transmission.

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1 42. Through October, 2014, the “question mark” hyperlink connected to a webpage which
2 stated that the Safe Rides Fee was used to support, among other things, “an industry-leading
3 background check process.”

4 43. On or about October 2014, Uber changed the words “industry-leading” to “a Federal,
5 state, and local background check process.” As of December 2014, however, the page continued to
6 state that the fee “supports continued efforts to ensure the safest possible platform for Uber riders and
7 drivers . . .”

8 44. On or about November 1, 2015, Uber changed the description on this webpage to read
9 “The Safe Rides Fee supports the operation of the Uber platform, including a background check
10 process, development of safety features in the app, incident response, and other operational costs.”

11 45. The aforementioned representations made by Uber are false and/or misleading. These
12 representations are likely to mislead consumers into the belief that Uber takes exhaustive safety
13 precautions, when the background check process that Uber describes as “industry-leading” and “more
14 rigorous than what is required to become a taxi driver” does not even take steps to ensure that
15 applicants are who they represent themselves to be.

16 46. Unlike many background checks, Uber’s process does not utilize fingerprints or even
17 require the applicant to appear in person. Uber simply requires applicants to submit information such
18 as name, address, driver’s license number, and social security number through a webpage. Uber then
19 provides this information to third party companies such as Hirease, Inc. and/or Checkr, who actually
20 perform the background checks. Thus, because Uber does not obtain any unique identifying
21 information, such as a fingerprint, companies such as Hirease provide a disclaimer with each back
22 ground check stating that “[f]inal verification of an individual’s identity and proper use of report
23 contents are the user’s responsibility.”

24 47. Thus, Uber never verifies the actual driver’s true identity.

25 48. In contrast, most commercial car services, such as taxis, require and employ a
26 fingerprint identification technology such as Live Scan, which ensures that the person who is applying
27 to be a driver is actually who he or she claims to be. Indeed, Hirease, one of Uber’s third party
28 background check providers during the relevant period, touts the superiority of fingerprint-based

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1 background checks, stating: “Fingerprinting helps uncover criminal history not discovered through
 2 traditional methods, offers extra protection to aid in meeting industry guidelines, and helps prevent
 3 fraud.”

4 49. Uber’s statements and representations concerning its background checks are false
 5 and/or misleading. For example, Uber’s background checks do not lead the industry and are not more
 6 rigorous than what is required to become a taxi driver.

7 50. While disseminating such false and misleading representations, Uber has steadfastly
 8 resisted any attempts by regulators to subject Uber drivers to the same types of background checks
 9 undergone by other commercial providers of transportation. For example, the New York Times
 10 documented Uber’s “aggressive” efforts to fight background check legislation “in statehouses across
 11 the country.” (<http://www.nytimes.com/2014/12/10/technology/ubers-system-for-screening-drivers-comes-under-scrutiny.html?ref=technology>).

13 51. Uber’s other “safety” measures also fall well short of established industry standards.
 14 For example, Uber does not verify the actual identity of the driver and instead of performing actual
 15 inspections of drivers’ vehicles, Uber allows drivers to simply send photographs of the vehicles and
 16 the inspection form to the company.

17 52. Uber’s driver training is also substandard. Uber drivers receive minimal – if any –
 18 training on safe driving and are never subjected to any review by Uber as to the standards of their
 19 driving.

20 53. Uber has also disseminated misleading statements about its background checks in
 21 response to a series of incidents involving its drivers.²

23 ² On or about February 12, 2014, it was reported that Uber was expanding its background checks
 24 after a series of incidents, including where an Uber driver, with a previous reckless driving conviction,
 25 hit and killed a six-year-old girl, and another where an Uber driver with a felony record assaulted a
 26 passenger. (<http://blog.sfgate.com/techchron/2014/02/12/uber-to-vet-drivers-more-thoroughly/>). Uber
 27 issued a statement on its blog in which it expressed its commitment “to improving the already best in
 28 class safety and accountability of the Uber platform.” These “expanded” background checks remained
 below industry standards, despite Uber’s statements to the contrary.

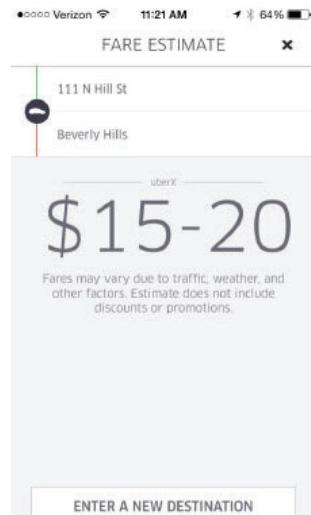
An investigation by NBC’s Detroit affiliate reported that Uber hired a woman on probation for
 burglary and assault after she completed Uber’s online application. Also, a number of local Uber
 drivers had questionable driving records, including suspended licenses, speeding tickets, citations for

1 ***Uber Charges A Safe Rides Fee Ostensibly To Provide “Industry-Leading Background Checks”***

2 ***And Other Safety-Related Services For The Benefit Of Consumers***

3 54. On or about April 2014, Uber began to charge consumers of some of its Uber service
 4 options, such as UberX, a mandatory “Safe Rides Fee.” This fee was originally \$1, and now varies by
 5 city, sometimes exceeding \$2.

6 55. Uber did not clearly and conspicuously disclose, if at all, the Safe Rides Fee to
 7 consumers. For example, the Safe Rides Fee is not disclosed when consumers obtain a “Fare
 8 Estimate.”



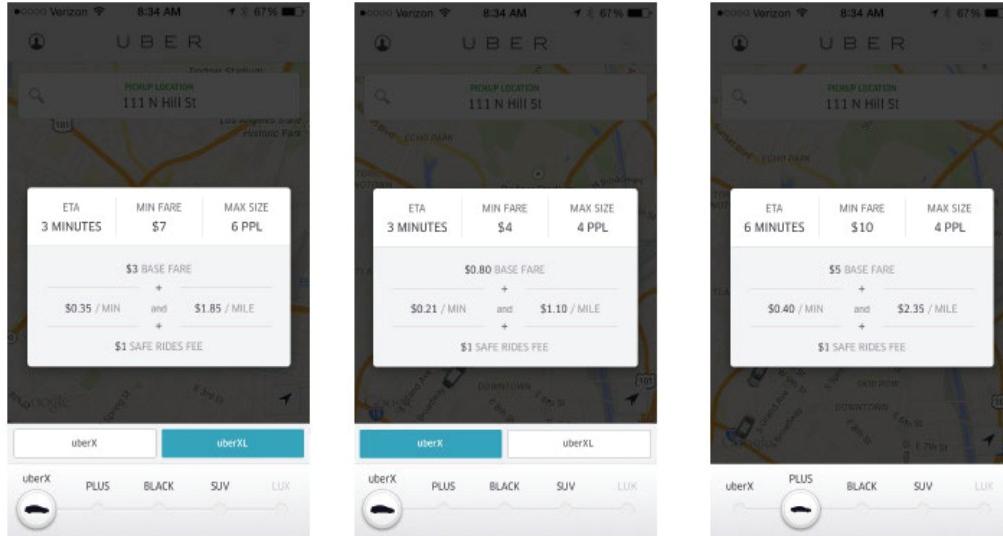
19 no proof of insurance, and driving vehicles registered to other people. In response, an Uber
 20 spokesperson issued a statement claiming that Uber “work(s) every day to connect riders with the
 21 safest rides on the road and go above and beyond local requirements in every city we operate. Uber
 22 only partners with drivers who pass an industry-leading screening that includes a criminal background
 23 check at the county, federal and multi-state level going back as far as the law allows. . . . For more
 24 information on what makes Uber the safest rides on the road, please see our website:
<https://www.uber.com/safety/>” (<http://www.clickondetroit.com/news/local-4-defenders-is-uberx-safe/26944252>).

25 In an April 29, 2014 article describing Uber drivers with criminal backgrounds, including one
 26 on probation for nearly beating a woman to death, and another with a 2012 DUI conviction who had
 27 been accused of sexually assaulting a passenger, Lane Kasselman is quoted as telling the author by e-
 28 mail “Uber’s industry-leading background checks help connect consumers with the safest ride on the
 road. . . . Our driver partner background checks are more thorough than those of taxi in most cities We continue to improve and are always working hard to tighten our policies and processes to ensure
 that Uber remains the safest transportation option available.”
 (<http://mashable.com/2014/04/29/uberxpassengers-risk/>).

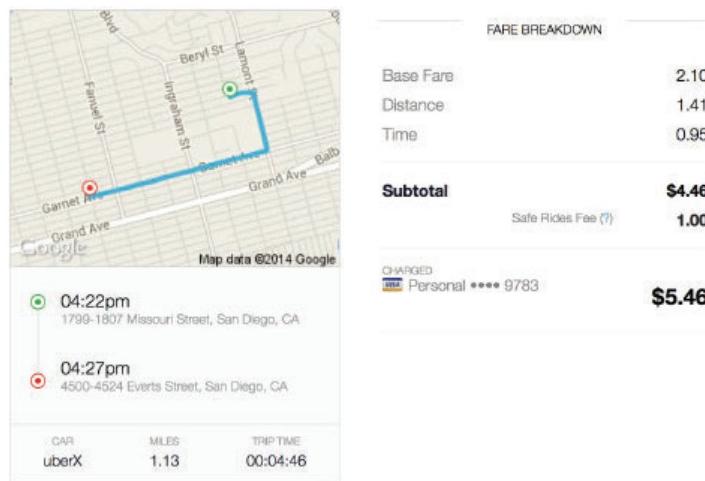
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1 56. The Uber App's Fare Estimate feature expressly states that the estimate "does not
2 include discounts or promotions" but does not disclose or in any way refer to the Safe Rides Fee.

3 57. Instead, before a consumer requests that an Uber driver pick the consumer up, the Safe
4 Rides Fee is only disclosed to a consumer on the Uber App if the consumer pushes the uberXL, uberX
5 or PLUS (*etc.*) symbols to trigger a pop-up screen that discloses the Safe Rides Fee as follows:



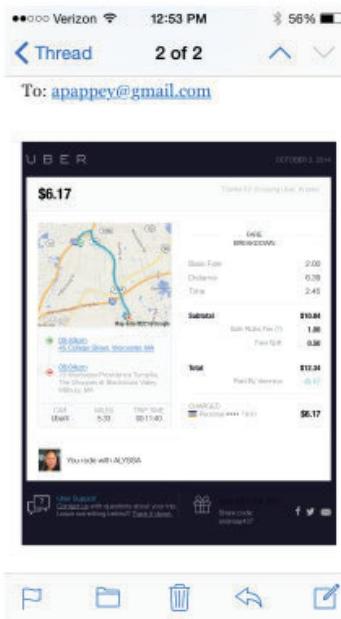
16 58. After a ride has been completed, the Safe Rides Fee is separately itemized on an
17 electronic receipt sent to the customer via the Uber App and email. Next to the words "Safe Rides
18 Fee" on the receipt is a question mark that hyperlinks to an explanation of the Safe Rides Fee (as
19 described above).



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1 59. However, several Plaintiffs never saw or relied on the hyperlink that appears on the
 2 electronic receipts. Because Plaintiffs and Class members receive and review Uber's electronic
 3 receipt on their mobile phones, the minuscule size of the font and light grey color used by Uber to
 4 itemize the Safe Rides Fee is so small that it is virtually illegible to many consumers without a
 5 magnifying glass, as is evident from the following screen shot which is equivalent in size to the screen
 6 of an iPhone 5s.

7 60. In addition, charging the Safe Rides Fee as a separate line item is itself misleading
 8 because it bolsters consumers' expectations that they should be receiving the safest ride possible and
 9 that Uber does everything it can to ensure this.



22 61. Beginning with Uber's April 2014 introduction of the Safe Rides Fee and continuing
 23 through October 2014, Uber explained that the Safe Rides Fee was assessed to "support continued
 24 efforts to ensure the safest possible platform for Uber riders and drivers, including an industry-leading
 25 background check process, regular motor vehicle checks, driver safety education, [and] development
 26 of safety features in the app"

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1 62. On or about April 24, 2014, Uber explained the Safe Rides Fee on its website as
2 follows:³

What Is The Safe Rides Fee?

From the beginning, we've always been committed to connecting you with the safest rides on the road. Our new Safe Rides Fee is a \$1 fee added to uberX fares in United States cities with uberX ridesharing. This Safe Rides Fee supports our continued efforts to ensure the safest possible platform for Uber riders and drivers, including an industry-leading background check process, regular motor vehicle checks, driver safety education, development of safety features in the app, and insurance. For complete pricing transparency, you'll see this as a separate line item on every uberX receipt.

63. On or about October 2014, Uber changed the words “industry-leading” to “a Federal, state, and local background check.” Uber also changed the words “and insurance” to “and more.” As of January 2015, Uber explained the Safe Rides Fee as follows:⁴

What Is The Safe Rides Fee?

From the beginning, we've always been committed to connecting you with the safest rides on the road. The Safe Rides Fee is a fee added to uberX fares on behalf of drivers (who may pay this fee to Uber) in cities with uberX ridesharing. This Safe Rides Fee supports continued efforts to ensure the safest possible platform for Uber riders and drivers, including a Federal, state, and local background check process, regular motor vehicle checks, driver safety education, development of safety features in the app, and more. For complete pricing transparency, you'll see this as a separate line item on every uberX receipt.

In the U.S., the Safe Rides Fee is always \$1 USD. In Canada, it is \$1 CAD.

³ See <http://web.archive.org/web/20140424014638/http://support.uber.com/hc/en-us/articles/201950566-What-is-the-Safe-Rides-Fee-> (last visited Jan. 6, 2015).

⁴ See <https://support.uber.com/hc/en-us/articles/201950566-What-is-the-Safe-Rides-Fee-> (last visited Jan. 6, 2015).

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1 In addition, Uber advised consumers that “The Safe Rides Fee is a fee added to uberX fares on
 2 behalf of drivers (who may pay this fee to Uber) in cities with uberX ridesharing.”⁵ However, Uber
 3 advises its drivers that Uber retains the Safe Rides Fee entirely: “Rider fees are fees charged to the
 4 rider by Uber directly. They include the *safe rides fee*, airport tolls, and fare split fees, if applicable.
 5 *Uber receives rider fees in full.*”⁶

6 64. Uber continues to charge the Safe Rides Fee purportedly to support “continued efforts
 7 to ensure the safest possible platform for Uber riders and drivers, including a Federal, state, and local
 8 background check process, regular motor vehicle checks, driver safety education, development of
 9 safety features in the app, and more.”⁷

10 ***Uber Does Not Provide Or Pay For “Industry Leading” Background Checks***

11 65. Uber does not, and has never, provided consumers with an “industry-leading”
 12 background check process.

13 66. To the contrary, Uber’s background check process does not use fingerprint
 14 identification and therefore cannot ensure that the information obtained from a background check
 15 actually pertains to the Uber driver that submitted the information.

16 67. Instead of using fingerprints, Uber’s background check process relies upon drivers to
 17 submit personal identifiers (name, address, driver’s license number and state, and social security
 18 number) through an online webpage. Uber, in turn, provides this information to third parties, such as
 19 Hirease, Inc. and/or Checkr, to perform the background checks.

20 68. This process cannot ensure that the information in the background check report is
 21 actually associated with the applicant since it does not use a unique biometric identifier such as a
 22 fingerprint. In fact, the sample report Hirease posts on its website has a disclaimer stating, “Final
 23 verification of an individual’s identity and proper use of report contents are the user’s responsibility.”⁸

24
 25 ⁵ See *id.*

26 ⁶ See <http://uberwest.weebly.com/payment-statements.html> (last visited Jan. 6, 2015).

27 ⁷ See Uber App, “What is the Safe Rides Fee?”; see also <https://support.uber.com/hc/enus/articles/201950566-What-is-the-Safe-Rides-Fee-> (last visited Jan. 6, 2015).

28 ⁸ See http://cdn2.hubspot.net/hub/212230/file-417622326-pdf/docs/Sample_report.pdf?t=1408638373271 (last visited Jan. 6, 2015).

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1 69. Uber does not employ Live Scan, which is employed by many commercial car service
 2 regulators, such as taxis, to perform criminal background checks with scanned fingerprint
 3 identification. Live Scan fingerprinting in California, for example, occurs at a facility designated by
 4 the California Department of Justice. The fingerprints allow a biometric search of the California
 5 Department of Justice's criminal history databases and the option to obtain a search of the Federal
 6 Bureau of Investigation's database of multistate criminal history information. The process of using a
 7 biometric identifier to search government databases is the gold or leading standard for a background
 8 check process.

9 70. Because of the unique identifying characteristics of fingerprints, the Live Scan Process
 10 provides assurance that the person whose criminal history has been run is, in fact, the applicant. This
 11 would ensure that a registered sex offender could not use his law-abiding brother's identification
 12 information to become an Uber driver. It would also ensure that a convicted burglar could not borrow
 13 his cousin's identification information to become an Uber driver in order to case the empty homes of
 14 customers he takes to the airport.

15 71. Uber's background check process does not provide the level of security provided by the
 16 fingerprint-based Live Scan Process employed for performing background checks on commercial and
 17 taxi drivers in the most populous cities of the United States.

18 72. Notably, as reported by the New York Times, while touting its "industry leading"
 19 background checks and collecting the Safe Rides Fee from consumers, "in statehouses across the
 20 country, Uber has fought against legislation requiring background checks as strong as those demanded
 21 of traditional taxis."⁹ "In Colorado, the company helped persuade lawmakers to ease drivers'
 22 background checks in a bill that legalized ride-sharing companies. In Illinois, after a lobbying push,
 23 Gov. Pat Quinn vetoed a bill that would have forced Uber to strengthen those checks. And in
 24 California, Uber and other companies like it helped kill a law that would have required drivers to
 25 undergo a background check by the state's Justice Department, as is required of taxi drivers."¹⁰
 26

27 ⁹ See <http://www.nytimes.com/2014/12/10/technology/ubers-system-for-screening-drivers-comesunder-scrutiny.html> (last visited Jan. 6, 2015).

28 ¹⁰ *Id.*

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Uber's Failure To Provide Industry Leading Background Allows Drivers With Criminal Records To Become Uber Drivers

73. In January 2014, in an article entitled “Uber driver accused of assault had done prison time for a felony, passed background check anyways,” online news site PandoDaily.com reported that an Uber driver in San Francisco, who had been accused of verbally and physically assaulting a passenger, had passed Uber’s background check even though he had a significant criminal history – including felony and misdemeanor charges, and at least one felony conviction involving prison time – that should have disqualified him from becoming an Uber driver.¹¹ In June 2014, Forbes.com reported that the driver had been on probation for a battery conviction when Uber hired him in October 2013. Uber claimed that the driver “had a clean background check in October.”

74. On December 31, 2013, an Uber driver struck and killed a six-year-old girl while driving in San Francisco.¹² The San Francisco Business Times subsequently reported that the driver had been convicted of reckless driving in Florida in September of 2004.¹³

75. In February 2014, the Chicago Tribune reported that a 24-year-old Uber driver had a felony conviction for residential burglary in 2010, a misdemeanor conviction for criminal damage to property in 2009, and another misdemeanor conviction in 2008 for breaking into a car to steal a GPS and satellite radio receiver.¹⁴ The same Uber driver had received numerous speeding tickets and had his driving license suspended twice in 2008. In response, Uber posted an apology on its website: “[W]e have already taken steps to prevent this from happening again, by expanding our background check process to set new industry-leading standards. . . . We are sincerely sorry for this error, and want to assure all riders that we are taking the necessary steps to fix it and build the safest option for

¹¹ See <http://pando.com/2014/01/06/exclusive-uber-driver-accused-of-assault-passed-zero-tolerancebackground-check-despite-criminal-history/> (last visited Jan. 6, 2015).

¹² See <http://www.latimes.com/local/lanow/la-me-tn-uber-driver-girl-dead-20141209-story.html> (last visited Jan. 6, 2015).

¹³ See <http://www.bizjournals.com/sanfrancisco/blog/2014/01/uber-uberx-sofia-liu.html?page=all> (last visited Jan. 6, 2015).

¹⁴ See http://articles.chicagotribune.com/2014-02-14/news/ct-rideshare-background-checks-met-20140214_1_background-checks-ride-sharing-drivers (last visited Jan. 6, 2015).

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1 consumers.”

2 76. Two months later, on April 24, 2014, an NBC television affiliate in Los Angeles aired
 3 an investigative report about Uber’s driver background checks in which the station enlisted a woman
 4 to apply to become an Uber driver.¹⁵ She was on felony probation for making criminal threats
 5 (willfully threatening to commit a crime which will result in death or great bodily injury to another
 6 person), and during the broadcast described the conduct leading to her arrest: “I pulled a girl out of a
 7 car and almost beat her to death.” On March 3, 2014, Uber sent the woman an email notifying her that
 8 she passed her background check. According to the NBC report, Uber would not respond to the
 9 station’s request for comment about this case. Instead, Uber spokesperson Lane Kasselman sent an
 10 email explaining Uber’s background screening policy. The email ended with, “We’re confident that
 11 every ride on Uber is safer than a taxi.”

12 77. On November 17, 2014, as reported by the Chicago Sun Times, an Uber driver
 13 allegedly drove an inebriated passenger to his apartment on Chicago’s Northwest Side, raped her and
 14 then told her, “I made you happy.”¹⁶

15 78. On December 17, 2014, the Boston Globe reported that an Uber driver pleaded not
 16 guilty to charges of rape, assault to rape, kidnapping, and two counts of assault and battery following
 17 the Uber driver’s violent assault against a young female Uber customer.¹⁷ Uber confirmed that the
 18 alleged rapist had passed Uber’s background check. In the same article, the Boston Globe reported
 19 that two additional women in the Boston area had reported indecent assaults by an Uber driver on a
 20 single day in December 2014.

21 ///

22 ///

23 ///

24

25 ¹⁵ See <http://www.nbclosangeles.com/news/local/Risky-Ride-Uber-Investigation-256604571.html>
 26 (last visited Jan. 6, 2015).

27 ¹⁶ See <http://chicago.suntimes.com/news-chicago/7/71/247604/will-ubering-anybody-judge-saysdriver-charged-raping-woman> (last visited Jan 6, 2015).

28 ¹⁷ See <http://www.bostonglobe.com/metro/2014/12/17/uber-driver-accused-rape-kidnappingcustomer/KYnOQczKFqggfbnri2FpGL/story.html> (last visited Jan 6, 2015).

1 Uber Does Not Pay For The Other Safety-Related Services***2 It Claims Are Supported By The Safe Rides Fee***

3 79. Upon information and belief, Uber does not use enough of the revenue it generates
 4 from the Safe Rides Fee to provide for “regular motor vehicle checks” and “driver safety education.”

5 80. Uber does not use enough of the Safe Rides Fee for “regular motor vehicle checks” of
 6 Uber drivers’ vehicles. Many Uber drivers pay for the annual inspection of their own vehicles. By
 7 way of example, the list of potential inspection locations available to Uber drivers in California
 8 includes numerous repair shops that offer inspections for a fee that averages approximately \$20.¹⁸
 9 Because many Uber drivers had difficulty scheduling an inspection in 2014, Uber partnered “with
 10 YourMechanic.com” to provide Uber drivers with the opportunity to have a mechanic “come to you
 11 (usually your home address) at a time of your choosing . . . [to] . . . complete an on-site Uber vehicle
 12 inspection AND oil change for \$50.”¹⁹ Some Uber drivers are offered free inspections provided they
 13 spend a set amount in repairs.

14 81. Uber does not use enough of the Safe Rides Fee to provide Uber drivers with “driver
 15 safety education.” Uber only requires Uber drivers to participate in minimal mandatory driver safety
 16 education. Uber also advises Uber drivers that if they want to learn how to be good drivers, they can
 17 pay anywhere from \$40 to \$65 for a class that will provide “detailed reviews” of a particular city’s
 18 “neighborhoods and key routes, as well as driver professionalism tips and basic safety concepts for
 19 commercial drivers.”

20

21

22 ///

23 ///

24 ///

25

26 ¹⁸ See <http://uberwest.weebly.com/vehicle-inspection.html> (last visited Jan 6, 2015); see also
 27 http://www.weebly.com/uploads/2/8/4/2/28420371/ca_inspection_locations_september_2014.pdf (last
 visited Jan. 6, 2015).

28 ¹⁹ See <http://uberwest.weebly.com/vehicle-inspection.html> (last visited Jan. 6, 2015).

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1 82. For example, in Washington, D.C., Uber drivers are offered the following “training
 2 class.”²⁰

3 WASHINGTON DC DRIVER TRAINING CLASS

4 The Driver Training Class given by 7x7 Executive Transportation introduces beginning and experienced Uber partners to lessons and techniques designed to improve their driving and customer service skills. Lecture topics include detailed reviews of Washington DC neighborhoods and key routes, as well as driver professionalism tips and basic safety concepts for commercial drivers. Class discussion on each of these topics is encouraged, and another module includes discussion of common customer service issues and how to address them. A major focus of the class is how drivers can improve their ratings from Uber users. The class lectures are supplemented by video clips, map displays, white board drawings, written course materials as well as a final exam.

5 **All classes are held at the University of Phoenix. University of Phoenix is a trade name and registered trademark of the Apollo Education Group, Inc. Any use by 7x7 Executive Transportation to identify the event location is not intended to imply affiliation with, sponsorship or endorsement of the event by The University of Phoenix, Inc. or Apollo Education Group, Inc.**

6 **The address for the class is 25 Massachusetts Ave NW, Washington, DC 20001. The nearest Metro station is Union Station. Free parking is available by validation if you park in the garage in the basement of 25 Massachusetts Ave NW. (Validation is available ONLY from the Instructor and is not available for any other parking location.) Go to the elevator lobby and you will be directed to the University of Phoenix lobby area and from there information on the classroom number will be available. PLEASE DO NOT GO TO THE UNIVERSITY OF PHOENIX EXCEPT ON THE DAY OF YOUR CLASS. THERE WILL BE NO ONE THERE FROM 7X7 TO HELP YOU. IF YOU NEED TO CONTACT US, PLEASE USE THE CONTACT INFORMATION AT THE BOTTOM OF THIS WEB PAGE.**

7 The class will start on time. Please arrive 15 minutes early to allow time for registration. If you are more than 10 minutes late for the class you will not be able to come in and will need to sign up for another class. You must pay for the class online using a major credit card. We will accept Visa, Master Card, American Express, and Discover. Please follow the prompt after signing up to pay now. Please dress professionally as if you are working and bring your personal phone (NOT Uber phone) with you.

8 CLICK ON A DATE IN THE CALENDAR BELOW:

Choose Appointment	Your Info	Confirmation
Returning? Log in		
7x7 Washington DC Driver Training Class 4 hours @ \$65.00		

9 83. In an October 10, 2014 article entitled “Uber Skimbs On Driver Training, Then
 10 Charges Drivers \$65 For Basic Driver Skills Course,” Forbes reported that new Uber drivers are not
 11 required to engage in any safety training whatsoever:

12
 13
 14 When Michael Coe, 38, signed up to be an Uber driver in Washington,
 15 D.C. a few weeks ago, he was shocked to find that once his driver’s license
 16 and identity paperwork had cleared, he was asked to come in to pick up a
 17 phone — then put on the road with no training except a 13- minute video
 18 on how to use the Uber app. “When I got to one of the onboarding sessions
 19 at a local hotel, it was like, ‘Here’s your papers, go to the other room, get
 20 your phone, and great — get on the road and drive,’” Coe told Forbes. No
 21 one from Uber had more than a passing conversation with him before he
 22 was set to give what the company calls “the world’s safest, most reliable
 23 ride.” Uber never looked at his car either, though he did have to provide
 24 proof of a recent Virginia state car inspection.²¹

25
 26
 27 ²⁰ See <https://dctraining.7x7executive.com/> (last visited Jan. 6, 2015).

28 ²¹ See <http://www.forbes.com/sites/ellenhuet/2014/10/08/uber-skimbs-on-driver-training-then-charges-drivers-65-for-basic-driver-skills-course> (last visited Jan. 6, 2015).

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1 84. Upon information and belief, Uber has not used enough of the revenue generated by the
 2 Safe Rides Fee for the “development of safety features in the app.”

3 85. Since the April 2014 introduction of the Safe Rides Fee, Uber has not introduced *any*
 4 city-specific safety features for the Uber App in most locations where it operates.

5 86. In late December 2014, Uber made a “Safe Ride Checklist” available only to Uber App
 6 users in Chicago and Boston in response to high-profile sexual assault accusations against Uber
 7 drivers in those two cities. An Uber driver in each city was charged in December 2014 with raping a
 8 female customer.²²

9 87. The Safe Ride Checklist available in Chicago and Boston is a relatively
 10 straightforward, single page that recommends that passengers confirm they’re getting in the right car
 11 and that the in-app driver photo matches up:



27 22 See <http://chicago.suntimes.com/news-chicago/7/71/251756/uber-adds-safety-checklist-app-driverscharged-sex-assault> (last visited Jan. 6, 2015).

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1 88. Although Uber charged and collected the Safe Rides Fee from consumers throughout
 2 the United States, it has not made the “safe ride checklist” – such as it is – available to Uber App users
 3 throughout the United States.²³ Consumers in cities other than Chicago and Boston have, thus,
 4 received no benefit whatsoever from Uber’s development of this Uber App so-called safety feature.

5 ***The Safe Rides Fee Is A Profit Center For Uber, Not A Genuine Fee Used To Obtain Background
 6 Checks And Fund Other Programs That Benefit Consumer Safety***

7 89. Upon information and belief, Uber generates far more revenue from the assessment and
 8 collection of the Safe Rides Fee than it spends on effective efforts to provide safe rides for the
 9 consumers that pay the Safe Rides Fee. To the extent Uber believes that its spends money collected
 10 from Safe Rides Fees on valid expenditures, such expenditures are not adequately disclosed or
 11 appropriate.

12 90. As of 2013, Uber was estimated to provide 800,000 completed rides per week.²⁴ Of
 13 that amount, approximately 528,000 of the completed rides are UberX rides. Thus, for a one-year
 14 period Uber provided approximately 27,456,000 completed rides. Given that Defendants charge the
 15 Safe Rides Fee for each of those 27,456,000 completed rides, Uber’s imposition of the Safe Rides Fee
 16 generated \$27,456,000 in annual revenue (at \$1 per Safe Rides Fee). Since 2013, Uber has
 17 experienced rapid growth and the number of rides and Safe Rides Fees generated have increased
 18 dramatically.

19 91. Upon information and belief, Defendants spend significantly less on background checks
 20 than they collect in Safe Rides Fees.

21 ***Uber’s Download and Registration Process Obscures its Unconscionable Terms & Conditions***

22 92. Plaintiff Phillipen downloaded the Uber App and created an Uber account in
 23 approximately August 2014. Plaintiff McKnight downloaded the Uber App and created an Uber
 24 account in approximately late 2013 or early 2014. Plaintiff Julian Mena downloaded the Uber App
 25

26 ²³ See <http://www.vocativ.com/money/business/ubers-rape-chicago/> (last visited Jan. 6, 2015).

27 ²⁴ See Leaked: Uber’s Internal Revenue and Ride Request Numbers, available at
 28 <http://valleywag.gawker.com/leaked-ubers-internal-revenue-and-ride-request-number-1475924182> (last visited Jan. 6, 2015).

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1 and created an Uber account in approximately October 2013. Plaintiff Todd Schreiber downloaded the
2 Uber App and created an Uber account in approximately May 2014. Plaintiff Nate Coolidge
3 downloaded the Uber App and created an Uber account in approximately February 2014. Plaintiff
4 Ernesto Mejia downloaded the Uber App and created an Uber account in approximately October 2014.

5 93. Plaintiffs followed the registration process for creating an account. This process is
6 conducted within the app itself, on the screen of the smartphone.

7 94. During the registration process, an electronic keyboard obscures the lower portion of
8 the screen as information is inputted into the required fields for registration: email address, mobile
9 telephone number, password, name, and credit card payment information.

10 95. Throughout the registration process, the lower half of the screen remains obscured by
11 the electronic keyboard.

12 96. At the last step of the process, the app displays a screen on which a hyperlink to Uber's
13 "Terms & Conditions and Privacy Policy" appears at the bottom.

14 97. At no step during the registration process were the “Terms & Conditions” or “Privacy
15 Policy” displayed to Plaintiffs.

16 98. Uber's registration process never required Plaintiffs to access the "Terms &
17 Conditions" hyperlink, read the "Terms & Conditions," or affirmatively assent to them.

18 99. Each new Uber user must register and create an Uber account using the same steps and
19 viewing the same screens as Plaintiffs.

20 100. Uber's un-displayed and inconspicuously linked "Terms & Conditions" are
21 unconscionably one-sided and purport to contract away all possible legal obligations from Uber to its
22 riders, including any obligation to provide a safe vehicle, or a safe driver, all while retaining its right to
23 payment regardless of whether a given ride is even completed.

24 101. Uber's "Terms & Conditions" contain a complete disclaimer of warranties, which
25 disavows substantially every basis upon which a reasonable user would rely in using the app and
26 Uber's service:

27
28 The company makes no representation, warranty, or guaranty on the reliability, timeliness, quality, suitability, availability, accuracy, or completeness of the service or application... The service and

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1 application is provided to you strictly on an “as is” basis. All conditions,
 2 representations and warranties, whether express, implied, statutory or
 3 otherwise, including, without limitation, any implied warranty of
 4 merchantability, fitness for a particular purpose, or non-infringement of
 5 third party rights, are disclaimed to the maximum extent permitted by
 6 applicable law

7 You acknowledge and agree that the entire risk arising out of your use of
 8 the application and service, and any third party services or products
 9 remains solely with you, to the maximum extent permitted by law.

102. Uber’s “Terms & Conditions” contain a “no refund” policy, which applies to “[a]ny
 1 fees that the Company may charge you” This “no refund policy shall apply at all times regardless
 2 of your decision to terminate your usage, our decision to terminate your usage, disruption caused to
 3 our Application or Service either planned, accidental or intentional, or any reason whatsoever.”

103. Uber’s “Terms & Conditions” contain a complete Liability Disclaimer, wherein Uber
 11 purports to absolve itself of any and all liability that may arise out of the use of its app or service.

104. Despite Uber’s representations about safety, Uber disclaims “any and all liability . . . in
 13 any way related to the third party transportation provider”

105. Despite its representations about its background check process, Uber disclaims any
 15 responsibility to “assess the suitability, legality or ability of any” of its drivers.

106. Uber disavows any responsibility for the rider’s safety. “You understand, therefore,
 17 that by using the application and the service, you may be exposed to transportation that is potentially
 18 dangerous, offensive, harmful to minors, unsafe or otherwise objectionable, and that you use the
 19 application and the service at your own risk.”

107. One of Uber’s more controversial practices is to charge “surge pricing,” wherein fees
 21 for transportation rise significantly during times of higher demand. In contrast to its inconspicuous
 22 link to its “Terms & Conditions”, Uber goes out of its way to ensure that it has the customer’s
 23 informed consent before charging such prices. In contrast to the Safe Rides Fee, Uber conspicuously
 24 discloses the amount of the surcharge, and requires the customer to type the surcharge into a separate
 25 field, ensuring that the customer is expressly consenting to the fee.

108. Uber’s purpose for this process is to ensure that the terms of its surge pricing are “clear
 27 and straightforward” such that its customers “won’t be surprised” by the terms of the contract.
 28

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Plaintiffs' Transportation

109. On or about October 11, 2014, Plaintiff Phillipen used the Uber App to obtain UberX transportation; as reflected on his electronic receipt, Plaintiff Phillipen paid \$18.52 for the trip, including the \$1.00 Safe Rides Fee. Plaintiff Phillipen has subsequently used other Uber ride options.

110. On or about May 20, 2014, Plaintiff McKnight used the Uber App to obtain UberX transportation; as reflected on his electronic receipt, Plaintiff McKnight paid \$10.56 for the trip, including the \$1.00 Safe Rides Fee. On May 20, 2014, Plaintiff McKnight used the Uber App to obtain UberX transportation; as reflected on his electronic receipt, Plaintiff McKnight paid \$10.56 for the trip, including the \$1.00 Safe Rides Fee. On September 30, 2014, Plaintiff McKnight used the Uber App to obtain UberX transportation; as reflected on his electronic receipt, Plaintiff McKnight paid \$13.14 for the trip, including the \$1.00 Safe Rides Fee. On October 1, 2014, Plaintiff McKnight used the Uber App to obtain UberX transportation; as reflected on his electronic receipt, Plaintiff McKnight paid \$14.17 for the trip, including the \$1.00 Safe Rides Fee. Plaintiff McKnight has subsequently used other Uber ride options.

111. Uber did not disclose the Safe Rides Fee to Plaintiffs Philliben and McKnight before they paid for their rides and they were not aware of the Safe Rides Fee.

112. Uber did not clearly and conspicuously disclose the Safe Rides Fee to Plaintiffs Phillipen and McKnight before they paid for their rides.

113. Plaintiffs Philliben and McKnight did not notice the Safe Rides Fee hyperlink that appears on the electronic receipts they received following each UberX ride.

114. On or about October 13, 2013, Plaintiff Mena used his iPhone 4 to download the Uber App. Plaintiff Mena used the Uber App on his iPhone 4 to obtain an Uber account. Plaintiff Mena did not see Uber's Terms and Conditions at the time that he obtained his account.

115. After he had used the Uber App to obtain an Uber Account, Plaintiff Mena received an email from Uber with the subject line “Welcome to Uber!” (the “Welcome Email.”) The Welcome Email included several representations, including the following:

- Just request a pickup, and in minutes a car will be curbside and ready to take you wherever you need to go.

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- 1 • Order a Car: Use the iPhone or Android app, or visit m.uber.com to request a ride.
- 2 • Your Driver Comes to You: Sit back and relax. We'll text you when your Uber arrives.
- 3 • Hop in & Hop out: After arriving at your destination, we'll charge your credit card on
- 4 file and email you a receipt.

5 116. The Welcome Email also represented that Plaintiff Mena could obtain a "fare estimate"
 6 as follows: "You can get a fare estimate for your trip right in the app by following the instructions at
 7 t.uber.com/fare estimate."

8 117. The Welcome Email did not disclose that Uber would charge Plaintiff Mena's credit
 9 card for any fees, including the Safe Rides Fee.

10 118. Plaintiff Mena relied on the representations and omissions in the Welcome Email.

11 119. Plaintiff Mena used the Uber App to obtain UberX transportation on numerous
 12 occasions before and after the Safe Rides Fee was implemented.

13 120. For example, on May 6, 2014, Plaintiff Mena used the Uber App to obtain UberX
 14 transportation. Defendants did not disclose the Safe Rides Fee to Plaintiff Mena before he paid for the
 15 ride and Plaintiff Mena was not aware of the Safe Rides Fee. As reflected on his electronic receipt,
 16 however, Plaintiff Mena paid \$7.63 for the trip, which included the \$1.00 Safe Rides Fee. Plaintiff
 17 Mena has subsequently used other Uber ride options.

18 121. Uber did not clearly and conspicuously disclose the Safe Rides Fee to Plaintiff Mena
 19 before he paid for the ride, and Plaintiff Mena was not aware of the Safe Rides Fee.

20 122. Plaintiff Mena did not notice the Safe Rides Fee hyperlink that appears on the
 21 electronic receipts he received following each UberX ride.

22 123. On or about May 11, 2014, Plaintiff Schreiber used his Samsung Galaxy S4 to
 23 download the Uber App. Plaintiff Schreiber used the Uber App to obtain an Uber account. Plaintiff
 24 Schreiber did not see Uber's Terms and Conditions at the time that he obtained his account.

25 124. Following Defendants' April 2014 introduction of the Safe Rides Fee, Plaintiff
 26 Schreiber used the Uber App to obtain UberX transportation on numerous occasions.

27 125. For example, on October 24, 2014, Plaintiff Schreiber used the Uber App to obtain
 28 UberX transportation. Uber did not disclose the Safe Rides Fee to Plaintiff Schreiber before he paid

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1 for the ride and Plaintiff Schreiber was not aware of the Safe Rides Fee. As reflected on his electronic
 2 receipt, however, Plaintiff Schreiber paid the \$4.79 cost for the trip, which included the \$1.00 Safe
 3 Rides Fee. Plaintiff Schreiber has subsequently used other Uber ride options.

4 126. Uber did not clearly and conspicuously disclose the Safe Rides Fee to Plaintiff
 5 Schreiber before he paid for the ride, and Plaintiff Schreiber was not aware of the Safe Rides Fee.

6 127. Plaintiff Schreiber did not notice the Safe Rides Fee hyperlink that appears on the
 7 electronic receipts he received following each UberX ride.

8 128. On or about February 2014, Plaintiff Coolidge used his smartphone to download the
 9 Uber App. Plaintiff Coolidge used the Uber App to obtain an Uber account. Plaintiff Coolidge did
 10 not see Uber's Terms and Conditions at the time that he obtained his account.

11 129. Following Uber's April 2014 introduction of the Safe Rides Fee, Plaintiff Coolidge
 12 used the Uber App to obtain UberX transportation on numerous occasions.

13 130. For example, on April 30, 2014, Plaintiff Coolidge used the Uber App to obtain UberX
 14 transportation. Defendants did not disclose the Safe Rides Fee to Plaintiff Coolidge before he paid for
 15 the ride and Plaintiff Coolidge was not aware of the Safe Rides Fee. As reflected on his electronic
 16 receipt, however, Plaintiff Coolidge paid \$19.26 cost for the trip, which included the \$1.00 Safe Rides
 17 Fee. Plaintiff Coolidge has subsequently used other Uber ride options.

18 131. Uber did not clearly and conspicuously disclose the Safe Rides Fee to Plaintiff
 19 Coolidge before he paid for the ride, and Plaintiff Coolidge was not aware of the Safe Rides Fee.

20 132. Plaintiff Coolidge did not notice the Safe Rides Fee hyperlink that appears on the
 21 electronic receipts he received following each UberX ride.

22 133. On or about October 24, 2014, Plaintiff Mejia used his iPhone 5 to download the Uber
 23 App. Plaintiff Mejia used the Uber App on his iPhone 5 to obtain an Uber account. Plaintiff Mejia
 24 did not see Uber's Terms and Conditions at the time that he obtained his account.

25 134. Following Defendants' April 2014 introduction of the Safe Rides Fee, Plaintiff Mejia
 26 used the Uber App to obtain UberX transportation on numerous occasions.

27 135. For example, on November 21, 2014, Plaintiff Mejia used the Uber App to obtain
 28 UberX transportation. Defendants did not disclose the Safe Rides Fee to Plaintiff Mejia before he paid

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1 for the ride and Plaintiff Mejia was not aware of the Safe Rides Fee. As reflected on his electronic
2 receipt, however, Plaintiff Mejia paid \$25.00 for the trip, which included the \$1.00 Safe Rides Fee.
3 Plaintiff Mejia has subsequently used other Uber ride options.

4 136. Defendants did not clearly and conspicuously disclose the Safe Rides Fee to Plaintiff
5 Mejia before he paid for the ride, and Plaintiff Mejia was not aware of the Safe Rides Fee.

6 137. Plaintiff Mejia did not notice the Safe Rides Fee hyperlink that appears on the
7 electronic receipts he received following each UberX ride.

CLASS ALLEGATIONS

9 138. Plaintiffs bring this class action under Rule 23 and seek certification of the claims and
10 issues in this action pursuant to the applicable provisions of Rule 23. The proposed class is defined as:

11 All persons residing in the United States or its territories who, from January 1, 2013 until the
12 date that notice of this class action is disseminated to the Class, have used the Uber smartphone
13 application or website, in the United States or its territories, to obtain service from one of Uber's
14 Rideshare Services. Excluded from the Class are (a) all persons who are employees, directors,
15 officers, and agents of Uber Technologies, Inc. and Raiser, LLC; (b) governmental entities; and (c) the
16 Court, the Court's immediate family, and Court staff. Uber means the companies, incorporated in the
17 State of Delaware as Uber Technologies, Inc. and Rasier, LLC, who operate the ride shares service
18 commonly known as Uber. Uber's Rideshare Services means all transportation services that are
19 arranged through Uber's smartphone application or website, regardless of type of ride or service that is
20 requested (such as UberX, UberSUV, UberBlack, UberPool, etc.).

21 139. Plaintiffs reserve the right to amend or modify the Class definitions with greater
22 specificity or division into subclasses after having had an opportunity to conduct discovery.

23 140. All rides on an Uber platform are subject to Uber's false or misleading statements about
24 safety.

141. Numerosity. Fed. R. Civ. P. 23(a)(1). Upon information and belief, there are millions
of riders who have taken a ride on one or more Uber platforms, making joinder of all class members
impracticable. The exact size of the proposed class and the identity of all class members can be
readily ascertained from Defendants' records.

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1 142. Commonality. Fed. R. Civ. P. 23(a)(2) and (b)(3). There are questions of law and fact
 2 common to the class, which questions predominate over any questions affecting only individual class
 3 members. The principal common issues include:

- 4 a. Whether or the extent to which Uber represented on its website and other
 marketing materials that it conducts “industry leading” background checks on its drivers;
- 5 b. Whether or the extent to which Uber represented that its service is “safer than a
 taxi”;
- 6 c. Whether or the extent to which Uber’s background checks are or were “industry
 leading”;
- 7 d. Whether or the extent to which Uber is or was “safer than a taxi”;
- 8 e. Whether or the extent to which Uber’s statements and representations about
 safety, background checks, and the Safe Rides Fee are or were false or misleading;
- 9 f. Whether or the extent to which Uber uses revenue generated by the collection of
 the Safe Rides Fee to provide “regular motor vehicle inspections”;
- 10 g. Whether or the extent to which Uber uses revenue generated by the collection of
 the Safe Rides Fee to provide “driver safety education”;
- 11 h. Whether or the extent to which Uber uses revenue generated by the collection of
 the Safe Rides Fee to “develop safety features for the app”;
- 12 i. Whether or the extent to which Uber uses revenue generated by the collection of
 the Safe Rides Fee to support other efforts to ensure consumer safety;
- 13 j. Whether Uber breached an implied contract with consumers;
- 14 k. Whether Uber violated Illinois Consumer Fraud Act, 815 ILCS 502/2, *et seq.*;
- 15 l. Whether Uber’s conduct is unfair or unlawful in violation of the Unfair
 Competition Law, California Business and Professions Code § 17200, *et seq.*;
- 16 m. Whether Uber’s conduct constitutes untrue or misleading statements within the
 meaning of California Business and Professions Code § 17500, *et seq.*;
- 17 n. The nature of the relief, including equitable relief, to which Plaintiffs and the
 class are entitled.

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1 143. Typicality. Fed. R. Civ. P. 23(a)(3). Plaintiffs' claims are typical of the claims of the
2 Class they seek to represent. Plaintiffs and all Class members were exposed to uniform practices and
3 sustained injuries arising out of and caused by Uber's unlawful conduct.

4 144. Adequacy of Representation. Fed. R. Civ. P. 23(a)(4). Plaintiffs will fairly and
5 adequately represent and protect the interests of the members of the Class. Further, Plaintiffs' counsel
6 is competent and experienced in litigating class actions.

7 145. Superiority. Fed. R. Civ. P. 23(b)(3). A class action is superior to any other available
8 means for the fair and efficient adjudication of this controversy. The claims of Plaintiffs and
9 individual class members are small compared to the burden and expense that would be required to
10 separately litigate their claims against Uber, and it would be impracticable for class members to seek
11 redress individually. Litigating claims individually would also be wasteful to the resources of the
12 parties and the judicial system and create the possibility of inconsistent or contradictory judgments.
13 Class treatment provides manageable judicial treatment which will bring an orderly and efficient
14 conclusion to all claims arising from Uber’s misconduct. Class certification is therefore appropriate
15 under Rule 23(b)(3).

16 146. Class certification is also appropriate under Rule 23(b)(1), as the prosecution of
17 separate actions by individual members of the class would create the risk of adjudications with respect
18 to individual class members that would, as a practical matter, be dispositive of the interests of other
19 members not parties to the adjudication and substantially impair their ability to protect those interests.

147. Class certification is also appropriate under Rule 23(b)(2), as Uber has acted or refused
to act on grounds generally applicable to the class, thereby making final injunctive relief or
corresponding declaratory relief appropriate for the class.

FIRST CAUSE OF ACTION

Breach of Implied Contract

(On behalf of Plaintiffs Mena and McKnight and the Nationwide Class, or, Alternatively, The California Class – under the law of the State of California)

27 148. Plaintiffs incorporate all preceding factual allegations as if fully set forth herein.

²⁸ 149. An implied-in-fact contract was formed between Plaintiffs and Class members on the

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1 one hand and Uber on the other with respect to the Safe Rides Fee and the safety features of Uber's
 2 service as represented by Uber (the "Safe Rides Fee Agreement").

3 150. At all times relevant, Uber offered to enter into the Safe Rides Fee Agreement when it
 4 demanded payment of the Safe Rides Fee from Plaintiffs and other Class members to support its safety
 5 efforts.

6 151. At all times relevant, Uber offered to enter into the Safe Rides Fee Agreement with
 7 consumers when it demanded payment of the Safe Rides Fee from Plaintiffs and other Class members.

8 152. Plaintiffs and other Class members accepted Uber's offer by paying the Safe Rides Fee.
 9 Through their payment of the Safe Rides Fee, Plaintiffs and other Class members performed all
 10 conditions, covenants and promises required of Plaintiff and other Class members in accordance with
 11 the Safe Rides Fee Agreement.

12 153. Uber breached the Safe Rides Fee Agreement in at least the following respects:

13 a. Uber did not use the Safe Rides Fee to provide an industry-leading background
 14 check process;

15 b. Uber did not use enough of the Safe Rides Fee to provide regular motor vehicle
 16 checks;

17 c. Uber did not use enough of the Safe Rides Fee to provide "driver safety
 18 education";

19 d. Uber has not used enough of the Safe Rides Fee to develop safety features on
 20 the Uber App for the vast majority of consumers in the United States; and

21 e. Upon information and belief, Uber has retained considerable portions of the
 22 revenue generated from the Safe Rides Fee for purposes unrelated to the provision of safe rides for
 23 consumers.

24 154. No express contract exists between Plaintiffs and Class members on the one hand and
 25 Uber on the other with respect to the imposition and collection of the Safe Rides Fee.

26 155. Uber's breaches were willful and not the result of mistake or inadvertence.

27 156. As a result of Uber's breach of the Safe Rides Fee Agreement, Plaintiffs and other
 28 Class members have been damaged in an amount to be determined at trial.

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SECOND CAUSE OF ACTION

Breach of Implied Contract

**(On behalf of Plaintiffs Schreiber and Mejia and the Nationwide Class, or, Alternatively, The Illinois
Class – under the law of the State of Illinois)**

157. Plaintiffs Schreiber and Mejia incorporate all preceding factual allegations as if fully set forth herein.

158. An implied-in-fact contract was formed between Plaintiffs Schreiber and Mejia and Class members on the one hand and Uber on the other with respect to the Safe Rides Fee (the “Safe Rides Fee Agreement”).

159. At all times relevant, Uber offered to enter into the Safe Rides Fee Agreement when it demanded payment of the Safe Rides Fee from Plaintiffs Schreiber and Mejia and other Class members to support its safety efforts.

160. At all times relevant, Uber offered to enter into the Safe Rides Fee Agreement with consumers when it demanded payment of the Safe Rides Fee from Plaintiffs and other Class members.

161. Plaintiffs Schreiber and Mejia and other Class members accepted Uber's offer by paying the Safe Rides Fee. Through their payment of the Safe Rides Fee, Plaintiffs Schreiber and Mejia and other Class members performed all conditions, covenants and promises required of Plaintiffs and other Class members in accordance with the Safe Rides Fee Agreement.

162. Uber breached the Safe Rides Fee Agreement in at least the following respects:

- a. Uber did not use the Safe Rides Fee to provide an industry-leading background check process.

b. Uber did not use enough of the Safe Rides Fee to provide regular motor vehicle checks;

c. Uber did not use enough of the Safe Rides Fee to provide “driver safety education”;

d. Uber has not used enough of the Safe Rides Fee to develop safety features on the Uber App for the vast majority of consumers in the United States; and

e. Upon information and belief, Uber has retained considerable portions of the

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1 revenue generated from the Safe Rides Fee for purposes unrelated to the provision of safe rides for
2 consumers.

3 163. No express contract exists between Plaintiffs Schreiber and Mejia and Class members
4 on the one hand and Uber on the other with respect to the imposition and collection of the Safe Rides
5 Fee.

6 164. Uber's breaches were willful and not the result of mistake or inadvertence.

7 165. As a result of Uber's breach of the Safe Rides Fee Agreement, Plaintiffs Schreiber and
8 Mejia and other Class members have been damaged in an amount to be determined at trial.

THIRD CAUSE OF ACTION

Breach of Implied Contract

**(On behalf of Plaintiff Coolidge and the Nationwide Class, or, Alternatively, The Massachusetts
Class – under the law of the State of Massachusetts)**

13 166. Plaintiff Coolidge incorporates all preceding factual allegations as if fully set forth
14 herein

15 167. An implied-in-fact contract was formed between Plaintiff Coolidge and Class members
16 on the one hand and Uber on the other with respect to the Safe Rides Fee (the “Safe Rides Fee
17 Agreement”).

18 168. At all times relevant, Uber offered to enter into the Safe Rides Fee Agreement when it
19 demanded payment of the Safe Rides Fee from Plaintiff and other Class members to support its safety
20 efforts.

169. At all time relevant, Uber offered to enter into the Safe Rides Fee Agreement with
consumers when it demanded payment of the Safe Rides Fee from Plaintiff and other Class members.

23 170. Plaintiff and other Class members accepted Uber's offer by paying the Safe Rides Fee.
24 Through their payment of the Safe Rides Fee, Plaintiff and other Class members performed all
25 conditions, covenants and promises required of Plaintiff and other Class members in accordance with
26 the Safe Rides Fee Agreement.

27 171. Uber breached the Safe Rides Fee Agreement in at least the following respects:

a. Uber did not use the Safe Rides Fee to provide an industry-leading background

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1 || check process;

b. Uber did not use enough of the Safe Rides Fee to provide regular motor vehicle checks;

4 c. Uber did not use enough of the Safe Rides Fee to provide “driver safety
5 education”;

6 d. Uber has not used enough of the Safe Rides Fee to develop safety features on
7 the Uber App for the vast majority of consumers in the United States; and

8 e. Upon information and belief, Uber has retained considerable portions of the
9 revenue generated from the Safe Rides Fee for purposes unrelated to the provision of safe rides for
0 consumers.

11 172. No express contract exists between Plaintiff and Class members on the one hand and
12 Uber on the other with respect to the imposition and collection of the Safe Rides Fee.

173. Uber's breaches were willful and not the result of mistake or inadvertence.

14 174. As a result of Uber's breach of the Safe Rides Fee Agreement, Plaintiff and other Class
15 members have been damaged in an amount to be determined at trial.

FOURTH CAUSE OF ACTION

Violation of Consumers Legal Remedies Act – California Civil Code § 1750, *et seq.*

20 175. Plaintiffs incorporate all preceding factual allegations as if fully set forth herein.

176. This cause of action is brought pursuant to the Consumers Legal Remedies Act,

22 California Civil Code § 1750, *et seq.* (the “CLRA”) because Uber’s actions and conduct described
23 herein constitute transactions that have resulted in the sale or lease of goods or services to consumers.

24 177. Plaintiffs are consumers as defined by California Civil Code § 1761(d).

25 178. The Products are services within the meaning of Civil Code § 1761(a).

26 || 179. Uber violated the CLRA in at least the following respects:

27 a. In violation of Cal. Civ. Code § 1770(a)(5), Uber, by use of the untrue or
28 misleading statements set forth and alleged in this complaint, represented that services have

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1 characteristics or benefits which they do not have;

2 b. In violation of Cal. Civ. Code § 1770(a)(7), Uber, by use of the untrue or
 3 misleading statements set forth and alleged in this complaint, represented that services are of a
 4 particular standard of quality when they are of another;

5 c. In violation of Cal. Civ. Code § 1770(a)(8), Uber, by use of the untrue or
 6 misleading statements set forth and alleged in this complaint, disparaged the services or business of
 7 another by false or misleading representation of fact;

8 d. In violation of Cal. Civ. Code § 1770(a)(9), Uber advertised its transportation
 9 services (as requiring an automatic payment only of the fare) with the intent not to sell them as
 10 advertised (because Uber intended to, and did, automatically charge both the fare and the Safe Rides
 11 Fee to the consumer's credit card, debit card or PayPal account on file with Uber).

12 e. In violation of Cal. Civ. Code § 1770(a)(14), Uber represented that a transaction
 13 involves obligations (by assessing and charging the Safe Rides Fee and itemizing it on each
 14 consumer's receipt), which it does not have or involve, or which are prohibited by law (because Uber
 15 charged the Safe Rides Fee to consumers without clearly and conspicuously disclosing the fee and
 16 without the consumers' express, informed consent to pay the fee).

17 f. In violation of Cal. Civ. Code § 1770(a)(16), Uber represented that the subject
 18 of a transaction has been supplied in accordance with a previous representation (that only the fare will
 19 be automatically charged to the consumer's credit card on file with Uber) when it was not (because
 20 Uber automatically charged both the fare and the Safe Rides Fee to the consumer's credit card, debit
 21 card or PayPal account on file with Uber).

22 180. Uber knew, or should have known, that its representations and advertisements about the
 23 Safe Rides Fee, safety, background checks, and other topics were false or misleading.

24 181. On April 10, 2015, Plaintiffs notified Uber in writing, by certified mail, of the
 25 violations alleged herein and demanded that Defendant remedy those violations.

26 182. Uber's conduct is malicious, fraudulent, and wanton in that Defendant intentionally and
 27 knowingly provided misleading information to the public.

28

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FIFTH CAUSE OF ACTION

Unlawful Business Practices In Violation of Cal. Bus. & Prof. Code § 17200, *et seq.*

**(On behalf of Plaintiffs and the Nationwide Class or, alternatively, The California Class – under
the law of California)**

183. Plaintiffs incorporate all preceding factual allegations as if fully set forth herein.

184. Uber's conduct constitutes unlawful business acts or practices under California's Unfair Competition Law, California Business & Professions Code § 17200, *et seq.* (the "UCL").

185. Uber's business practices are unlawful because, as detailed above, they constitute (1) a breach of the implied contract between Plaintiffs and Class members on the one hand and Uber on the other, (2) a violation of the CLRA, and (3) because Uber has been unjustly enriched.

186. As a result of Uber's unlawful business acts and practices, Plaintiffs and other Class members have suffered injury in fact and lost money or property.

187. Plaintiffs request that the Court issue sufficient equitable relief to restore Class members to the position they would have been in had Uber not engaged in unfair competition, including by ordering restitution of all funds that Uber may have acquired as a result of its unfair competition and an injunction prohibiting the charging of fees that are called the Safe Rides Fee.

SIXTH CAUSE OF ACTION

Unfair Business Practices In Violation of Cal. Bus. & Prof. Code § 17200, *et seq.*

**(On behalf of Plaintiffs and the Nationwide Class or, alternatively, The California Class – under
the law of California)**

188. Plaintiffs incorporate all preceding factual allegations as if fully set forth herein.

^{189.} The UCL proscribes unfair business acts or practices.

190. A business act or practice is “unfair” under the UCL if the reasons, justifications and motives of the alleged wrongdoer are outweighed by the gravity of the harm to the alleged victims. A business act or practice is also “unfair” under the UCL if Uber’s conduct practice is immoral, unethical, oppressive, unscrupulous or substantially injurious to consumers. A business act or practice is also “unfair” under the UCL where the consumer injury is substantial; the injury is not outweighed by any countervailing benefits to consumers or competition; and the injury is one that consumers

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1 themselves could not reasonably have avoided.

2 191. Uber's conduct as detailed herein constitutes unfair business acts and practices.

3 192. Uber charged and collected a Safe Rides Fee for "industry leading background checks"
4 but did not provide "industry leading background checks."

5 193. Uber charged and collected a Safe Rides Fee "to support . . . driver safety education"
6 but did not use enough of the revenue generated from the collection of the Safe Rides Fee to provide
7 driver safety education for Uber drivers. Instead, Uber offered Uber drivers an optional "driver
8 training class" at a cost between \$40 and \$65, which would be paid by the Uber driver, and not by
9 revenue generated from the Safe Rides Fee.

10 194. Uber charged and collected a Safe Rides Fee "to support . . . regular motor vehicle
11 inspections" but did not use enough of the revenue generated from the collection of the Safe Rides Fee
12 to provide regular motor vehicle inspections for all Uber drivers. The vast majority of Uber drivers
13 pay the costs for their own motor vehicle inspection. Other Uber drivers are offered free inspections
14 provided they spend a set amount in repairs.

15 195. Plaintiffs and other Class members had no way of reasonably knowing that Uber would
16 not use the Safe Rides Fee for the purposes for which they paid that fee. Nor did Plaintiffs and other
17 Class members have a means to reasonably know that Uber was overcharging Plaintiffs and other
18 Class members for the safety-related services that were not provided or not sufficiently provided.

19 196. The consequences of Uber's conduct as detailed herein outweigh any justification,
20 motive or reason for Uber's conduct. Uber's conduct is and continues to be unlawful, immoral,
21 unethical, oppressive, unscrupulous and substantially injurious to Plaintiffs and members of the Class.
22 Uber's conduct has caused substantial injury to Plaintiffs and other Class members (because it results
23 in a windfall for Uber estimated to be in excess of \$20,000,000), that injury is not outweighed by any
24 countervailing benefits to consumers (because Uber does not use the Safe Rides Fee revenue for the
25 purposes for which it was collected) and Plaintiffs and Class members could not have avoided such
26 injury since the assessment and collection of the Safe Rides Fee was mandatory and automatic, and
27 was not clearly and conspicuously disclosed.

28 197. As a result of Uber's unfair business acts and practices, Plaintiffs and other Class

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1 members have suffered injury in fact and lost money or property. Plaintiffs request that the Court
 2 issue sufficient equitable relief to restore Class members to the position they would have been in had
 3 Uber not engaged in unfair competition, including by ordering restitution of all funds that Uber may
 4 have acquired as a result of its unfair competition and an injunction prohibiting the charging of the
 5 Safe Rides Fee, and by requiring Uber to correct its labeling and to engage in a corrective advertising
 6 campaign.

7 **SEVENTH CAUSE OF ACTION**

8 **Violation of the Illinois Consumer Fraud Act, 815 ILCS 502/2, *et seq.***

9 **(On behalf of Plaintiffs Schreiber and Mejia and the Illinois Class – under the law of Illinois)**

10 198. Plaintiffs Schreiber and Mejia incorporate all preceding factual allegations as if fully
 11 set forth herein.

12 199. In Illinois, the “Consumer Fraud and Deceptive Business Practices Act” 815 Ill. Comp.
 13 Stat. 502/2, *et seq.*, prohibits the use of unfair or deceptive business practices in the conduct of trade or
 14 commerce.

15 200. In the course of conducting business, Uber committed unfair or deceptive acts and
 16 practices by concealing, suppressing, or omitting material facts, as set forth more fully herein.

17 201. Uber intended that Plaintiffs Schreiber and Mejia and each of the other members of the
 18 Illinois Class would rely upon its conduct, and a reasonable person would in fact be misled by this
 19 conduct.

20 202. In addition, Uber’s conduct showed malice and recklessness such that an award of
 21 punitive damages is appropriate.

22 **EIGHTH CAUSE OF ACTION**

23 **False Advertising In Violation of Cal. Bus. & Prof. Code § 17500, *et seq.***

24 **(On behalf of Plaintiffs and the Nationwide Class or, alternatively, The California Class – under
 25 the law of California)**

26 203. Plaintiffs incorporate all preceding factual allegations as if fully set forth herein.

27 204. Beginning at an exact date unknown to Plaintiffs, but in any event within three years of
 28 the filing of the first complaint in this case, and continuing to the present, Uber, with the intent to

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1 perform services, or to induce members of the public to enter into obligations relating thereto, made or
2 disseminated or caused to be made or disseminated before Plaintiffs and the putative class statements
3 concerning such services, or matters of fact connected with the performance thereof, which were
4 untrue or misleading, and which Uber knew or reasonably should have known were untrue or
5 misleading, in violation of Business and Professions Code section 17500, *et seq.* Such statements
6 include but are not limited to all of the representations set forth and discussed in the previous
7 paragraphs of this complaint, all of which are incorporated herein by this reference.

REQUEST FOR RELIEF

9 WHEREFORE, Plaintiffs, on behalf of themselves and the class of similarly situated
10 individuals, request the Court to:

- 11 (a) Certify the case as a class action pursuant to Rule 23 of the Federal Rules of Civil
12 Procedure, designate Plaintiffs as representatives of the class and designate counsel of
13 record as class counsel;

14 (b) Order Uber to provide actual damages and equitable monetary relief (including
15 restitution) to Plaintiffs and class members and/or order Uber to disgorge profits they
16 realized as a result of their unlawful conduct;

17 (c) Order Uber to pay punitive damages, as allowable by law, to Plaintiffs and class
18 members;

19 (d) Order Uber to pay statutory damages, as allowable by the statutes asserted herein, to
20 Plaintiffs and class members;

21 (e) Declare Uber's conduct unlawful and enter an order enjoining Uber from continuing to
22 engage in the conduct alleged herein;

23 (f) For both pre and post-judgment interest at the maximum allowable rate on any amounts
24 awarded;

25 (g) For costs of the proceedings herein;

26 (h) For reasonable attorneys' fees as allowed by statute; and

27 (i) Award such other relief as the Court deems appropriate under the circumstances.

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1 DATED: January 7, 2015

Respectfully submitted,

2
3 **AHDOOT & WOLFSON, PC**

4
5
6
7
8
9
10 By: /s/ Robert Ahdoot
11 Robert Ahdoot
rahdoot@ahdootwolfson.com
Tina Wolfson
twolfson@ahdootwolfson.com
Meredith S. Lierz
mlierz@ahdootwolfson.com
1016 Palm Avenue
West Hollywood, California 90069
Tel: 310-474-9111
Fax: 310-474-8585

11
12
13 *Attorneys for Plaintiffs,*
14 Julian Mena, Todd Schreiber, Nate Coolidge, and
15 Ernesto Mejia

16
17 **ARIAS, SANGUINETTI, STAHL &**
18 **TORRIJOS, LLP**

19
20 By: /s/ Mike Arias
21 Mike Arias
mike@asstlawyers.com
22 Alfredo Torrijos
alfredo@asstlawyers.com
6701 Center Drive West, Suite 1400
23 Los Angeles, California 90045-7504
24 Tel: 310-844-9696; Fax: 310-861-0168

25
26 **LIDDLE & DUBIN, P.C.**

27
28 By: /s/ Steven D. Liddle
Steven D. Liddle (admitted *pro hac vice*)
sliddle@ldclassaction.com
Nicholas A. Coulson (admitted *pro hac vice*)
ncoulson@ldclassaction.com
975 E. Jefferson Avenue
Detroit, Michigan 48207
Tel: 313-392-0015; Fax: 313-392-0025

Attorneys for Plaintiffs,
Matthew Phillipen and Byron McKnight

EXHIBIT 2

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UNITED STATES DISTRICT COURT

FOR THE NORTHERN DISTRICT OF CALIFORNIA

BYRON MCKNIGHT, JULIAN MENA,
TODD SCHREIBER, NATE COOLIDGE, and
ERNESTO MEJIA, individually and on behalf
of all others similarly situated,

Plaintiffs,

V.

UBER TECHNOLOGIES, INC., a Delaware
Corporation, RASIER, LLC, a Delaware
Limited Liability Company

Defendants.

CASE NO. 3:14-cv-05615-JST

AMENDED STIPULATION OF SETTLEMENT

Hon. Jon S. Tigar, Presiding

AMENDED STIPULATION OF SETTLEMENT (CASE NO. 3:14-cv-05615-JST)

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EXHIBIT LIST

- Exhibit A:** [Proposed] Final Order

Exhibit B: [Proposed] Final Judgment

Exhibit C: Payment Election Form

Exhibit D: [Proposed] Preliminary Approval Order

Exhibit E: Long Form Notice

Exhibit F: Settlement Administration Protocol

Exhibit G: Summary Notice

Exhibit H: Publication Notice and Banner Advertisements

Exhibit I: Declaration of the Settlement Administrator

1 Plaintiffs¹ Nate Coolidge, Byron McKnight, Ernesto Mejia, Julian Mena, Todd Schreiber,
 2 and Defendant Rasier, LLC and Uber Technologies, Inc., by and through their respective counsel, in
 3 consideration for and subject to the promises, terms, and conditions contained in this Amended
 4 Stipulation of Settlement, hereby stipulate and agree, subject to Court approval pursuant to Rule 23
 5 of the Federal Rules of Civil Procedure, as follows:

6 **I. RECITALS**

7 WHEREAS, on or about December 23, 2014, plaintiffs Matthew Philliben and Byron
 8 McKnight filed a putative class action lawsuit, on behalf of themselves and others similarly
 9 situated, against Uber Technologies, Inc. and Rasier, LLC, in the United States District Court for
 10 the Northern District of California, Case No. 4:14-cv-05615 (“*McKnight*”)², which asserted causes
 11 of action for alleged violations of California’s False Advertising Law (Cal. Bus. & Prof. Code
 12 § 17500 *et seq.*) and California’s Unfair Competition Law (Cal. Bus. & Prof. Code § 17200 *et seq.*)
 13 and which alleged, *inter alia*, that Defendants made misrepresentations and omissions regarding
 14 their “Safe Rides Fee,” their safety measures, and the nature and character of their background
 15 checks, on behalf of a putative nationwide class of consumers (*McKnight*, ECF Docket (“Dkt.”) 1);

16 WHEREAS, on or about January 6, 2015, Andrea Pappey filed a putative class action
 17 lawsuit, on behalf of herself and others similarly situated, against Uber Technologies, Inc., in the
 18 United States District Court for the Northern District of California, Case No. 3:15-cv-00064
 19 (“*Mena*”). On or about April 13, 2015, (i) the Complaint filed in *Mena* was amended to, among
 20 other things, add Plaintiffs Julian Mena, Todd Schreiber, Nate Coolidge, and Ernesto Mejia as
 21 representative Plaintiffs, and (ii) Andrea Pappey withdrew from the *Mena* lawsuit as a plaintiff.
 22 The *Mena* lawsuit asserted causes of action for Breach of Implied Contract (pursuant to California,
 23 Illinois, and Massachusetts law), alleged violations of California’s Consumers Legal Remedies Act
 24

25 ¹ Unless otherwise defined, all capitalized terms used herein shall have the same meaning and
 26 effect as defined in Section II of this Amended Stipulation of Settlement, entitled “Definitions.”

27 ² On May 18, 2017, a Stipulation of Partial Dismissal of Matthew Philliben was filed
 28 dismissing all claims related to Matthew Philliben without prejudice. This matter is hereafter
 referred to herein as *McKnight, et al v. Uber Technologies, Inc.* et al. *McKnight*, Dkt. 122.

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1 (Cal. Civ. Code § 1750 *et seq.*), California's Unfair Competition Law (Cal. Bus. & Prof. Code
 2 § 17200 *et seq.*), California's False Advertising Law (Cal. Bus. & Prof. Code § 17500 *et seq.*), and
 3 Illinois Consumer Fraud Act (815 ILCS 502/2, *et seq.*) and which alleged, *inter alia*, that
 4 Defendants made misrepresentations and omissions regarding their "Safe Rides Fee," their safety
 5 measures, and the nature and character of their background checks, on behalf of a putative
 6 nationwide class, or in the alternative, a California, Illinois, and Massachusetts class of consumers.
 7 (*Mena* Dkt. 28);

8 WHEREAS, on or about February 16, 2015, the Parties filed a Stipulation and [Proposed]
 9 Order Relating Cases. The Court granted this stipulation on or about February 18, 2015 (*McKnight*,
 10 Dkt. 23; *Mena*, Dkt. 19), and ordered that *Mena* and *McKnight* are related;

11 WHEREAS, on or about March 20, 2015, in response to the complaint filed in *McKnight*,
 12 Defendants filed a Motion to Stay Proceedings Pending Arbitration (*McKnight*, Dkts. 25 to 29). The
 13 *McKnight* plaintiffs filed their response in opposition to this Motion on or about May 14, 2015
 14 (*McKnight*, Dkt. 37) and Defendants filed their Reply on or about May 26, 2015 (*McKnight*, Dkts.
 15 38 to 39);

16 WHEREAS, on or about May 4, 2015, Defendants filed an Administrative Motion To
 17 Determine Whether Cases Should Be Related seeking to relate *Mena* and *McKnight* to a lawsuit
 18 entitled *L.A. Taxi Cooperative, Inc. et al. v. Uber Technologies, Inc. et al.*, Case No. 3:15-cv-01257,
 19 filed on or about March 18, 2015 in the United States District Court for the Northern District of
 20 California ("L.A. Taxi") (*McKnight*, Dkts. 34 to 35). The Court granted this Motion on or about
 21 May 12, 2015 (*McKnight*, Dkt. 36);

22 WHEREAS, on or about May 4, 2015, in the response to the first amended complaint filed
 23 in *Mena*, Defendant, Uber Technologies, Inc. filed a Motion to Stay Proceedings Pending
 24 Arbitration (*Mena*, Dkts. 31 to 36). The *Mena* plaintiffs filed their response in opposition to this
 25 Motion on or about May 13, 2015 (*Mena*, Dkts. 37 to 38) and Defendants filed their Reply on or
 26 about May 26, 2015 (*Mena*, Dkts. 39 to 41);

27 WHEREAS, on or about June 1, 2015, the *Mena* plaintiffs filed an Objection To And
 28 Motion To Strike Reply Evidence Re Defendant's Motion To Stay Proceedings Pending

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1 Arbitration, Or In The Alternative, Request For A Surreply (*Mena*, Dkt. 42). On or about June 2,
2 2015, the Court granted the *Mena* plaintiffs leave to file a Surreply and continued the hearing on
3 Defendant's Motion to Stay from June 11, 2015 to July 2, 2015. (*Mena*, Dkt. 43);

4 WHEREAS, on or about June 9, 2015, the *Mena* plaintiffs filed a Surreply In Opposition To
5 Defendant's Motion To Stay Proceedings Pending Arbitration (*Mena*, Dkt. 45). On or about June
6 10, 2015, the *Mena* plaintiffs also filed a Statement of Recent Decision In Support of Plaintiffs'
7 Opposition To Defendant's Motion To Stay Proceedings Pending Arbitration (*Mena*, Dkt. 46);

8 WHEREAS, on or about June 29, 2015, the Parties filed a Stipulation With Proposed Order
9 For A Temporary Stay Pending Mediation (*Mena*, Dkt. 48; *McKnight*, Dkt. 48);

10 WHEREAS, on or about July 29, 2015, the Parties filed a Stipulation With Proposed Order
11 For A Second Temporary Stay Pending Mediation (*Mena*, Dkt. 52; *McKnight*, Dkt. 51);

12 WHEREAS, on July 29, 2015, the Parties filed a Stipulation and Protective Order (*Mena*
13 Dkt. 49; *McKnight* Dkt. 50), which was entered by the Court on August 3, 2015 (*Mena* Dkt. 51;
14 *McKnight* Dkt. 52);

15 WHEREAS, on or about August 24, 2015, the Parties attended an in-person mediation
16 session with the Honorable Carl J. West (Ret.) of JAMS;

17 WHEREAS, on or about September 17, 2015, the Parties filed a Joint Stipulation And
18 Proposed Order Updating The Court On Settlement Discussions And Requesting Extension Of
19 Temporary Stay Pending Further Mediation (*Mena*, Dkt. 56; *McKnight*, Dkt. 57);

20 WHEREAS, on or about October 2, 2015, the Parties attended a second in-person mediation
21 session with the Honorable Carl J. West (Ret.) of JAMS;

22 WHEREAS, on or about October 30, 2015, the Parties attended a third in-person mediation
23 session with the Honorable Carl J. West (Ret.) of JAMS;

24 WHEREAS, on or about November 16, 2015, the Parties filed a Stipulation and Proposed
25 Order Updating the Court on the Settlement Discussions and Requesting Extension of Temporary
26 Stay;

27

28

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1 WHEREAS, on or about December 14, 2015, the Parties filed a Stipulation and Proposed
2 Order Updating the Court on the Parties' Settlement in Principle and Requesting that Arbitration
3 Hearing be Vacated;

4 WHEREAS, on or about January 7, 2016, Plaintiffs filed a Consolidated Class Action
5 Complaint, which asserted causes of action for Breach of Implied Contract, alleged violations of
6 California's Consumers Legal Remedies Act (Cal. Civ. Code § 1750 *et seq.*), California's Unfair
7 Competition Law (Cal. Bus. & Prof. Code § 17200 *et seq.*), California's False Advertising Law
8 (Cal. Bus. & Prof. Code § 17500 *et seq.*), and Illinois Consumer Fraud Act (815 ILCS 502/2, *et
seq.*) and which alleged, *inter alia*, that Defendants made misrepresentations and omissions
10 regarding their "Safe Rides Fee," their safety measures, and the nature and character of their
11 background checks, on behalf of a putative nationwide class, or in the alternative, a California,
12 Illinois, and Massachusetts class of consumers (*McKnight*, Dkt. 67);

13 WHEREAS, on or about February 11, 2016, Plaintiffs and Defendants entered into a
14 Stipulation of Settlement that was filed with the Court on February 11, 2016 ("First Stipulation")
15 (*McKnight*, Dkt. 74);

16 WHEREAS, on February 11, 2016, Plaintiffs filed a Motion for Preliminary Approval of
17 Class Action Settlement (*McKnight*, Dkt. 75-3);

18 WHEREAS, on August 30, 2016, the Court issued an Order Denying Motion for
19 Preliminary Approval of Class Action Settlement (*McKnight*, Dkt. 98);

20 WHEREAS, following the August 30, 2016 Order denying preliminary approval of the First
21 Stipulation, the Plaintiffs and Defendants began discussions and negotiations regarding a new
22 settlement;

23 WHEREAS, the Parties agreed upon a new mediator, Robert J. Kaplan, Esq. of Judicate
24 West, to assist in these negotiations, and participated in three (3) in-person mediations on October
25 5, 2016, November 22, 2016, and January 5, 2017. The Parties also met in-person on December 7,
26 2016 and conducted numerous telephonic discussions and negotiations both among themselves and
27 with the new mediator;

28

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1 WHEREAS, on March 7, 2017, the Parties attended a settlement conference before Chief
2 Magistrate Judge Joseph C. Spero;

3 WHEREAS, before entering into the First Stipulation and this Amended Stipulation of
4 Settlement, Plaintiffs, by and through their respective counsel, conducted a thorough examination,
5 investigation, and evaluation of the relevant law, facts, and allegations to assess the merits of the
6 claims and potential claims to determine the strength of liability, potential remedies, and all
7 defenses thereto;

8 WHEREAS, Plaintiffs, by and through their respective counsel, conducted an extensive
9 investigation into the facts and law relating to the matters alleged in their respective Complaints,
10 including (i) the extent, nature and quality of Defendants' safety procedures during the Class
11 Period; (ii) Defendants' representations and disclosures regarding the safety of Defendants' ride
12 share services; (iii) Defendants' representations and disclosures regarding the Safe Rides Fee; (iv)
13 financial data relating to Defendants' safety related expenditures and revenues; (v) the size and
14 composition of the Class; and (vi) data relating to the Class' use of Defendants' ride share services.
15 This investigation included obtaining and reviewing documents and written responses from
16 Defendants, detailed inspections and testing of Defendants' ride share App among various operating
17 system platforms, consultations with experts, numerous interviews of witnesses (including ten (10)
18 current and former high level employees and executives of Defendants), drivers, and putative class
19 members, the evaluation of documents and information related to other litigations against
20 Defendants (including updated productions since the date of the First Stipulation), as well as
21 extensive factual and legal research as to arbitration issues relating to this Action, and the
22 sufficiency of the claims and appropriateness of class certification;

23 WHEREAS, the First Stipulation and this Amended Stipulation of Settlement were reached
24 as a result of extensive arms'-length negotiations between the Parties and their counsel, occurring
25 over the course of many months and six separate, in-person mediation sessions with respected
26 mediators, the Honorable Carl J. West (Ret.) of JAMS and Robert J. Kaplan of Judicate West.
27 Following the sixth in-person mediation, the Parties continued to engage in extensive settlement
28 discussions with the assistance of both Mr. Kaplan and The Honorable Joseph C. Spero, Chief

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1 Magistrate Judge for the United States District Court of the Northern District of California, and
 2 amongst each other, until a final settlement was reached. Before and during these settlement
 3 discussions and mediations, Defendants provided voluminous documents and information to the
 4 Plaintiffs. This arms'-length exchange provided Plaintiffs and their counsel with sufficient
 5 information to evaluate the claims and potential defenses and to meaningfully conduct informed
 6 settlement discussions;

7 WHEREAS, Plaintiffs, as class representatives, believe that the claims settled herein have
 8 merit, but they and their counsel recognize and acknowledge the expense and length of continued
 9 proceedings necessary to prosecute the claims through trial, appeal, and ancillary actions. Plaintiffs,
 10 and their counsel, have also taken into account the uncertain outcome and risk of any litigation, as
 11 well as the difficulties and delay inherent in such litigation, and they believe that the settlement set
 12 forth in this Amended Stipulation of Settlement confers substantial benefits upon the Class
 13 Members. Based upon their evaluation, they have determined that the settlement set forth in this
 14 Amended Stipulation of Settlement is in the best interest of the Class;

15 WHEREAS, based upon their review, investigation, and evaluation of the facts and law
 16 relating to the matters alleged in the pleadings, Plaintiffs, and Class Counsel, on behalf of Plaintiffs
 17 and the other members of the proposed Class, have agreed to settle the Action pursuant to the
 18 provisions of this Amended Stipulation of Settlement, after considering, among other things: (i) the
 19 substantial benefits to the Class Members under the terms of this Amended Stipulation of
 20 Settlement; (ii) the risks, costs, and uncertainty of protracted litigation, especially in complex
 21 actions such as this, as well as the difficulties and delays inherent in such litigation; and (iii) the
 22 desirability of consummating this Amended Stipulation of Settlement promptly in order to provide
 23 effective relief to the Class Members;

24 WHEREAS, Defendants have vigorously denied and continue to dispute all of the claims
 25 and contentions alleged in the *Mena, McKnight*, and the consolidated action, and deny any and all
 26 allegations of wrongdoing, fault, liability or damage of any kind to Plaintiffs and the putative class.
 27 Defendants further deny that they acted improperly or wrongfully in any way, and believe that these
 28 actions have no merit. Defendants have also considered the risks and potential costs of continued

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1 litigation of the lawsuit, on the one hand, and the benefits of the proposed settlement, on the other
 2 hand, and desire to settle the Action upon the terms and conditions set forth in this Amended
 3 Stipulation of Settlement;

4 WHEREAS, as part of the First Stipulation and this Amended Stipulation, Defendants agree
 5 that they will no longer call any fee that they charge for their services as the “Safe Rides Fee.”
 6 Instead, Defendants may charge a “booking fee,” which may be described as “a separate flat fee
 7 added to every trip that helps support safety initiatives for riders and drivers as well as other
 8 operational costs.”

9 WHEREAS, Defendants agree to class action treatment of the claims alleged in this Action
 10 solely for the purpose of compromising and settling those claims on a class basis as set forth herein
 11 and for no other purpose.

12 WHEREAS, on May 18, 2017, a Stipulation of Partial Dismissal was filed dismissing all of
 13 Plaintiff Matthew Phillipen’s claims, without prejudice. (*McKnight*, Dkt. 122).

14 NOW, THEREFORE, it is hereby STIPULATED AND AGREED, by and between the
 15 Parties, through their respective counsel, that: (a) the Action be fully and finally compromised,
 16 settled, and released upon final settlement approval by the Court after the hearings as provided for
 17 in this Amended Stipulation of Settlement; and (b) upon such approval by the Court, a Final Order
 18 and Final Judgment, substantially in the form attached hereto as Exhibits “A” and “B,” respectively,
 19 be entered dismissing the Action with prejudice upon the following terms and conditions.

20 **II. DEFINITIONS**

21 As used in this Amended Stipulation of Settlement and the attached exhibits, the following
 22 terms have the following meanings, unless this Stipulation of Settlement specifically provides
 23 otherwise:

24 1. “Actions” or “Action” means the civil actions entitled *Mena et al. v. Uber*
 25 *Technologies, Inc.*, No. 3:15-cv-00064-JST (N.D. Cal.), *McKnight et al. v. Uber Technologies, Inc.*
 26 *et al.*, No. 3:14-cv-05615-JST (N.D. Cal.), and the consolidated action under the *McKnight* case
 27 number.

28

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1 2. “Account-Funded Class Member” means those Class Members who either did not
 2 submit a valid Payment Election Form or who submitted a valid Payment Election Form where the
 3 Class Member has elected to receive the Settlement Share by payment to the Class Member’s Uber
 4 Rider Account.

5 3. “Additional Per Ride Allocation” means an amount equal to the quotient of (i) the
 6 Settlement Fund Net Balance and (ii) the Uber Rides Total less the total number of Class Members
 7 [*Additional Per Ride Allocation = Settlement Fund Net Balance / (Uber Rides Total – Total Number*
 8 *of Class Members)*]

9 4. “Amended Stipulation of Settlement” means this Amended Stipulation of Settlement
 10 and its Exhibits, attached hereto and incorporated herein, including all subsequent amendments
 11 agreed to in writing by the Parties and any exhibits to such amendments.

12 5. “Attorneys’ Fees and Expenses” means such funds as may be awarded by the Court
 13 to Class Counsel to compensate Class Counsel for their fees and expenses in connection with the
 14 Action and the Settlement, as described in Paragraphs 89 to 93 of this Amended Stipulation of
 15 Settlement.

16 6. “Class” means all persons who, from January 1, 2013 to January 31, 2016, used the
 17 Uber App or website to obtain service from one of the Uber Ride Services With A Safe Rides Fee in
 18 the United States or its territories. “Uber Ride Services With A Safe Rides Fee” means all
 19 transportation services that were arranged through Defendants’ website or the Uber App where a
 20 Safe Rides Fee was paid (such as UberX, *etc.*). “Uber App” means the Uber smartphone
 21 application by which riders may request Uber Rideshare Services. “Uber Rideshare Services”
 22 means all transportation services that are arranged through Defendants’ website or the Uber App,
 23 regardless of type of ride or service that is requested. “Uber” means the companies, incorporated in
 24 the State of Delaware as Uber Technologies, Inc. and Rasier, LLC, who operate the ride share
 25 service commonly known as Uber. Excluded from the Class are (a) all persons who are employees,
 26 directors, and officers of Uber Technologies, Inc. and Raiser, LLC; and (b) the Court and Court
 27 staff. “Employees” means any person whose Uber account email address ended with “@uber.com”
 28 as of May 8, 2017.

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1 7. “Class Counsel” means Robert Ahdoot and Tina Wolfson of Ahdoot & Wolfson, PC;
 2 Mike Arias and Alfredo Torrijos of Arias, Sanguinetti, Stahl & Torrijos, LLP; and Nicholas
 3 Coulson of Liddle & Dubin, P.C.

4 8. “Class Member(s)” means any member of the Class who does not elect exclusion or
 5 opt out from the Class pursuant to terms and condition for exclusion set out in this Amended
 6 Stipulation of Settlement, Paragraphs 119 to 124 and the Class Notice.

7 9. “Class Notice” shall mean the Long Form Notice and Summary Notice provided to
 8 the Class as provided herein and directed by the Court.

9 10. “Commercial Advertising” means any print advertisements, television or radio
 10 advertisements, online advertisements, in-app advertisements, or any mass e-mails or other written
 11 or electronic communications from Defendants to consumers made for the purpose of influencing
 12 consumers to buy Defendants’ services. To constitute Commercial Advertising, the advertisement
 13 must be disseminated sufficiently to the relevant purchasing public to constitute advertising or
 14 promotion within Defendants’ industry. Commercial Advertising includes advertorials but does not
 15 include statements to the news media.

16 11. “Complaints” shall mean, collectively, the (i) Complaint filed by Matthew Philliben
 17 and Byron McKnight on December 23, 2014 (*McKnight*, Dkt. 1); (ii) Complaint filed by Andrea
 18 Pappey on January 6, 2015 (*Mena*, Dkt. 1); (iii) First Amended Complaint filed by Julian Mena,
 19 Nate Coolidge, Ernesto Mejia, and Todd Schreiber on April 13, 2015 (*Mena*, Dkt. 28); and
 20 (vi) Consolidated Class Action Complaint filed by Plaintiffs on January 7, 2016 (*McKnight*, Dkt.
 21 67).

22 12. “Court” means the United States District Court for the Northern District of
 23 California and the Judge assigned to the Action (the Honorable Jon S. Tigar).

24 13. “Defendants” shall mean and include Uber Technologies, Inc., a Delaware
 25 Corporation, and Rasier, LLC, a Delaware Limited Liability Company, collectively.

26 14. “Defense Counsel” means the law firm of Irell & Manella LLP.

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1 15. “eCheck” means an electronic check used to pay a Class Member’s Settlement
2 Share, which will be sent to the email address provided by the Class Member in his or her valid
3 Payment Election Form.

4 16. “Effective Date” means the date on which the Final Order and Final Judgment in the
5 Action become “Final.” As used in this Amended Stipulation of Settlement, “Final” means one (1)
6 business days after all of the following conditions have been satisfied:

- 7 (a) the Final Order and Final Judgment have been entered; and
- 8 (b)(i) if reconsideration and/or appellate review is not sought from the Final Order
9 and Final Judgment, the expiration of the time for the filing or noticing of any
10 motion for reconsideration, appeal, petition, and/or writ; or
- 11 (b)(ii) if reconsideration and/or appellate review is sought from the Final Order and
12 Final Judgment: (A) the date on which the Final Order and Final Judgment
13 are affirmed and are no longer subject to judicial review, or (B) the date on
14 which the motion for reconsideration, appeal, petition, or writ is dismissed or
15 denied and the Final Order and Final Judgment are no longer subject to
16 judicial review.

17 17. “Electing Class Members” means those Class Members who submit a valid Payment
18 Election Form wherein the Class Member has elected to receive the Settlement Share by payment to
19 the Class Member’s Uber Rider Account, PayPal Account or bank account via eCheck, if
20 applicable.

21 18. “Fairness Hearing” means the hearing that is to take place after the entry of the
22 Preliminary Approval Order and after the Notice Date for purposes of: (a) entering the Final Order
23 and Final Judgment and dismissing the Action with prejudice; (b) determining whether the
24 Settlement should be approved as fair, reasonable, and adequate; (c) ruling upon an application for
25 Service Awards by the Plaintiffs; (d) ruling upon an application by Class Counsel for Attorneys’
26 Fees and Expenses; and (e) entering any final order awarding Attorneys’ Fees and Expenses and
27 Service Awards. The Parties shall request that the Court schedule the Fairness Hearing for a date
28 that is in compliance with the provisions of 28 U.S.C. § 1715(d).

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1 19. “Final Order and Final Judgment” means the Court’s order and judgment fully and
2 finally approving the Settlement and dismissing the Action with prejudice, substantially in the form
3 attached hereto as Exhibits “A” and “B.”

4 20. “Initial Settlement Payment Amount” means an amount equal to Twenty-Five Cents
5 (\$0.25) times the total number of Class Members.

6 21. “Long Form Notice” means the long form notice of settlement, substantially in the
7 form attached hereto as Exhibit “E.”

8 22. “Notice Date” means the first date upon which the Class Notice is disseminated.

9 23. “Parties” means Plaintiffs and Defendants, collectively, as each of those terms is
10 defined in this Amended Stipulation of Settlement.

11 24. “Payment Election Deadline” means the final time and date by which a Payment
12 Election Form must be received by the Settlement Administrator in order for a Class Member to
13 timely elect to receive the Settlement Share by payment to the Class Member’s Uber Account,
14 PayPal Account or bank account via eCheck, if applicable.

15 25. “Payment Election Form” means the form substantially in the form attached hereto
16 as Exhibit “C,” which may be modified to meet the requirements of the Settlement Administrator,
17 pursuant to which Class Members can elect to receive the Settlement Share by payment to the Class
18 Member’s Uber Rider Account, PayPal Account or bank account via eCheck, if applicable.

19 26. “Payment Election Period” means the time period from the Notice Date through the
20 Payment Election Deadline, which is the time period that Class Members will have to submit a
21 Payment Election Form to indicate that the Class Member elects to receive the Settlement Share by
22 payment to the Class Member’s Uber Rider Account, PayPal Account or bank account via eCheck,
23 if applicable. The Payment Election Period shall run for a period of time ordered by the Court, and
24 last at least sixty (60) days from the Notice Date.

25 27. “PayPal Account” means a Class Member’s account with PayPal, as identified by the
26 Class Member in his or her valid Payment Election Form, that will be paid the Class Member’s
27 Settlement Share.

28

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1 28. “Plaintiffs” means Nate Coolidge, Byron McKnight, Ernesto Mejia, Julian Mena,
 2 and Todd Schreiber.

3 29. “Preliminary Approval Order” means the order preliminarily approving the
 4 Settlement and proposed Class Notice and notice plan, substantially in the form attached hereto as
 5 Exhibit “D.”

6 30. “Release” means the release and waiver set forth in Paragraphs 94 to 100 of this
 7 Amended Stipulation of Settlement and in the Final Order and Final Judgment.

8 31. “Released Claims”

9 (a) “Released Claims” means and includes all manner of action, causes of action,
 10 claims, demands, rights, suits, obligations, restitution, debts, contracts, agreements, promises,
 11 liabilities, damages, charges, penalties, losses, costs, expenses, and attorneys’ fees, of any nature
 12 whatsoever, known or unknown, in law or equity, fixed or contingent, which Plaintiffs and Class
 13 Members have or may have arising out of or relating to any allegations made in the Action, or any
 14 legal theories that could have been raised based on the allegations in the Action. The Released
 15 Claims include, but are not limited to, any claim arising out of or relating to Defendants’
 16 representations or omissions regarding background checks, safety, or the Safe Rides Fee.
 17 Notwithstanding any other provision of this Amended Stipulation of Settlement, “Released Claims”
 18 do not include, and Plaintiffs and Class Members are not releasing, any action, causes of action,
 19 claims, demands, rights, suits, obligations, restitution, debts, contracts, agreements, promises,
 20 liabilities, damages, charges, penalties, losses, costs, expenses, and attorneys’ fees, of any nature
 21 whatsoever, known or unknown, in law or equity, fixed or contingent, arising out of or relating to
 22 personal injuries.

23 32. “Released Parties” shall include and mean:

24 (a) Uber Technologies, Inc., Uber USA, LLC, Rasier-CA, LLC, and Rasier,
 25 LLC, and each of their past, present, and future employees, assigns, attorneys, agents, consultants,
 26 officers, and directors; and

27 (b) All of Uber Technologies, Inc.’s, Uber USA, LLC’s, Rasier-CA, LLC, and
 28 Rasier, LLC’s, past, present, and future, parents, subsidiaries and affiliates, joint-ventures, brokers,

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1 distributors, representatives, partners, members, divisions, predecessors, and successors and each of
 2 their respective employees, assigns, attorneys, agents, servants, resellers, officers, shareholders,
 3 administrators, insurers, assigns and directors.

4 33. “Releasing Parties” means Plaintiffs and all Class Members, and each of their heirs,
 5 guardians, executors, administrators, representatives, agents, attorneys, partners, successors, and
 6 assigns, as well as any other person or entity purporting to claim on their behalf.

7 34. “Settlement” means the settlement embodied in this Amended Stipulation of
 8 Settlement, including all attached Exhibits (which are an integral part of this Amended Stipulation
 9 of Settlement and are incorporated in their entirety by reference).

10 35. “Settlement Administrator” means the qualified third party administrator and agent
 11 agreed to by the Parties and approved and appointed by the Court in the Preliminary Approval
 12 Order to administer the Settlement, including providing the Class Notice. The Parties agree to
 13 recommend that the Court appoint Epiq Systems, Inc. as Settlement Administrator to: (a) design,
 14 consult on, and implement the Class Notice and related requirements of this Amended Stipulation of
 15 Settlement; and (b) implement the Class Notice, the settlement website
 16 (www.RideShareSettlement.com), the submission and review of Payment Election Forms, and
 17 related requirements of this Amended Stipulation of Settlement, subject to the Court’s approval.

18 36. “Settlement Administration Protocol” means the protocol attached hereto as
 19 Exhibit “F.”

20 37. “Settlement Fund” means the Thirty-Two Million Five Hundred Thousand Dollars
 21 and No Cents (\$32,500,000.00) that Defendants will pay, pursuant to Paragraphs 52 to 53 of this
 22 Amended Stipulation of Settlement, as part of the consideration for the release of all claims as
 23 provided in this Amended Stipulation of Settlement.

24 38. “Settlement Fund Balance” means the balance remaining in the Settlement Fund
 25 after payment of (a) costs of notice and administration, (b) the service awards to the Plaintiffs as
 26 approved by the Court (“Service Award(s”), and (c) the Attorneys’ Fees and Expenses.

27 39. “Settlement Fund Net Balance” means an amount equal to the Settlement Fund
 28 Balance less the Initial Settlement Payment Amount.

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1 40. “Settlement Share” means the amount of each Class Member’s share of the
 2 Settlement Fund Balance allocated pursuant to Paragraphs 55 to 60 of this Amended Stipulation of
 3 Settlement.

4 41. “Summary Notice” means the summary notice, publication notice, and banner
 5 advertisements of the proposed class action settlement, substantially in the form attached hereto as
 6 Exhibit “G” and Exhibit “H,” respectively.

7 42. “Uber App” means the Uber smartphone application by which riders may request
 8 Uber Rideshare Services.

9 43. “Uber Payment Account” means a default U.S. credit card, U.S. debit card, PayPal
 10 account, or other payment method linked to each Class Member’s Uber Rider Account.

11 44. “Uber Rider Account” means the account each Class Member created when he or she
 12 electronically registered to use Uber’s Rideshare Services.

13 45. “Uber Ride Services With A Safe Rides Fee” means all transportation services that
 14 were arranged through Defendants’ website or the Uber App where a Safe Rides Fee was paid (such
 15 as UberX, *etc.*).

16 46. “Uber Rideshare Services” means all transportation services that are arranged
 17 through Defendants’ website or the Uber App, regardless of type of ride or service that is requested.

18 47. “Uber Rides Total” means the total number of instances that Class Members used the
 19 Uber Ride Services With A Safe Rides Fee.

20 **III. SUBMISSION OF THE SETTLEMENT TO THE COURT**

21 **FOR REVIEW AND APPROVAL**

22 48. As soon as is practicable following the signing of this Amended Stipulation of
 23 Settlement, Class Counsel shall apply to the Court for entry of the Preliminary Approval Order
 24 (substantially in the form attached as Exhibit “D”), for the purpose of, among other things:

25 (a) Approving the Class Notice, substantially in the form set forth at Exhibits
 26 “E” and “G”;

27 (b) Finding that the requirements for provisional certification of the Class have
 28 been satisfied, appointing Plaintiffs as the representatives of the Class and Class Counsel as counsel

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1 for the Class, and preliminarily approving the Settlement as being within the range of
 2 reasonableness such that the Class Notice should be provided pursuant to this Amended Stipulation
 3 of Settlement;

4 (c) Scheduling the Fairness Hearing on a date ordered by the Court, provided in
 5 the Preliminary Approval Order, and in compliance with applicable law, to determine whether the
 6 Settlement should be approved as fair, reasonable, and adequate, and to determine whether a Final
 7 Order and Final Judgment should be entered dismissing the Action with prejudice;

8 (d) Determining that the notice of the Settlement and of the Fairness Hearing, as
 9 set forth in this Amended Stipulation of Settlement, complies with all legal requirements, including
 10 but not limited to the Due Process Clause of the United States Constitution;

11 (e) Preliminarily approving the form of the Final Order and Final Judgment;

12 (f) Appointing the Settlement Administrator;

13 (g) Directing that Class Notice shall be given to the Class as provided in
 14 Paragraphs 82 to 87 of this Amended Stipulation of Settlement;

15 (h) Providing that Class Members will have until the Payment Election Deadline
 16 to submit Payment Election Forms;

17 (i) Providing that any objections by any Class Member to the certification of the
 18 Class and the proposed Settlement contained in this Amended Stipulation of Settlement, and/or the
 19 entry of the Final Order and Final Judgment, shall be heard and any papers submitted in support of
 20 said objections shall be considered by the Court at the Fairness Hearing only if, on or before the
 21 date(s) specified in the Class Notice and Preliminary Approval Order, such objector submits to the
 22 Court a written objection, and otherwise complies with the requirements in Paragraph 119 of this
 23 Amended Stipulation of Settlement;

24 (j) Establishing dates by which the Parties shall file and serve all papers in
 25 support of the application for final approval of the Settlement and in response to any valid and
 26 timely objections;

27 (k) Providing that all Class Members will be bound by the Final Order and Final
 28 Judgment dismissing the Action with prejudice unless such Class Members timely file valid written

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1 requests for exclusion or opt out in accordance with this Amended Stipulation of Settlement and the
2 Class Notice;

3 (l) Providing that Class Members wishing to exclude themselves from the
4 Settlement will have until the date specified in the Class Notice and the Preliminary Approval Order
5 to submit a valid written request for exclusion or opt out to the Settlement Administrator;

6 (m) Providing a procedure for Class Members to request exclusion or opt out
7 from the Settlement;

8 (n) Directing the Parties, pursuant to the terms and conditions of this Amended
9 Stipulation of Settlement, to take all necessary and appropriate steps to establish the means
10 necessary to implement the Settlement;

11 (o) Pending the Fairness Hearing, staying all proceedings in the Action, other
12 than proceedings necessary to carry out or enforce the terms and conditions of this Amended
13 Stipulation of Settlement and the Preliminary Approval Order; and

14 (p) Pending the Fairness Hearing, enjoining Plaintiffs and Class Members, or any
15 of them, from commencing or prosecuting, either directly or indirectly, any action in any forum
16 (state or federal) asserting any of the Released Claims.

17 49. Following the entry of the Preliminary Approval Order, Class Notice shall be given
18 and published in the manner directed and approved by the Court.

19 50. At the Fairness Hearing, the Parties shall seek to obtain from the Court a Final Order
20 and Final Judgment in the form substantially similar to Exhibits "A" and "B," respectively. The
21 Final Order and Final Judgment shall, among other things:

22 (a) Find that the Court has personal jurisdiction over all Class Members, the
23 Court has subject matter jurisdiction over the claims asserted in the Action, and that venue is
24 proper;

25 (b) Finally approve this Amended Stipulation of Settlement and the Settlement
26 pursuant to Rule 23 of the Federal Rules of Civil Procedure;

27 (c) Certify the Class for purposes of settlement;

28

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5 (f) Issue the injunctive relief described in Paragraph 54 of this Amended
6 Stipulation of Settlement;

7 (g) Authorize the Parties to implement the terms of the Settlement;

8 (h) Dismiss the Action with prejudice; and

13 51. Based upon the Declaration of the Settlement Administrator, attached hereto as
14 Exhibit "I," the Parties agree that the notice plan contemplated by this Amended Stipulation of
15 Settlement is valid and effective, that if effectuated, it would provide reasonable notice to the Class,
16 and that it represents the best practicable notice under the circumstances.

IV. THE SETTLEMENT CONSIDERATION

18 A. Settlement Fund

19 52. In consideration for the Release contained in this Amended Stipulation of
20 Settlement, and without admitting liability for any of the alleged acts or omissions, and in the
21 interest of minimizing the costs inherent in any litigation, Defendants, jointly and severally, will pay
22 the total sum of Thirty-two Million Five Hundred Thousand Dollars and No Cents (\$32,500,000.00)
23 to create the Settlement Fund for the benefit of the Class pursuant to the terms of this Amended
24 Stipulation of Settlement. The Parties agree that the Settlement Fund and Defendants' payment of
25 Thirty-two Million and Five Hundred Thousand Dollars and No Cents (\$32,500,000.00) is the full
26 extent of Defendants' cash payment obligation under this Amended Stipulation of Settlement. In no
27 event shall Defendants be liable for payment of any costs, expenses, or claims beyond their deposit

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1 or payment of the Settlement Fund into the Escrow Account. There will be no reversion to
 2 Defendants of the settlement monies once the Settlement becomes final.

3 53. Defendants' joint and several payment obligation of the Settlement Fund shall be
 4 subject to and proceed as follows:

5 (a) Initial Deposit: Within ten (10) days after the entry of the Preliminary
 6 Approval Order as contemplated by Paragraph 48 herein, Defendants shall pay the sum of Three
 7 Hundred Seventy-Five Thousand Dollars and No Cents (\$375,000.00) (the "Initial Deposit") to the
 8 Settlement Administrator for the initial notice and administration expenses that will be incurred to
 9 provide notice to the Class. This deadline may be extended by mutual consent of the Parties.

10 (b) Balance Payment: No later than fourteen (14) days after the Effective Date,
 11 Defendants shall pay an amount equal to the Settlement Fund less the sum of the Initial Deposit (*i.e.*
 12 Thirty-Two Million One Hundred and Twenty-Five Thousand Dollars and No Cents
 13 (\$32,125,000.00) into an escrow bank account (the "Escrow Account"), to be created and
 14 administered by the Settlement Administrator pursuant to the terms of this Amended Stipulation of
 15 Settlement. The Escrow Account shall be held in a Qualified Settlement Fund (defined below) in
 16 interest bearing bank account deposits with commercial banks with excess capital exceeding One
 17 Hundred Million Dollars and No Cents (\$100,000,000.00), with a rating of "A" or higher by S&P
 18 and insured by the FDIC. All funds in the Escrow Account shall be deemed to be in the custody of
 19 the Court and shall remain subject to the jurisdiction of the Court until such time as the funds shall
 20 be distributed or returned to the persons paying the same pursuant to this Settlement and/or further
 21 order of the Court. Interest earned on money in the Escrow Account, less any taxes owed thereon
 22 (if any), will be added to the Settlement Fund for the benefit of the Class.

23 (c) The Parties hereto agree that the Settlement Fund is intended to be a
 24 "qualified settlement fund" ("Qualified Settlement Fund") within the meaning of Treasury
 25 Regulation § 1.468B-1 and that the Settlement Administrator, within the meaning of Treasury
 26 Regulation § 1.468B-2(k)(3), shall be responsible for filing tax returns for the Gross Settlement
 27 Amount and paying from the Settlement Fund any taxes owed with respect to the Settlement Fund.
 28 The Parties hereto agree that the Settlement Fund shall be treated as a "qualified settlement fund"

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1 from the earliest date possible, and agree to any relation-back election required to treat the
 2 Settlement Fund as a “qualified settlement fund” from the earliest date possible. Defense Counsel
 3 agree to provide promptly to the Settlement Administrator the statement described in Treasury
 4 Regulation § 1.468B-3(e). All taxes shall be paid out of the Settlement Fund, shall be paid out of
 5 the interest earned on the Settlement Fund, be considered to be a cost of administration of the
 6 Settlement, and be timely paid by the Settlement Administrator without prior order of the Court, and
 7 under no circumstance shall Defendants have any liability related thereto.

8 **B. Injunctive Relief**

9 54. In consideration for the Release contained in this Amended Stipulation of
 10 Settlement, and without admitting liability for any of the alleged acts or omissions, and in the
 11 interest of minimizing the costs inherent in any litigation, within thirty (30) days after execution of
 12 this Amended Stipulation of Settlement, if not before, each of the Defendants will implement the
 13 following changes in connection with their actions and Uber’s Rideshare Service, and thus agree to
 14 the following stipulated injunctive relief:

15 (a) Defendants will not describe or title any fee that they charge for their
 16 services, including any charge for Uber’s Rideshare Services, as the “Safe Rides Fee.”

17 (b) In any Commercial Advertising, Defendants will not make the following
 18 representations regarding their background checks:

19 (i) Defendants shall not list any offense type that does not result in
 20 automatic disqualification as a driver during the initial screening process without explaining the
 21 disqualification criteria; and

22 (ii) Defendants shall not represent that they screen against arrests for any
 23 instances where Defendants actually screen only against convictions.

24 (c) In any Commercial Advertising regarding background checks, Defendants
 25 shall identify the time period covered by the background check report Defendants use to screen
 26 potential drivers or, if shorter, any time period used for disqualification purposes.

27 (d) In any Commercial Advertising, Defendants shall not use the terms “best
 28 available,” “industry leading,” “gold standard,” “safest,” or “best-in-class” in connection with their

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1 background checks.

2 (e) In any Commercial Advertising, Defendants shall not use the following
 3 phrases to describe Uber's Rideshare Services: "safest ride on the road," "strictest safety standards
 4 possible," "safest experience on the road," "best in class safety and accountability," "safest
 5 transportation option," "background checks that exceed any local or national standard," or "safest
 6 possible platform."

7 (f) Before any person or entity may initiate any court proceeding alleging that
 8 Defendants have breached the injunctive relief set forth above, that person or entity must serve
 9 written notice on Defense Counsel (with copy to Class Counsel) stating with specificity the basis for
 10 this allegation. Defendants will then have thirty (30) days from receipt of notice to cure any alleged
 11 breach. No person or entity may initiate any court proceeding alleging that Defendants have
 12 breached the injunctive relief set forth above until this thirty (30) day period has expired. If
 13 Defendants have cured the alleged breach within thirty (30) days, then Defendants shall not be
 14 deemed to have breached the injunctive relief set forth above.

15 **C. Distribution Costs**

16 In consideration for the Release contained in this Amended Stipulation of Settlement, and
 17 without admitting liability for any of the alleged acts or omissions, and in the interest of minimizing
 18 the costs inherent in any litigation, Defendants, jointly and severally, will pay for the costs
 19 associated with distribution of the Settlement Shares to Class Members' Uber Rider Account and/or
 20 Uber Payment Account in accordance with and subject to the terms and conditions hereto.

21 **V. DISTRIBUTION OF THE SETTLEMENT FUND**

22 55. Subject to the terms and conditions of this Amended Stipulation of Settlement, the
 23 Settlement Fund shall be used for the payment of: (a) the costs and expenses paid to the Settlement
 24 Administrator that are associated with disseminating the notice to the Class, including, but not
 25 limited to, the Class Notice; (b) the costs and expenses paid to the Settlement Administrator that are
 26 associated with administration and effectuation of the Settlement; (c) the Settlement Share to Class
 27 Members; (d) the costs of making payments to Electing Class Members' *via* PayPal or eCheck; (e)
 28 the distribution of the Residual Funds (the term "Residual Funds" is defined below), if any,

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1 pursuant to this Amended Stipulation of Settlement; (f) the Service Award to the Plaintiffs as
 2 approved by the Court; and (g) the Attorneys' Fees and Expenses to Class Counsel as approved by
 3 the Court. The Parties must approve any payment of costs or expenses under subsections (a) and
 4 (b) of this paragraph, and such approval shall not be unreasonably withheld. Approval and payment
 5 of the Settlement Share to Class Members under subsection (c) of this paragraph shall be in
 6 accordance with the terms of this Settlement (*e.g.* Paragraphs 55 to 60) and the Settlement
 7 Administration Protocol attached hereto as Exhibit "F."

8 56. All Class Members are eligible for relief from the Settlement Fund. The Settlement
 9 Fund Balance shall be allocated as Settlement Shares to each Class Member in accordance with
 10 Paragraphs 55 to 60 herein.

11 57. The Settlement Administrator will calculate each Class Member's Settlement Share
 12 as follows: each Class Member's Settlement Share shall be equal to (i) twenty-five cents (\$0.25)
 13 plus (ii) the Additional Per Ride Allocation, times one less than the number of instances that a given
 14 class member has used Uber Ride Services With A Safe Rides Fee, if any. For example, if the
 15 Additional Per Ride Allocation is \$.05 (five cents), and a Class Member took ten (10) trips on an
 16 Uber Ride Service, that Class Member would receive \$.70 (seventy cents). [$.25 + (\text{Additional Per}$
 17 *Ride Allocation} * (\text{number of Uber Ride Services With A Safe Rides Fee taken by the Class Member}*
 18 *- 1})]*

19 58. Within fifteen (15) days after the Effective Date, the Settlement Administrator shall
 20 calculate the Settlement Fund Balance by deducting the following from the Settlement Fund: (i) the
 21 total Settlement Administrator costs and expenses related to this Settlement (as calculated pursuant
 22 to Paragraph 60 herein); (ii) the costs of making payments to Electing Class Members *via* PayPal or
 23 eCheck (iii) the Service Award to Plaintiffs awarded by the Court; and (iv) the Attorneys' Fees and
 24 Expenses approved by the Court.

25 59. The Settlement Administrator shall calculate the total number of Class Members, by
 26 subtracting the number of persons who submitted a timely, valid opt-out request pursuant to
 27 Paragraph 121 herein, from the total number of individuals who fall within the definition of the
 28 Class, provided by Defendants pursuant to Paragraph 85(a) herein.

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1 60. The Settlement Administrator shall calculate the total Settlement Administrator costs
 2 and expenses to be deducted from the Settlement Fund, to determine the Settlement Fund Balance
 3 pursuant to Paragraph 58 herein, as the sum of the following: (i) the Settlement Administrator's
 4 costs and expenses incurred by the Settlement Administrator as of the Effective Date; and (ii) the
 5 future Settlement Administrator costs and expenses estimated to be incurred by the Settlement
 6 Administrator related to this Settlement.

7 61. Within twenty (20) days after the Effective Date, the Settlement Administrator shall
 8 provide the Parties with:

9 (a) the results of all calculations set forth in Paragraphs 55 to 60 herein; and
 10 (b) a list of all Class Members in a useable computer format, which will include
 11 the following information for each Class Member: (i) the unique identifier set by Defendants;
 12 (ii) first and last name; (iii) the e-mail address to which the Summary Notice was sent; and
 13 (iv) whether the Class Member submitted a valid Payment Election Form wherein the Class
 14 Member elected to receive the Settlement Share by payment to the Class Member's Uber Rider
 15 Account, PayPal Account or bank account via eCheck, if applicable.

16 62. Distribution to Class Members shall be made by payment to the Class Members'
 17 PayPal Account, bank account via eCheck, if applicable, or by payment to their Uber Rider Account
 18 as set forth below. The payment of each Settlement Share, whether by payment to the Class
 19 Members' PayPal Account, bank account via eCheck, if applicable, or by payment to their Uber
 20 Rider Account, shall have the identical legal effect under this Amended Stipulation of Settlement.

21 **A. Distribution By PayPal Account or Bank Account Via eCheck**

22 63. Electing Class Members will receive the Settlement Share by payment in amount
 23 equal to the Settlement Share to each of their PayPal Accounts, bank accounts via eCheck, or Uber
 24 Rider Account pursuant to their selection on the Payment Election Form.

25 64. In the event an Electing Class Member chooses payment of the Settlement Share
 26 through their Uber Rider Account, payment shall be issued pursuant to Paragraphs 67 to 73 herein
 27 and that Class Member will henceforth be considered an Account-Funded Class Member. PayPal
 28 and eCheck payments will be made by Epiq within forty-five (45) days of the Effective Date.

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1 65. In the event a payment to a PayPal Account or bank account via eCheck, if
 2 applicable, is rejected, for any reason, that Class Member's Settlement Share will be issued through
 3 a payment to the participating Class Member's Uber Rider Account pursuant to Paragraphs 67 to 73
 4 herein, and that Class Member will henceforth be considered an Account-Funded Class Member,
 5 except that the URA Payment set forth in Paragraph 68 will not be made until thirty (30) days after
 6 the Settlement Administrator provides the affidavit set forth in Paragraph 66.

7 66. Within one hundred and thirty (130) days after the Effective Date, the Settlement
 8 Administrator will provide Class Counsel and Defendants an affidavit under penalty of perjury
 9 containing the following information: (i) a list in a useable computer format of all Electing Class
 10 Members (including, the unique identifier set by Defendants, name and e-mail address) for whom
 11 the Settlement Administrator was able to successfully make the PayPal Account or bank account via
 12 eCheck payment, if applicable; and (ii) a list in a useable computer format of all Electing Class
 13 Members (including, the unique identifier set by Defendants, name and email address) for whom
 14 the Settlement Administrator's attempt to make the PayPal Account or bank account via eCheck
 15 payment, if applicable, was rejected.

16 **B. Distribution to The Uber Rider Account**

17 67. Account-Funded Class Members will receive the Settlement Share by payment to the
 18 Class Member's Uber Rider Account.

19 68. Within forty-five (45) days of the Effective Date ("Payment Date"), Defendants will
 20 issue a payment equal to the Settlement Share to the Uber Rider Account of each Account-Funded
 21 Class Member ("URA Payment"). Defendants agree to process and pay for any and all costs and
 22 expenses associated with the effectuation of the URA Payment. The URA Payment will be non-
 23 transferable. The URA Payment will be applied to the first Uber Rideshare Service billed in the
 24 United States (Defendants will decrease the total amount charged for the Uber Rideshare Service by
 25 the amount of the URA Payment) to the Account-Funded Class Member's Uber Rider Account after
 26 the date the URA Payment becomes available on the Uber Rider Account of Class Members ("URA
 27 Payment Date"). In the event a Class Member does not utilize the Uber Rideshare Service within
 28 three hundred and sixty-five (365) days from the Payment Date (the "Last Use Date"), the URA

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1 Payment will no longer be available on the Account-Funded Class Member's Uber Rider Account
 2 and will not be applied to any future Uber Rideshare Services, but instead will be distributed by a
 3 single attempt to pay the URA Payment via his or her U.S. credit card, U.S. debit card, PayPal
 4 account or other payment linked to the Account-Funded Class Member's Uber Payment Account, as
 5 set forth in Paragraphs 76-77. In the event an Account-Funded Class Member, as of the Payment
 6 Date, no longer has an Uber Rider Account, then his or her Settlement Share will be considered
 7 Residual Funds as defined in Paragraph 80 herein.

8 69. If by the Last Use Date, payment to a Class Member's Uber Payment Account is
 9 rejected or cannot be made, for any reason, then that amount will be considered Residual Funds as
 10 defined in Paragraph 80 herein.

11 70. Every thirty (30) days following the date on which Defendants issue the URA
 12 Payments to the Uber Rider Account of each Account-Funded Class Member, Defendants will
 13 provide Class Counsel and the Settlement Administrator an affidavit, under penalty of perjury,
 14 containing a list in a useable computer format identifying all Account-Funded Class Members
 15 (including, the unique identifier set by Defendants, name and e-mail address) whose URA Payment
 16 was applied towards Uber Rideshare Services billed to the Account-Funded Class Member's Uber
 17 Rider Account during the prior thirty (30) day period (the "Monthly Usage Report"). Defendants
 18 will provide Class Counsel and the Settlement Administrator a final Monthly Usage Report (the
 19 "Final Monthly Usage Report") no later than thirty-five (35) days after the Last Use Date.

20 71. Within seven (7) days of receipt of the Monthly Usage Report from Defendants, the
 21 Settlement Administrator shall: (i) confirm that all persons listed in the Monthly Usage Report is a
 22 Account-Funded Class Member; (ii) confirm that the URA Payment of all Account-Funded Class
 23 Members listed in the Monthly Usage Report was not previously applied towards Uber Rideshare
 24 Services billed to the Account-Funded Class Member's Uber Rider Account; and (iii) calculate the
 25 aggregate total of the URA Payments applied towards Uber Rideshare Services during the prior
 26 thirty (30) day period.

27 72. Within fourteen (14) business days of receipt of the Monthly Usage Report from
 28 Defendants, the Settlement Administrator shall provide the Parties with the following information:

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1 (i) a list of persons, if any, listed in the Monthly Usage Report who are not an Account-Funded
 2 Class Member; (ii) a list of Account-Funded Class Members, if any, listed in the Monthly Usage
 3 Report whose Settlement Share was previously applied towards Uber Rideshare Services billed to
 4 the Account-Funded Class Member's Uber Rider Account; and (iii) the aggregate total of the
 5 Settlement Shares applied towards Uber Rideshare Services during the prior thirty (30) day period.
 6 The Parties will meet and confer, in good faith, to resolve any inconsistencies and discrepancies.
 7 No Class Members will receive payment to his or her PayPal Account, bank account via eCheck, if
 8 applicable, or URA Payment more than once.

9 73. Within twenty-one (21) days of receipt of the Monthly Usage Report from
 10 Defendants, the Settlement Administrator will wire transfer to Defendants, from the Escrow
 11 Account, an amount equal to the aggregate total of the Settlement Shares applied towards Uber
 12 Rideshare Services during the prior thirty (30) day period.

13 **C. Final Distribution to Account-Funded Class Members**

14 74. Within fifteen (15) days after receiving from Defendants the Final Monthly Usage
 15 Report, the Settlement Administrator shall provide the Parties with a list identifying all Account-
 16 Funded Class Members (including, the unique identifier set by Defendants, name and e-mail
 17 address) whose URA Payment to the Uber Rider Account was not applied towards Uber Rideshare
 18 Services by the Last Use Date (the "Final Distribution Report").

19 75. Within five (5) days after sending the Final Distribution Report, the Settlement
 20 Administrator will wire transfer to Defendants, from the Escrow Account, an amount equal to the
 21 total aggregate URA Payment of all Account-Funded Class Members identified in the Final
 22 Distribution Report, less the total estimated transaction cost of making these payments.

23 76. Within ten (10) days after receiving the Final Distribution Report from the
 24 Settlement Administrator, Defendants will cause a payment, in the amount of the URA Payment
 25 less the average amount (or best estimated amount available) charged by Defendants' merchant
 26 service provider for the payment attempt ("Net URA Payment") to be issued to the Uber Payment
 27 Account of each Account-Funded Class Member identified in the Final Distribution Report.

28 77. In the event the Net URA Payment to an Account-Funded Class Member identified

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1 in the Final Distribution Report is rejected or cannot be made, for any reason, Defendants will
 2 (within thirty (30) days of such rejection) pay an amount equal to the aggregate of such rejected or
 3 unmade Net URA Payments to the Settlement Administrator to be deposited in the Escrow Account.

4 78. Within twenty (20) days after receiving the Final Distribution Report from the
 5 Settlement Administrator, Defendants will provide Class Counsel and the Settlement Administrator
 6 an affidavit containing the following information: (i) a list in a useable computer format of all
 7 Account-Funded Class Members identified in the Final Distribution Report (including, the unique
 8 identifier set by Defendants, name and e-mail address) for whom Defendants were able to
 9 successfully issue the Net URA Payment to their respective Uber Payment Account; (ii) the total
 10 amount of successful Net URA Payments; (iii) a list in a useable computer format of all Account-
 11 Funded Class Members identified in the Final Distribution Report (including, the unique identifier
 12 set by Defendants, name and email address) for whom Defendants' attempt to issue the Net URA
 13 Payment to their Uber Payment Account was rejected; (iv) the total amount of unsuccuessful Net
 14 URA Payments; and (v) the total amount charged by Defendants' merchant services providers for
 15 the payment or attempted payment of the Net URA Payment to the Uber Payment Account of each
 16 Account-Funded Class Member identified in the Final Distribution Report.

17 79. Within twenty-five (25) days after receipt of the Final Distribution Report, the
 18 Settlement Administrator shall pay, from the Escrow Account, to each of the merchant services
 19 providers identified in the affidavit referred to in Paragraph 78 herein, an amount equal to the
 20 amount charged by those providers for processing the payment or attempted payment of the Net
 21 URA Payment.

22 **D. Distribution of the Residual**

23 80. In the event the entire amount of the Settlement Fund Balance is not paid to Class
 24 Members' bank accounts via eCheck, if applicable, or by their PayPal Accounts, Uber Payment
 25 Accounts or Uber Rider Accounts, including, but not limited to, any funds remaining of the
 26 Settlement Fund Balance after all payments described in this Amended Stipulation of Settlement
 27 have been paid (the "Residual Funds"), the Settlement Administrator shall distribute the Residual
 28 Funds, subject to the Court's approval, to the following non-profit organization: National Consumer

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1 Law Center.

2 81. The Residual Funds will not be returned to Defendants.

3 **VI. NOTICE OF THE SETTLEMENT**

4 82. Defendants shall comply with 28 U.S.C. §1715 (“CAFA”). No later than ten (10)
 5 days after this Agreement is filed with the Court, Defendants shall mail or cause the items specified
 6 in 28 U.S.C. §1715(b) to be mailed to each State and Federal official, as specified in 28 U.S.C.
 7 §1715(a). All notification duties imposed by 28 U.S.C. §1715, including the corresponding
 8 expenses, shall be separate and in addition to any other obligation imposed herein. Any and all cost
 9 or expense related to, either directly or indirectly, Defendants’ compliance with CAFA shall be paid
 10 separately by Defendants, jointly and severally, in addition to the Settlement Fund and shall not be
 11 deducted from the Settlement Fund.

12 83. Notice of the Settlement to the Class Members shall comply with Federal Rules of
 13 Civil Procedure and any other applicable statute, law, or rule, including but not limited to, the Due
 14 Process Clause of the United States Constitution.

15 84. The Parties shall jointly recommend and retain Epiq Systems, Inc. to be the
 16 Settlement Administrator. Following the Court’s preliminary approval of this Amended Stipulation
 17 of Settlement and the Court’s appointment of the proposed Settlement Administrator, the Settlement
 18 Administrator shall disseminate the Class Notice as provided for herein and in the Declaration of
 19 the Settlement Administrator, attached hereto as Exhibit “I,” as specified in the Preliminary
 20 Approval Order and in this Amended Stipulation of Settlement, and in order to comply with all
 21 applicable laws, including, but not limited to, the Due Process Clause of the United States
 22 Constitution.

23 85. Dissemination of the Class Notice:

24 (a) *Class Member Information:* No later than ten (10) days after entry of the
 25 Preliminary Approval Order, Defendants shall provide the Settlement Administrator with the name,
 26 e-mail address, number of trips on an Uber Safe Ride Service taken by the Class Member during the
 27 class period, and a unique identifier to be agreed upon by Defendants and the Settlement
 28 Administrator (collectively, “Class Member Information”) of each reasonably identifiable Class

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1 Member that Defendants possess. No later than ten (10) days after entry of the Preliminary
2 Approval Order, Defendants shall also provide the Settlement Administrator with their best estimate
3 of the number of individuals who are Class Members and for whom Defendants do not have Class
4 Member Information.

5 (i) Defendants warrant and represent that they will provide the most
6 current Class Member Information for all Class Members.

7 (b) *Internet Website:* Prior to the dissemination of the Class Notice, the
8 Settlement Administrator shall establish an Internet website, www.RideShareSettlement.com, that
9 will inform Class Members of the terms of this Amended Stipulation of Settlement, their rights,
10 dates and deadlines and related information. The website shall include, in .pdf format, the
11 following: (i) the Long Form Notice; (ii) the Payment Election Form; (iii) the Preliminary Approval
12 Order; (iv) this Amended Stipulation of Settlement (including all of its Exhibits), (v) the
13 Consolidated Class Action Complaint filed on January 7, 2016; and (vi) any other materials agreed
14 upon by the Parties and/or required by the Court. The Internet website shall provide Class Members
15 with the ability to complete and submit the Payment Election Form electronically. The Internet
16 website shall also make the Payment Election Form available for download. Banner ads on the
17 Internet, if any, shall direct Class Members to the website.

18 (c) *Toll Free Telephone Number:* Prior to the dissemination of the Class Notice,
19 the Settlement Administrator shall establish a toll-free telephone number, through which Class
20 Members may obtain information about the Action and the Settlement and request a mailed copy of
21 the Long Form Notice and/or the Payment Election Form, pursuant to the terms and conditions of
22 this Amended Stipulation of Settlement.

23 (d) *Electronic (E-mail) and Publication:* Within thirty (30) days after the entry of
24 the Preliminary Approval Order and to be substantially completed not later than sixty (60) days
25 after entry of the Preliminary Approval Order, and subject to the requirements of this Amended
26 Stipulation of Settlement and the Preliminary Approval Order, the Parties will coordinate with the
27 Settlement Administrator to provide notice to the Class as follows:

28 (i) E-mailing the Summary Notice, to all Class Members identified by

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1 Defendants pursuant to Paragraph 85(a) herein and as specified in the Preliminary Approval Order
2 and as set forth in the Declaration of the Settlement Administrator, attached hereto as Exhibit "I";

(ii) Publishing the Publication Notice and Banner Advertisements

4 (attached hereto as Exhibit "H") in print publications and via Internet advertising, pursuant to the
5 Preliminary Approval Order and as set forth in the Declaration of the Settlement Administrator,
6 attached hereto as Exhibit "I";

(iii) Publishing, on or before the Notice Date, the Long Form Notice on the website (www.RideShareSettlement.com), as specified in the Preliminary Approval in the Declaration of the Settlement Administrator, attached hereto as

10 Exhibit "I"; and

11 (iv) Providing the Internet address, in the Long Form

12 Summary Notice, to the settlement website (www.RideShareSettlement.com).
13 86. The Long Form Notice: The Long Form Notice shall be in a form substantially
14 similar to the document attached to this Amended Stipulation of Settlement as Exhibit "E" and shall
15 comport to the following:

(b) Opt-Out Rights: The Long Form Notice shall inform Class Members that they have the right to opt out of the Settlement. The Long Form Notice shall provide the deadlines and procedures for exercising this right.

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1 Fund, the maximum amounts to be sought by Class Counsel as Attorneys' Fees and Expenses and
2 individual Service Awards to Plaintiffs, and shall explain that the fees and expenses awarded to
3 Class Counsel, and Service Awards to Plaintiffs, in addition to amounts being made available for
4 relief to Class Members, will be deducted from the Settlement Fund and be paid out of the
5 Settlement Fund.

6 (e) Payment Election Form: The Long Form Notice shall include the Payment
7 Election Form, both of which shall inform the Class Member: (i) that he or she can elect to receive
8 the Settlement Share by payment to the Class Member's PayPal Account, bank account via eCheck,
9 if applicable, or by payment to the Uber Rider Account; (ii) that in order to receive the Settlement
10 Share by payment to the Class Member's PayPal Account or bank account via eCheck, if
11 applicable, the Class Member must fully complete and timely submit the Payment Election Form
12 prior to the Payment Election Deadline; and (iii) that if the Class Member elects to receive the
13 Settlement Share by a payment to the Class Member's PayPal Account or bank account via eCheck,
14 if applicable, it is the responsibility of the Class Member to ensure that the payment information in
15 the Class Member's Payment Election Form is current until such time as the payment of the
16 Settlement Share has been issued.

17 87. The Summary Notice: The Settlement Administrator shall have the e-mailing and
18 publication of the Summary Notice substantially completed pursuant to this Amended Stipulation of
19 Settlement, the Preliminary Approval Order, and as described in the Declaration of the Settlement
20 Administrator, attached hereto as Exhibit "I," and in such other method and manner as shall be
21 agreed upon by the Parties. The form of Summary Notice agreed upon by the Parties is in the form
22 substantially similar to the one attached hereto as Exhibit "G."

23 88. Reminder Notice to Account-Funded Class Members: Three (3) days prior to the
24 payment of the Net URA Payment to the Account-Funded Class Members' Uber Payment Account,
25 the Settlement Administrator will email a reminder notice to all Account-Funded Class Members
26 identified in the Final Distribution Report. The Reminder Notice shall inform all Account-Funded
27 Class Members identified in the Final Distribution Report: (i) that because the payment of the URA
28 Payment was not applied towards Uber Rideshare Services billed to the Account-Funded Class

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1 Member's Uber Rider Account by the Last Use Date, a single attempt to pay the URA Payment to
 2 the Account-Funded Class Member's Uber Payment Account will be made; (ii) that in order for the
 3 Account-Funded Class Member to receive payment of the Settlement Share *via* his or her Uber
 4 Payment Account, the Account-Funded Class Member must ensure that a default U.S. credit card,
 5 U.S. debit card, PayPal account, or other payment method linked to the Account-Funded Class
 6 Member's Uber Rider Account is current and accurate; and (iii) how to update the default U.S.
 7 credit card, U.S. debit card, PayPal account, or other payment method linked to the Account-Funded
 8 Class Member's Uber Rider Account.

9 **VII. ATTORNEYS' FEES AND EXPENSES AND CLASS REPRESENTATIVE SERVICE**
 10 **AWARDS**

11 89. In recognition of the time and effort the representative Plaintiffs expended in
 12 pursuing this action and in fulfilling their obligations and responsibilities as class representatives,
 13 and of the benefits conferred on all Class Members by the Settlement, Class Counsel, may ask the
 14 Court for the payment of a Service Award from the Settlement Fund to each of the representative
 15 Plaintiffs. The Service Award payments as awarded by the Court shall be deducted from the
 16 Settlement Fund and paid by the Settlement Administrator from the Escrow Account within
 17 seventeen (17) days after the Effective Date or within three (3) business days after the Court has
 18 entered an order awarding any Service Award to the representative Plaintiffs, whichever is later.

19 90. Class Counsel will make an application to the Court for an award of Attorneys' Fees
 20 and Expenses in the Action incurred up to the submission of the application to the Court prior to the
 21 Fairness Hearing. The amount of the Attorneys' Fees and Expenses will be determined by the
 22 Court, and in no event shall Defendants be obligated to pay any amount in excess of the Settlement
 23 Fund.

24 91. Any Attorneys' Fees and Expenses awarded by the Court shall be deducted from the
 25 Settlement Fund and paid by the Settlement Administrator from the Escrow Account. Such
 26 payment will be in lieu of statutory fees Plaintiffs and/or their attorneys might otherwise have been
 27 entitled to recover from Defendants. This amount shall be inclusive of all fees and costs of Class
 28 Counsel to be paid by Defendants and/or the Settlement Fund in the Action. Plaintiffs and Class

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1 Counsel agree that Defendants shall not pay, or be obligated to pay, in excess of any award of
 2 Attorneys' Fees and Expenses by the Court, and that in no event shall Defendants be obligated to
 3 pay any amount in excess of the Settlement Fund.

4 92. Any Attorneys' Fees and Expenses awarded by the Court shall be paid from the
 5 Settlement Fund within seventeen (17) days after the Effective Date or within three (3) business
 6 days after the Court has entered an order awarding any Attorneys' Fees and Expenses, whichever is
 7 later. Class Counsel shall have the sole and absolute discretion to allocate the Attorneys' Fees and
 8 Expenses amongst Class Counsel and any other attorneys for Plaintiffs. Defendants shall have no
 9 liability or other responsibility for allocation of any such Attorneys' Fees and Expenses awarded,
 10 and, in the event that any dispute arises relating to the allocation of fees, Class Counsel agree to
 11 indemnify and hold Defendants harmless from any and all such liabilities, costs, and expenses of
 12 such dispute.

13 93. The procedure for and the allowance or disallowance by the Court of any application
 14 for attorneys' fees, costs, expenses, or reimbursement to be paid to Class Counsel are not part of the
 15 settlement of the Released Claims as set forth in this Amended Stipulation of Settlement, and are to
 16 be considered by the Court separately from the Court's consideration of the fairness,
 17 reasonableness, and adequacy of the settlement of the Released Claims as set forth in this Amended
 18 Stipulation of Settlement. Any such separate order, finding, ruling, holding, or proceeding relating
 19 to any such applications for attorneys' fees and expenses, or any separate appeal from any separate
 20 order, finding, ruling, holding, or proceeding relating to them or reversal or modification of them,
 21 shall not operate to terminate or cancel this Amended Stipulation of Settlement or otherwise affect
 22 or delay the finality of the Final Order and Final Judgment or the Settlement.

23 **VIII. RELEASES AND DISMISSAL OF ACTION**

24 94. ***Release from Plaintiffs and Class Members to Defendants.*** Upon the Effective
 25 Date, the Releasing Parties shall be deemed to have, and by operation of the Final Order and Final
 26 Judgment shall have, fully, finally and forever released, relinquished, and discharged all Released
 27 Claims against the Released Parties.

28 95. With respect to the Released Claims, Plaintiffs and Class Members expressly waive

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1 and relinquish the provisions, rights and benefits of section 1542 of the California Civil Code and
 2 any analogous law, statute, or rule. Section 1542 states:

3 A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS
 4 WHICH THE CREDITOR DOES NOT KNOW OR SUSPECT TO
 5 EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING
 6 THE RELEASE, WHICH IF KNOWN BY HIM OR HER MUST
 7 HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT
 8 WITH THE DEBTOR.

9 Representative Plaintiffs fully understand that the facts in existence at the time this Amended
 10 Stipulation of Settlement is executed and entry of the Preliminary Approval Order may be different
 11 from the facts now believed by representative Plaintiffs to be true and expressly accept and assume
 12 the risk of this possible difference in facts and agree that this Amended Stipulation of Settlement
 13 remains effective despite any difference in facts. In connection with such waiver and
 14 relinquishment, representative Plaintiffs hereby acknowledge that they are aware that they or their
 15 attorneys may hereafter discover claims or facts in addition to or different from those that they now
 16 know or believe exist with respect to the Released Claims, but that it is their intention to hereby
 17 fully, finally, and forever settle and release all of the Released Claims known or unknown,
 18 suspected or unsuspected, that they have against the Released Parties. In furtherance of such
 19 intention, the Release herein given by Plaintiffs and the Class Members to the Released Parties shall
 20 be and remain in effect as a full and complete general release notwithstanding the discovery or
 21 existence of any such additional different claims or facts. Representative Plaintiffs expressly
 22 acknowledge that they have been advised by Class Counsel of the contents and effect of Section
 23 1542, and with knowledge, each of them hereby expressly waive whatever benefits they may have
 24 had pursuant to such section. Further, Plaintiffs and the Class Members agree that this waiver is an
 25 essential and material term of this release and the Amended Stipulation of Settlement that underlies
 26 it and that without such waiver Defendants would not have accepted or agreed to the Amended
 27 Stipulation of Settlement. Notwithstanding any provision of this paragraph, Plaintiffs and Class
 28 Members are not releasing any claims for personal injuries.

27 96. ***Release from Defendants to Plaintiffs.*** Upon the Effective Date, Defendants, on
 28 behalf of themselves, their heirs, executors, administrators, predecessors, successors and assigns,

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1 shall release, forever discharge the Plaintiffs, Class Counsel, and their attorneys from and shall be
 2 forever barred from instituting, maintaining, or prosecuting the Defendants' Released Claims.
 3 "Defendants' Released Claims" means any and all claims, causes of action, cross-claims, counter
 4 claims, liens, demands, actions, causes of action, obligations, damages or liabilities of any nature
 5 whatsoever against Plaintiffs, Class Counsel and their attorneys, known or unknown, whether
 6 arising under any international, federal, state or local statute, ordinance, common law, regulation,
 7 principle of equity or otherwise, that arise out of or relate in any way, to the institution, filing,
 8 prosecution, or settlement of the Action.

9 97. Members of the Class who have opted out of the Settlement by the date set by the
 10 Court do not release their claims and will not obtain any benefits of the Settlement.

11 98. The Court shall enter an order retaining jurisdiction over the Parties to this Amended
 12 Stipulation of Settlement with respect to the enforcement and future performance of the terms of
 13 this Amended Stipulation of Settlement. In the event that any applications for relief are made, such
 14 applications shall be made to the Court.

15 99. Upon the Effective Date: (a) this Amended Stipulation of Settlement shall be the
 16 exclusive remedy for any and all Released Claims of Plaintiffs and Class Members; and
 17 (b) Plaintiffs and the Class Members stipulate to be and shall be permanently barred and enjoined
 18 by Court order from initiating, asserting, or prosecuting against the Released Parties in any federal
 19 or state court or tribunal any and all Released Claims.

20 100. Notwithstanding anything to the contrary in this Amended Stipulation of Settlement,
 21 nothing in this Amended Stipulation of Settlement shall release any claims that Defendants or any
 22 of the Released Parties have against their insurers, including, without limitation, under any policy
 23 issued to, or on behalf of, or for the benefit of, Defendants or any of the Released Parties.

24 **IX. ADMINISTRATION OF THE SETTLEMENT**

25 101. Because the names of Class Members and other personal information about them
 26 will be provided to the Settlement Administrator for purposes of providing cash benefits and
 27 processing opt out requests, the Settlement Administrator will execute a confidentiality and non-
 28 disclosure agreement with Defendants and Class Counsel and will ensure that any information

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1 provided to it by Class Members will be secure and used solely for the purpose of effecting this
2 Settlement.

3 102. In fulfilling its responsibilities in providing Class Notice, the Settlement
4 Administrator shall be responsible for, without limitation, consulting on and designing the notice to
5 the Class, including implementing the notice program set forth in the Declaration of the Settlement
6 Administrator attached as Exhibit "I." In particular, the Settlement Administrator shall be
7 responsible for: (a) arranging for the publication of the Summary Notice and dissemination of the
8 Class Notice as set forth in the Declaration of the Settlement Administrator attached hereto as
9 Exhibit "I" and pursuant to the requirements of this Amended Stipulation of Settlement;
10 (b) designing and implementing notice to the Class by various means as set forth in the Declaration
11 of the Settlement Administrator attached hereto as Exhibit "I" and pursuant to the requirements of
12 this Amended Stipulation of Settlement; (c) responding to requests from Class Counsel and/or
13 Defense Counsel; and (d) otherwise implementing and/or assisting with the dissemination of the
14 notice of the Settlement as set forth in the Declaration of the Settlement Administrator attached
15 hereto as Exhibit "I" and pursuant to the requirements of this Amended Stipulation of Settlement.

16 103. The Settlement Administrator also shall be responsible for, without limitation,
17 dissemination of Class Notice as set forth in the Declaration of the Settlement Administrator
18 attached hereto as Exhibit "I" and implementing the terms of the payment election process and
19 related administrative activities that include communications with Class Members concerning the
20 Settlement, the payment election process, and their options thereunder. In particular, the Settlement
21 Administrator shall be responsible for: (a) printing, e-mailing, mailing or otherwise arranging for
22 the mailing of the Class Notice in response to Class Members' requests; (b) making any mailings
23 required under the terms of this Amended Stipulation of Settlement; (c) establishing a settlement
24 website (www.RideShareSettlement.com) that contains the Payment Election Form; (d) establishing
25 a toll-free voice response unit with message and interactive voice response (IVR) capabilities to
26 which Class Members may refer for information about the Action and the Settlement; (e) receiving
27 and maintaining any Class Member correspondence regarding requests for exclusion to the
28 Settlement; (f) forwarding inquiries from Class Members to Class Counsel for a response, if

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1 warranted; (g) establishing an e-mail address and post office box for the receipt of Payment
2 Election Forms, exclusion requests, and any correspondence; (h) reviewing Payment Election
3 Forms according to the review protocols agreed to by the Parties and set forth in this Amended
4 Stipulation of Settlement and the Settlement Administration Protocol, attached hereto as
5 Exhibit "F"; and (i) otherwise implementing and/or assisting with the Payment Election Form
6 review process and the payment of Settlement Shares to Class Members.

7 104. The Settlement Administrator shall administer the Settlement in accordance with the
8 terms of this Amended Stipulation of Settlement (including, but not limited to, the Settlement
9 Administration Protocol attached as Exhibit "F") and, without limiting the foregoing, shall:

10 (a) Treat any and all documents, communications and other information and
11 materials received in connection with the administration of the Settlement as confidential and shall
12 not disclose any or all such documents, communications or other information to any person or entity
13 except as provided for in this Amended Stipulation of Settlement or by court order;

14 (b) Receive requests for exclusion or opt out requests from Class Members and
15 provide to Class Counsel and Defense Counsel a copy thereof within three (3) days of receipt. If
16 the Settlement Administrator receives any requests for exclusion or opt out request after the
17 deadline for the submission of such requests, the Settlement Administrator shall promptly provide
18 Class Counsel and Defense Counsel with copies thereof; and

19 (c) Receive and maintain all correspondence from any Class Member regarding
20 the Settlement.

21 105. The Settlement Administrator shall be reimbursed from the Settlement Fund up to
22 the amount specified in the Declaration of the Settlement Administrator, attached hereto as
23 Exhibit "I" toward reasonable costs, fees, and expenses of providing notice to the Class and
24 administering the Settlement in accordance with this Amended Stipulation of Settlement.

25 106. Each Class Member may submit a Payment Election Form. Class Members must
26 follow and abide by the instructions set forth in the Payment Election Form. When requested in the
27 Payment Election Form, the Payment Election Form shall include an attestation, substantially in the
28 following form: "I declare under penalty of perjury that the information provided above is true and

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1 accurate.” Payment Election Forms will be: (a) included on the settlement website
2 (www.RideShareSettlement.com) to be designed and administered by the Settlement Administrator;
3 and (b) made readily available from the Settlement Administrator, as provided in the Preliminary
4 Approval Order. In the Summary Notice that will be emailed pursuant to the Paragraph 85(d)(i)
5 herein, each Class Member shall be provided a unique individualized number, referred to as a Claim
6 Number. In the event a Class Member does not have his or her Claim Number, that Class
7 Member’s Payment Election Form must include proof(s) of purchase for each Uber Ride Service
8 With A Safe Rides Fee that the Class Member claims compensation for pursuant to the terms and
9 conditions of this Settlement.

10 107. Payment Election Forms that do not meet the requirements set forth in this Amended
11 Stipulation of Settlement and in the Payment Election Form instructions shall be rejected. Where a
12 good faith basis exists, the Settlement Administrator may reject a Class Member’s Payment
13 Election Form for, among other reasons (including those set forth in the Settlement Administration
14 Protocol, attached hereto as Exhibit “F”), the following:

15 (a) Failure to fully complete and/or sign the Payment Election Form;
16 (b) Illegible Payment Election Form;
17 (c) The person submitting the Payment Election Form is not a Class Member;
18 (d) The Payment Election Form is fraudulent;
19 (e) The Payment Election Form is duplicative of another Payment Election
20 Form;

21 (f) The person submitting the Payment Election Form requests that payment be
22 made to a person or entity other than the Class Member for whom the Payment Election Form is
23 submitted;

24 (g) Failure to submit a Payment Election Form by the Payment Election
25 Deadline; and/or

26 (h) The Payment Election Form otherwise does not meet the requirements of this
27 Amended Stipulation of Settlement.

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1 108. The Settlement Administrator shall determine whether a Payment Election Form
 2 meets the requirements set forth in this Amended Stipulation of Settlement. Each Payment Election
 3 Form shall be submitted to and reviewed by the Settlement Administrator, who shall determine (in
 4 accordance with this Amended Stipulation of Settlement and the Settlement Administration
 5 Protocol, attached hereto as Exhibit "F") the extent, if any, to which the election shall be allowed.

6 109. Payment Election Forms that do not meet the terms and conditions of this Amended
 7 Stipulation of Settlement shall be promptly rejected by the Settlement Administrator. The
 8 Settlement Administrator shall have ten (10) days from the Payment Election Deadline to exercise
 9 the right of rejection. The Settlement Administrator shall notify the Class Member using the
 10 contact information provided in the Payment Election Form of the rejection. Class Counsel and
 11 Defense Counsel shall be provided with copies of all such notifications to Class Members. If any
 12 Class Member whose Payment Election Form has been rejected, in whole or in part, desires to
 13 contest such rejection, the Class Member must, within ten (10) business days from receipt of the
 14 rejection, transmit to the Settlement Administrator by e-mail or U.S. mail a notice and statement of
 15 reasons indicating the grounds for contesting the rejection, along with any supporting
 16 documentation, and requesting further review by the Settlement Administrator, in consultation with
 17 Class Counsel and Defense Counsel, of the denial of the Payment Election Form. If Class Counsel
 18 and Defense Counsel cannot agree on a resolution of the Class Member's notice contesting the
 19 rejection, the disputed Payment Election Form shall be presented to the Court or a referee appointed
 20 by the Court for summary and non-appealable resolution.

21 110. No person shall have any claim against Defendants, Defense Counsel, Plaintiffs,
 22 Class Counsel, the Class, and/or the Settlement Administrator based on any eligibility
 23 determinations, distributions, or awards made in accordance with this Amended Stipulation of
 24 Settlement. This provision does not affect or limit in any way the right of review by the Court or
 25 referee of any disputed Payment Election Forms as provided in this Amended Stipulation of
 26 Settlement.

27 111. Any Class Member who fails to submit a Payment Election Form by the Payment
 28 Election Deadline shall become an Account-Funded Class Member and will receive the Settlement

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1 Share by payment to the Class Member's Uber Rider Account. A Payment Election Form may be
2 submitted electronically at the settlement website (www.RideShareSettlement.com) to be designed
3 and administered by the Settlement Administrator. The Payment Election Form shall be deemed to
4 have been submitted when it is actually received by the Settlement Administrator.

5 112. Class Counsel and Defense Counsel shall have the right to inspect the Payment
6 Election Forms and supporting documentation received by the Settlement Administrator at any time
7 upon reasonable notice.

8 113. Any Class Member who, in accordance with the terms and conditions of this
9 Amended Stipulation of Settlement, does not seek exclusion from the Class will be bound together
10 with all Class Members by all of the terms of this Amended Stipulation of Settlement, including the
11 terms of the Final Order and Final Judgment to be entered in the Action and the releases provided
12 for herein, and will be barred from bringing any action in any forum (state or federal) against any of
13 the Released Parties concerning the Released Claims.

14 114. Not later than fourteen (14) days before the date of the Fairness Hearing, the
15 Settlement Administrator shall file with the Court a document: (a) containing a list of those persons
16 who have opted out or excluded themselves from the Settlement; (b) stating the total estimated
17 number of Class Members, and (c) the details regarding the number of valid Payment Election
18 Forms received and processed by the Settlement Administrator.

19 115. The Settlement Administrator may retain one or more persons to assist in the
20 completion of its responsibilities.

21 116. If the Settlement is not approved or for any reason the Effective Date does not occur,
22 no payments or distributions of any kind shall be made pursuant to this Amended Stipulation of
23 Settlement, except for the costs and expenses of the Settlement Administrator, which shall be paid
24 out of the Escrow Account, and for which Plaintiffs and/or Class Counsel are not responsible. In
25 the event the Settlement Administrator fails to perform its duties, and/or makes a material or
26 fraudulent misrepresentation to, or conceals requested material information from, Class Counsel,
27 Defendants, and/or Defense Counsel, then the party to whom the misrepresentation is made shall, in
28 addition to any other appropriate relief, have the right to demand that the Settlement Administrator

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1 immediately be replaced. No party shall unreasonably withhold consent to remove the Settlement
 2 Administrator. The Parties will attempt to resolve any disputes regarding the retention or dismissal
 3 of the Settlement Administrator in good faith, and, if they are unable to do so, will refer the matter
 4 to the Court for resolution.

5 117. The Settlement Administrator shall coordinate with Defense Counsel to provide
 6 notice as required by 28 U.S.C. § 1715, and all costs associated thereto shall be paid by Defendants,
 7 in addition to Defendants' obligation to create/pay the Settlement Fund.

8 118. Defendants and the Released Parties are not obligated to (and will not be obligated
 9 to) compute, estimate, or pay any taxes on behalf of any Plaintiff, any Class Member, Class
 10 Counsel, Class Counsel, and/or the Settlement Administrator.

11 **X. OBJECTIONS AND OPT-OUTS BY CLASS MEMBERS**

12 119. Any written objection to the Settlement must (i) clearly identify the case name and
 13 number; (ii) be submitted to the Court by filing the written objection through the Court's Case
 14 Management/Electronic Case Files ("CM/ECF") system, by mailing the written objection to the
 15 Class Action Clerk for United States District Court for the Northern District, or by filing the written
 16 objection in person at any location of the United States District Court for the Northern District of
 17 California; and (iii) be filed or postmarked on or before the objection deadline provided in the
 18 Court's Preliminary Approval Order. Only Settlement Class Members who do not Opt-Out may file
 19 objections. To the extent a timely objection is withdrawn before final approval, such an objection
 20 shall be treated as though no objection has been made.

21 120. The Parties shall request that the Court allow any interested party to file a reply to
 22 any objection, no later than seven (7) days before the Fairness Hearing, or as the Court may
 23 otherwise direct.

24 121. Members of the Class may elect to opt out of the Settlement, relinquishing their
 25 rights to benefits hereunder. Members of the Class who opt out of the Settlement will not release
 26 their claims pursuant to this Amended Stipulation of Settlement. Class Members wishing to opt out
 27 of the Settlement must send to the Settlement Administrator by U.S. mail (to the address provided
 28 in the Class Notice) a letter including (a) their full name; (b) the email address and/or telephone

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1 number associated with their Uber Rider Account; (c) a clear statement communicating that they
2 elect to be excluded from the Class, do not wish to be a Class Member, and elect to be excluded
3 from any judgment entered pursuant to the Settlement; (d) the case name and case number
4 (*McKnight et al. v. Uber Technologies, Inc. et al.*, No. 3:14-cv-05615-JST); and (e) their signature.
5 Any request for exclusion or opt out must be postmarked on or before the exclusion or opt out
6 deadline provided in the Court's Preliminary Approval Order. The date of the postmark on the
7 return-mailing envelope shall be the exclusive means used to determine whether a request for
8 exclusion has been timely submitted. Members of the Class who fail to submit a valid and timely
9 request for exclusion on or before the date specified in the Court's Preliminary Approval Order
10 shall be bound by all terms of this Amended Stipulation of Settlement and the Final Order and Final
11 Judgment, regardless of whether they have requested exclusion from the Settlement.

12 122. Any member of the Class who submits a timely request for exclusion or opt out may
13 not file an objection to the Settlement and shall be deemed to have waived any rights or benefits
14 under this Amended Stipulation of Settlement.

15 123. The Settlement Administrator shall promptly provide copies of all requests for
16 exclusion, objections, and/or related correspondence from Class Members to Class Counsel and
17 Defense Counsel. Not later than three (3) business days after the deadline for submission of
18 requests for exclusion or opt out, the Settlement Administrator shall provide to Class Counsel and
19 Defense Counsel a complete opt out list together with copies of the opt out requests.

20 124. On the date set forth in the Preliminary Approval Order, a Fairness Hearing shall be
21 conducted to determine final approval of the Settlement. A motion in support of final approval of
22 the Settlement shall be filed no later than seven (7) days before the Fairness Hearing. A motion for
23 Service Awards to the Plaintiffs and an award of Attorneys' Fees and Expenses to Class Counsel
24 shall be filed no later than fourteen (14) before deadline to object or opt-out of the Settlement.
25 Upon final approval of the Settlement by the Court at or after the Fairness Hearing, the Parties shall
26 present the Final Order and Final Judgment, substantially in the form attached to this Amended
27 Stipulation of Settlement as Exhibits "A" and "B," and a final order approving the Attorneys' Fees
28 and Expenses and the Service Awards, to the Court for approval and entry.

1 **XI. SCOPE AND EFFECT OF CONDITIONAL CERTIFICATION OF THE CLASS**
 2 **SOLELY FOR PURPOSES OF SETTLEMENT**

3 125. For purposes of settlement only, the Parties agree to seek provisional certification of
 4 the Class. The Parties further agree that the Court should make preliminary findings and enter the
 5 Preliminary Approval Order (substantially in the form attached at Exhibit “D”) granting provisional
 6 certification of the Class subject to final findings and ratification in the Final Order and Final
 7 Judgment, and appointing the representative Plaintiffs as the representatives of the Class and Class
 8 Counsel as counsel for the Class.

9 126. Defendants do not consent to certification of the Class for any purpose other than to
 10 effectuate the Settlement of the Action. Defendants’ agreement to conditional certification does not
 11 constitute an admission of wrongdoing, fault, liability, or damage of any kind to Plaintiffs or any of
 12 the putative class members.

13 127. If this Amended Stipulation of Settlement is terminated pursuant to its terms,
 14 disapproved by any court (including any appellate court), and/or not consummated for any reason,
 15 or the Effective Date for any reason does not occur, the order certifying the Class for purposes of
 16 effectuating this Amended Stipulation of Settlement, and all preliminary and/or final findings
 17 regarding that class certification order, shall be automatically vacated upon notice of the same to the
 18 Court, the Action shall proceed as though the Class had never been certified pursuant to this
 19 Amended Stipulation of Settlement and such findings had never been made, and the Action shall
 20 return to the procedural status quo in accordance with this paragraph. Class Counsel shall not refer
 21 to or invoke the vacated findings and/or order relating to class settlement in the event this Amended
 22 Stipulation of Settlement is not consummated and the case is later litigated and contested by
 23 Defendants.

24 **XII. MODIFICATION OR TERMINATION OF THE SETTLEMENT**

25 128. The terms and provisions of this Amended Stipulation of Settlement may be
 26 amended, modified, or expanded by written agreement of the Parties and approval of the Court;
 27 provided, however that, after entry of the Final Order and Final Judgment, the Parties may by
 28 written agreement effect such amendments, modifications, or expansions of this Amended

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1 Stipulation of Settlement and its implementing documents (including all exhibits hereto) without
 2 further notice to the Class or approval by the Court if such changes are consistent with the Court's
 3 Final Order and Final Judgment and do not materially alter, reduce or limit the rights of Class
 4 Members under this Amended Stipulation of Settlement.

5 129. In the event the terms or conditions of this Amended Stipulation of Settlement, *other*
 6 *than* terms pertaining to the Attorneys' Fees and Expenses and/or Service Awards, are materially
 7 modified by any court, either party in its sole discretion to be exercised within fourteen (14) days
 8 after such a material modification may declare this Stipulation of Settlement null and void (with the
 9 exception of Paragraphs 101, 104(a), 126, 127, 131, 132, 152, and 154 herein). In the event that a
 10 party exercises his/her/its option to withdraw from and terminate this Amended Stipulation of
 11 Settlement, then the Settlement proposed herein shall become null and void (with the exception of
 12 Paragraphs 101, 104(a), 126, 127, 131, 132, 152, and 154 herein) and shall have no force or effect,
 13 the Parties shall not be bound by this Amended Stipulation of Settlement, and the Parties will be
 14 returned to their respective positions existing immediately before the execution of this Amended
 15 Stipulation of Settlement. Notwithstanding the foregoing Paragraph 129, in the event this Amended
 16 Stipulation of Settlement is not approved by any court, or the Settlement set forth in this Amended
 17 Stipulation of Settlement is declared null and void, or in the event that the Effective Date does not
 18 occur, Class Members, Plaintiffs, and Class Counsel shall not in any way be responsible or liable
 19 for any costs of notice and administration associated with this Settlement or this Amended
 20 Stipulation of Settlement, except that each Party shall bear its own attorneys' fees and costs and
 21 Defendants' future payment obligations shall cease.

22 130. Notwithstanding any other provision of this Stipulation of Settlement, if more than
 23 five percent (5%) of the Class opt out of the Settlement, Defendants, in their sole discretion, may
 24 rescind and revoke the entire Settlement and this Stipulation of Settlement, thereby rendering the
 25 Settlement null and void in its entirety (with the exception of Paragraphs 101, 104(a), 126, 127, 131,
 26 132, 152, and 154 herein), by sending written notice that Defendants revoke the settlement pursuant
 27 to this paragraph to Class Counsel within five (5) business days following the date the Settlement
 28 Administrator informs Defendants of the number of members of the Class who have requested to

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1 opt out of the Settlement pursuant to the provisions set forth above. If Defendants rescind the
 2 Settlement pursuant to this paragraph, they shall have no further obligations to pay the Settlement
 3 Fund and shall be responsible for only the fees and expenses actually incurred by the Settlement
 4 Administrator, which will be paid out of the Escrow Account, and for which Plaintiffs and their
 5 Counsel are not liable.

6 XIII. SETTLEMENT NOT EVIDENCE AGAINST PARTIES

7 131. The Parties expressly acknowledge and agree that this Amended Stipulation of
 8 Settlement and its Exhibits, along with all related drafts, motions, pleadings, conversations,
 9 negotiations, information exchanged, and correspondence relating thereto, constitute an offer of
 10 compromise and a compromise within the meaning of Federal Rule of Evidence 408, the mediation
 11 privilege, and any equivalent state law or rule. In no event shall this Amended Stipulation of
 12 Settlement, any of its provisions or any negotiations, statements or court proceedings relating to its
 13 provisions in any way be construed as, offered as, received as, used as, or deemed to be evidence of
 14 any kind in the Action, any other action, or in any judicial, administrative, regulatory or other
 15 proceeding, except that this Amended Stipulation of Settlement is intended to be admissible and
 16 subject to disclosure for the purpose of carrying out the Settlement, in a proceeding to enforce this
 17 Amended Stipulation of Settlement or the rights of the Parties or their counsel, and by Defendants
 18 in connection with any claim or action relating to Defendants' insurance coverage for the
 19 Settlement. Without limiting the foregoing, neither this Amended Stipulation of Settlement nor any
 20 related negotiations, statements, or court proceedings shall be construed as, offered as, received as,
 21 used as or deemed to be evidence or an admission or concession of any liability or wrongdoing
 22 whatsoever on the part of any person or entity, including, but not limited to, Defendants, the
 23 Released Parties, Plaintiffs, or the Class, or as a waiver by Defendants, the Released Parties,
 24 Plaintiffs, or the Class of any applicable privileges, claims or defenses.

25 132. The provisions contained in this Amended Stipulation of Settlement are not and shall
 26 not be deemed a presumption, concession, or admission by Defendants of any default, liability or
 27 wrongdoing as to any facts or claims alleged or asserted in the Action, or in any actions or
 28 proceedings, nor shall they be interpreted, construed, deemed, invoked, offered, or received in

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1 evidence or otherwise used by any person in the Action, or in any other action or proceeding,
2 whether civil, criminal or administrative, except that Defendants may file this Amended Stipulation
3 of Settlement or the Final Judgment in any action that may be brought against any Released Parties
4 in order to support a defense or counterclaim based on principles of *res judicata*, collateral estoppel,
5 release, good faith settlement, judgment bar, or reduction or any other theory of claim preclusion or
6 issue preclusion or similar defense or counterclaim. Defendants expressly deny the allegations in
7 the Action. Defendants do not admit that they or any of the Released Parties have engaged in any
8 wrongful activity or that any person has sustained any damage by reason of any of the facts
9 complained of in the Action. Defendants do not consent to certification of the Class for any
10 purpose other than to effectuate the Settlement of the Action.

11 **XIV. BEST EFFORTS**

12 133. Class Counsel shall take all necessary actions to accomplish approval of the
13 Settlement, the Class Notice, and dismissal of the Action. The Parties (including their counsel,
14 successors, and assigns) agree to cooperate fully and in good faith with one another and to use their
15 best efforts to effectuate the Settlement, including without limitation in seeking preliminary and
16 final Court approval of this Amended Stipulation of Settlement and the Settlement embodied herein,
17 carrying out the terms of this Amended Stipulation of Settlement, and promptly agreeing upon and
18 executing all such other documentation as may be reasonably required to obtain final approval by
19 the Court of the Settlement. In the event that the Court fails to approve the Settlement or fails to
20 issue the Final Order and Final Judgment, the Parties agree to use all reasonable efforts, consistent
21 with this Amended Stipulation of Settlement and subject to Paragraph 129, to cure any defect
22 identified by the Court.

23 134. Each Party will cooperate with the other party in connection with effectuating the
24 Settlement or the administration of claims thereunder. Any requests for cooperation shall be
25 narrowly tailored and reasonably necessary for the requesting Party to recommend the Settlement to
26 the Court, and to carry out its terms.

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XV. MISCELLANEOUS PROVISIONS

135. The Parties agree that the recitals are contractual in nature and form a material part of this Amended Stipulation of Settlement.

136. This Amended Stipulation of Settlement and its accompanying Exhibits set forth the entire understanding of the Parties. No change to or termination of this Amended Stipulation of Settlement shall be effective unless in writing and signed by Class Counsel and Defense Counsel. No extrinsic evidence or parol evidence shall be used to interpret this Amended Stipulation of Settlement.

137. Any and all previous agreements and understandings between or among the Parties regarding the subject matter of this Amended Stipulation of Settlement, whether written or oral, are superseded and hereby revoked by this Amended Stipulation of Settlement. The Parties expressly agree that the terms and conditions of this Amended Stipulation of Settlement will control over any other written or oral agreements.

138. This Settlement may not be changed, altered, or modified, except in writing and signed by the Parties and approved by the Court. This Settlement may not be discharged except by performance in accordance with its terms or by a writing signed by the Parties.

139. All of the Parties warrant and represent that they are agreeing to the terms of this Amended Stipulation of Settlement based upon the legal advice of their respective attorneys, that they have been afforded the opportunity to discuss the contents of this Amended Stipulation of Settlement with their attorneys and that the terms and conditions of this document are fully understood and voluntarily accepted.

140. The waiver by any Party of a breach of any term of this Amended Stipulation of Settlement shall not operate or be construed as a waiver of any subsequent breach by any party. The failure of a Party to insist upon strict adherence to any provision of this Amended Stipulation of Settlement shall not constitute a waiver or thereafter deprive such Party of the right to insist upon strict adherence.

141. The Parties represent, covenant, and warrant that they have not directly or indirectly, assigned transferred encumbered or purported to assign transfer or encumber to any person or

Case 4:14-cv-05615-JST Document 125 Filed 06/01/17 Page 50 of 63

1 entity any portion of any claims, causes of action, demands, rights, and liabilities of every nature
2 and description released under this Settlement.

3 142. This Settlement will be binding upon and will inure to the benefit of the Parties and
4 their respective heirs, trustees, executors, administrators, successors and assigns.

5 143. The headings in this Amended Stipulation of Settlement are inserted merely for the
6 purpose of convenience and shall not affect the meaning or interpretation of this document.

7 144. Any exhibits to this Amended Stipulation of Settlement are hereby incorporated and
8 made a part of this Amended Stipulation of Settlement.

9 145. This Amended Stipulation of Settlement shall be governed and construed in
10 accordance with the internal laws (as opposed to the conflicts of law provisions) of the State of
11 California.

12 146. All agreements made and orders entered during the course of the litigation of the
13 Actions relating to the confidentiality of information shall survive this Amended Stipulation of
14 Settlement.

15 147. All reference to "days" in this Amended Stipulation of Settlement shall refer to
16 calendar days, unless otherwise specified, provided that if a deadline provided for in the Amended
17 Stipulation of Settlement falls on a weekend or holiday, that deadline shall be the next day that is
18 not a weekend or holiday.

19 148. This Amended Stipulation of Settlement may be executed with facsimile signatures
20 and in counterparts, each of which shall be deemed an original and all of which, when taken
21 together, shall constitute one and the same instrument. The date of execution shall be the latest date
22 on which any Party signs this Amended Stipulation of Settlement.

23 149. This Amended Stipulation of Settlement has been negotiated among and drafted by
24 Class Counsel and Defense Counsel. Plaintiffs, Class Members, and Defendants shall not be
25 deemed to be the drafter of this Amended Stipulation of Settlement or of any particular provision,
26 nor shall they argue that any particular provision should be construed against its drafter or otherwise
27 resort to the *contra proferentem* canon of construction. Accordingly, this Amended Stipulation of
28 Settlement should not be construed in favor of or against one Party as to the drafter, and the Parties

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1 agree that the provisions of California Civil Code § 1654 and common law principles of construing
 2 ambiguities against the drafter shall have no application. All Parties agree that counsel for the
 3 Parties drafted this Amended Stipulation of Settlement during extensive arms' length negotiations.
 4 No parol or other evidence may be offered to explain, construe, contradict, or clarify its terms, the
 5 intent of the Parties or their counsel, or the circumstances under which this Amended Stipulation of
 6 Settlement was made or executed.

7 150. Defendants represent and warrant that the individual(s) executing this Amended
 8 Stipulation of Settlement are authorized to enter into this Amended Stipulation of Settlement on
 9 behalf of Defendants. The signatories to this Settlement hereby represent that they are fully
 10 authorized to enter into this Settlement on behalf of themselves or their respective principals.

11 151. Any disagreement and/or action to enforce this Amended Stipulation of Settlement
 12 shall be commenced and maintained only in the Court in which this Action is pending.

13 152. Whenever this Amended Stipulation of Settlement requires or contemplates that one
 14 of the Parties shall or may give notice to the other, notice shall be provided by e-mail and/or next-
 15 day (excluding Saturdays, Sundays and Legal Holidays) express delivery service as follows:

16 Upon Class Counsel at:

17 Robert R. Ahdoot
 18 rahdoot@ahdootwolfson.com
 19 Tina Wolfson
 20 twolfson@ahdootwolfson.com
 21 AHDOOT & WOLFSON, PC
 22 1016 Palm Avenue
 23 West Hollywood, CA 90069

24 Mike Arias
 25 mike@asstlawyers.com
 26 Alfredo Torrijos
 27 alfredo@asstlawyers.com
 28 ARIAS, SANGUINETTI, STAHL & TORRIJOS, LLP
 29 6701 Center Drive West, 14th Floor
 30 Los Angeles, CA 90045

Case 4:14-cv-05615-JST Document 125 Filed 06/01/17 Page 52 of 63

1
2 Upon Defense Counsel at:

3 Andra B. Greene
4 agreene@irell.com
5 Alvin Matthew Ashley
6 mashley@irell.com
7 IRELL & MANELLA, LLP
8 840 Newport Center Drive, Suite 400
9 Newport Beach, CA 92660

10
11 153. The Parties reserve the right, subject to the Court's approval, to agree to any
12 reasonable extensions of time that might be necessary to carry out any of the provisions of this
13 Amended Stipulation of Settlement.

14 154. The Court has jurisdiction over the Parties to this Stipulation of Settlement and the
15 Class.

16 155. The Parties believe that this Amended Stipulation of Settlement is a fair, adequate,
17 and reasonable settlement of the Action, and they have arrived at this Settlement through arms'-
18 length negotiations, taking into account all relevant factors, present and potential.

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Case 4:14-cv-05615-JST Document 125 Filed 06/01/17 Page 53 of 63

1 IN WITNESS WHEREOF, the Parties hereto, by and through their respective attorneys, and
2 intending to be legally bound hereby, have duly executed this Amended Stipulation of Settlement as
3 of the date set forth below.

4

PLAINTIFFS

~~Nate Coolidge
Plaintiff~~

7 || Dated:

10 | Dated: _____

Byron McKnight
Plaintiff

13 || Dated:

Ernesto Mejia
Plaintiff

Dated:

Julian Mena
Plaintiff

Dated: _____

Todd Schreiber
Plaintiff

Dated: _____

Todd Schreiber
Plaintiff

DEFENDANTS

Dated: _____

Uber Technologies, Inc.

By:
Its:

Case 4:14-cv-05615-JST Document 125 Filed 06/01/17 Page 54 of 63

1 IN WITNESS WHEREOF, the Parties hereto, by and through their respective attorneys, and
2 intending to be legally bound hereby, have duly executed this Amended Stipulation of Settlement as
3 of the date set forth below.

PLAINTIFFS

7 || Dated: _____

Nate Coolidge
Plaintiff

10 || Dated: June 1, 2017

Byron McKnight
Plaintiff

13 || Dated:

Ernesto Mejia
Plaintiff

16 Dated:

Julian Mena
Plaintiff

Dated:

Todd Schreiber
Plaintiff

DEFENDANTS

24 Dated:

Uber Technologies, Inc.

By:
Its:

Case 4:14-cv-05615-JST Document 125 Filed 06/01/17 Page 55 of 63

1 IN WITNESS WHEREOF, the Parties hereto, by and through their respective attorneys, and
2 intending to be legally bound hereby, have duly executed this Amended Stipulation of Settlement as
3 of the date set forth below.

PLAINTIFFS

Dated: _____

10 Dated: May 31, 2017 _____
11
Byron McKnight
Plaintiff

13 Dated: _____ *Ernesto Mejia*
14 Ernesto Mejia
Plaintiff

16 Dated: _____
17 Julian Mena
Plaintiff

19 Dated: _____
20 Todd Schreiber
Plaintiff

DEFENDANTS

24 Dated: _____
25 _____

By:

By:
Its:

Case 4:14-cv-05615-JST Document 125 Filed 06/01/17 Page 56 of 63

1 IN WITNESS WHEREOF, the Parties hereto, by and through their respective attorneys, and
2 intending to be legally bound hereby, have duly executed this Amended Stipulation of Settlement as
3 of the date set forth below.

PLAINTIFFS

Dated: _____

10 Dated: _____
11 Byron McKnight
Plaintiff

13 Dated: _____
14 _____
Ernesto Mejia
Plaintiff

16 Dated: _____ 
17 Julian Mena
18 Plaintiff

20 Dated: _____
21 Todd Schreiber
Plaintiff

DEFENDANTS

Dated: _____

By:

By:
Its:

Case 4:14-cv-05615-JST Document 125 Filed 06/01/17 Page 57 of 63

1 IN WITNESS WHEREOF, the Parties hereto, by and through their respective attorneys, and
2 intending to be legally bound hereby, have duly executed this Amended Stipulation of Settlement as
3 of the date set forth below.

PLAINTIFFS

Dated: _____

10 Dated: _____
11 _____
Byron McKnight
Plaintiff

13 Dated: _____
14 Ernesto Mejia
Plaintiff

16 Dated: _____
17 Julian Mena
Plaintiff

Dated: 5/31/17



Todd Schreiber
Plaintiff

DEFENDANTS

24 Dated: _____
25 _____

Uber Technologies, Inc.

By:

Its:

Case 4:14-cv-05615-JST Document 125 Filed 06/01/17 Page 58 of 63

1 IN WITNESS WHEREOF, the Parties hereto, by and through their respective attorneys, and
2 intending to be legally bound hereby, have duly executed this Amended Stipulation of Settlement as
3 of the date set forth below.

PLAINTIFFS

Dated: _____

10 Dated: _____
11 Byron McKnight
Plaintiff

13 Dated: _____
14 _____
Ernesto Mejia
Plaintiff

16 Dated: _____
17 Julian Mena
Plaintiff

19 Dated: _____
20 Todd Schreiber
Plaintiff

DEFENDANTS

Dated: _____ s/ Gautam Gupta

Uber Technologies, Inc.

By: Gautam Gupta
Its: Head of Finance

Case 4:14-cv-05615-JST Document 125 Filed 06/01/17 Page 59 of 63

1
2
3 Dated: _____

s/ Gautam Gupta

4 Rasier, LLC

5 By: Gautam Gupta
6 Its: Head of Finance

7 **CLASS COUNSEL**

8
9 Dated: _____

10 By: Robert Ahdoot
11 **AHDOOT & WOLFSON, PC**
12 Attorneys for Plaintiffs and the Class

13
14 Dated: _____

15 By: Alfredo Torrijos
16 **ARIAS, SANGUENETTI, STAHL & TORRIJOS,**
17 **LLP**
18 Attorneys for Plaintiffs and the Class

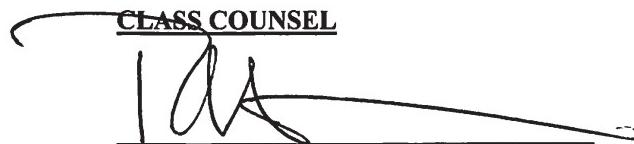
19
20 Dated: _____

21 By: Nicholas Coulson
22 **LIDDLE & DUBIN, PC**
23 Attorneys for Plaintiffs and the Class

Case 4:14-cv-05615-JST Document 125 Filed 06/01/17 Page 60 of 63

1
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3 Dated: _____

4 Rasier, LLC
5
6 By:
7 Its:
8

9 
10 CLASS COUNSEL
11 By: Robert Ahdoot
12 AHDOOT & WOLFSON, PC
13 Attorneys for Plaintiffs and the Class
14

15 Dated: 6-1-17

16 Dated: _____

17 By: Alfredo Torrijos
18 **ARIAS, SANGUENETTI, STAHL & TORRIJOS,
19 LLP**
20 Attorneys for Plaintiffs and the Class
21

22 Dated: _____

23 By: Nicholas Coulson
24 **LIDDLE & DUBIN, PC**
25 Attorneys for Plaintiffs and the Class
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Case 4:14-cv-05615-JST Document 125 Filed 06/01/17 Page 61 of 63

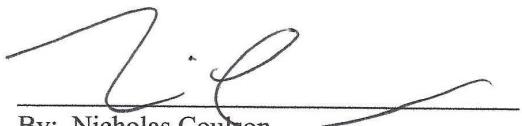
1
2
3 Dated: _____ _____
4
5 Rasier, LLC
6
7 By:
8 Its:
9

CLASS COUNSEL

10 Dated: _____
11 By: Robert Ahdoot
12 **AHDOOT & WOLFSON, PC**
13 Attorneys for Plaintiffs and the Class
14

15 Dated: June 1, 2017
16 By: Alfredo Torrijos
17 **ARIAS, SANGUENETTI, STAHL & TORRIJOS,
18 LLP**
19 Attorneys for Plaintiffs and the Class
20
21
22 Dated: _____
23 By: Nicholas Coulson
24 **LIDDLE & DUBIN, PC**
25 Attorneys for Plaintiffs and the Class
26
27
28

Case 4:14-cv-05615-JST Document 125 Filed 06/01/17 Page 62 of 63

1
2
3 Dated: _____
4 Rasier, LLC
5 By:
6 Its:
7 **CLASS COUNSEL**
8
9 Dated: _____
10 By: Robert Ahoot
11 **AHDOOT & WOLFSON, PC**
12 Attorneys for Plaintiffs and the Class
13
14 Dated: _____
15 By: Alfredo Torrijos
16 **ARIAS, SANGUENETTI, STAHL & TORRIJOS,
17 LLP**
18 Attorneys for Plaintiffs and the Class
19
20
21 Dated: 6/1/17
22 
23 By: Nicholas Coulson
24 **LIDDLE & DUBIN, PC**
25 Attorneys for Plaintiffs and the Class
26
27
28

Case 4:14-cv-05615-JST Document 125 Filed 06/01/17 Page 63 of 63

1 DEFENSE COUNSEL
2
3 Dated: 6/1/17
4
5 By: Alvin Matthew Ashley
IRELL & MANELLA, LLP
6 Attorneys for Defendants, Uber Technologies, Inc. and
7 Rasier, LLC
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EXHIBIT 3

Case 4:14-cv-05615-JST Document 276 Filed 12/22/22 Page 1 of 2

UNITED STATES COURT OF APPEALS
FOR THE NINTH CIRCUIT

FILED

DEC 22 2022

MOLLY C. DWYER, CLERK
U.S. COURT OF APPEALS

BYRON MCKNIGHT; et al.,

No. 21-16626

Plaintiffs - Appellees,

D.C. No. 4:14-cv-05615-JST

v.

U.S. District Court for Northern
California, Oakland

ROBERT HUDSON,

MANDATE

Objector - Appellant,

v.

UBER TECHNOLOGIES, INC., a
Delaware Corporation; RASIER, LLC, a
Delaware Limited Liability Company,

Defendants - Appellees.

The judgment of this Court, entered November 30, 2022, takes effect this date.

This constitutes the formal mandate of this Court issued pursuant to Rule 41(a) of the Federal Rules of Appellate Procedure.

Costs are taxed against the appellant in the amount of \$191.40.

Case 4:14-cv-05615-JST Document 276 Filed 12/22/22 Page 2 of 2

FOR THE COURT:

MOLLY C. DWYER
CLERK OF COURT

By: Howard Hom
Deputy Clerk
Ninth Circuit Rule 27-7

EXHIBIT 4



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FILED
San Francisco County Superior Court

JUN 22 2023

CLERK OF THE COURT
BY: R Michael Dill
Deputy Clerk

SUPERIOR COURT OF CALIFORNIA
COUNTY OF SAN FRANCISCO
DEPARTMENT 304

COORDINATION PROCEEDING
SPECIAL TITLE [RULE 3.550]

IN RE UBER RIDESHARE CASES

Case No. CJC-21-005188
JUDICIAL COUNCIL COORDINATION
PROCEEDING NO. 5188

ORDER ON DEFENDANTS UBER
TECHNOLOGIES, INC. AND RASIER, LLC'S
DEMURRER TO PLAINTIFFS' MASTER
LONG-FORM COMPLAINT

Defendants Uber Technologies, Inc. and Rasier, LLC's Demurrer to Plaintiffs' Master Long-Form Complaint came on for hearing on June 15, 2023. Having considered the papers and pleadings on file in the action, and the arguments of counsel presented at the hearing, the Court hereby sustains Uber's demurrer as to Plaintiffs' vicarious liability claims (sixth through tenth causes of action), fraud and misrepresentation claims (third, fourth, thirteenth, and sixteenth causes of action), and strict products liability claims (fourteenth and fifteenth causes of action) without leave to amend. The Court sustains Uber's demurrer as to the negligent infliction of emotional distress claim (fifth cause of action) with leave to amend.

BACKGROUND

By order dated December 9, 2021, the Court (Hon. Andrew Y.S. Cheng) granted the petition for coordination with respect to 86 cases originally filed in San Francisco, Sacramento, Santa Clara, Kern, and Los Angeles counties. Since that time, over 1,200 additional cases were the subjects of add-on orders from this Court. On January 23, 2023, the Court granted Uber’s Motions to Stay or Dismiss Based on *Forum Non Conveniens*. Over 360 California cases remain in this JCCP. (Opening Brief, 13 fn. 1.)

On March 7, 2023, Plaintiffs filed a Master Long-Form Complaint (“Complaint” or “Compl.”) against Defendants Uber Technologies, Inc. and Rasier, LLC (together, “Uber”). Plaintiffs allege sixteen causes of action: (1) general negligence; (2) common carrier negligence; (3) negligent misrepresentation; (4) intentional misrepresentation; (5) negligent infliction of emotional distress (“NIED”); (6) intentional infliction of emotional distress (“IIED”); (7) vicarious liability/liability for the torts of Uber drivers; (8) vicarious liability for sexual assault; (9) vicarious liability for sexual battery; (10) vicarious liability for false imprisonment; (11) negligence by misfeasance; (12) negligence by nonfeasance; (13) intentional concealment; (14) strict product liability – design defect; (15) strict product liability – failure to warn; and (16) fraud. (Compl. ¶¶ 164-348.) Plaintiffs generally allege as follows.

Plaintiffs “are individuals who were raped, sexually assaulted, sexually battered, sexually harassed, falsely imprisoned, kidnapped, physically assaulted, and/or otherwise assaulted and/or harassed by their Uber driver.” (*Id.* ¶ 6.) Uber is a transportation company that uses its app (“Uber App”) to “connect riders looking for transportation to independent transportation providers...looking for rides.” (*Id.* ¶¶ 1, 21-22.) Uber “drivers are largely nonprofessional, untrained individuals who use their own vehicles.” (*Id.* ¶ 44.)

As early as 2014, Uber became aware that its drivers were engaging in sexual misconduct or sexual assault against its passengers. (*Id.* ¶¶ 3, 166; see *id.* ¶¶ 27, 244.) Uber has “publicly acknowledged this sexual assault crisis.” (*Id.* ¶ 4; see *id.* ¶¶ 29, 121, 147, 149; see, e.g., *id.* ¶ 122 [approximately 250 reported sexual assaults per month in 2017 and 2018].) However, Uber “has actively chosen not to report instances of sexual assault that occur on the UBER App to the authorities” or other

ridesharing companies. (*Id.* ¶¶ 84-86, 88, 134.) In addition, Uber does not proactively cooperate with law enforcement investigating cases passenger victims report to the police or participate in transportation network company (“TNC”) safety hearings. (*Id.* ¶¶ 89-94, 116-120, 172.) Moreover, after a victim reports a sexual assault, Uber often erases the victim’s complaint and disables the victim’s account, which precludes the victim from accessing pertinent information such as the driver’s name, driver’s photo, make and model of the vehicle, ride time, ride distance, and route. (*Id.* ¶¶ 94-95, 100, 102.)

Despite marketing itself as a safe and better alternative to other transportation methods, Uber continues to hire drivers without conducting adequate background checks and screening procedures, allows culpable drivers to keep driving for Uber, and fails to adopt and implement reasonable monitoring and investigation procedures to protect passengers. (*Id.* ¶¶ 4, 24-25, 28, 30-31, 112-114, 131-133, 152-153, 157, 167-169, 171, 173-180, 195-196, 198, 204, 221-226, 232-238, 245-247, 262, 267, 295, 301, 316, 332-333; see, e.g., *id.* ¶¶ 33-43, 66-83, 130 [Uber Safe Rides Fee was a revenue source rather than a fund for implementing background checks, vehicle checks, driver safety education, development of safety features, and insurance], 329-330; but see *id.* ¶ 111 [the Uber App now includes an emergency button that allows a passenger to call 911].) Due to Uber’s failure to implement changes, passengers continue to be victims of sexual assaults. (*Id.* ¶¶ 28, 127.)

Uber now demurs to the third through tenth and thirteenth through sixteenth causes of action, which fall into four categories of claims: vicarious liability (fifth through tenth causes of action), fraud and misrepresentation (third, fourth, thirteenth, and sixteenth causes of action), NIED (fifth cause of action), and strict products liability (fourteenth and fifteenth causes of action). (Demurrer, 2-4; Opening Brief, 14.) Plaintiffs oppose the demurrer.¹

LEGAL STANDARD

A demurrer lies where “the pleading does not state facts sufficient to constitute a cause of action.” (Code Civ. Proc., § 430.10(e).) A demurrer admits “all material facts properly pleaded, but not

¹ Plaintiffs’ Request for Judicial Notice of state trial court rulings is denied. Written trial court rulings have no precedential value. (*Bolanos v. Superior Court* (2008) 169 Cal.App.4th 744, 761 [denying request for judicial notice]; see also, e.g., *Santa Ana Hospital Medical Center v. Belshe* (1997) 56 Cal.App.4th 819, 831.) Uber’s extensive reliance on such rulings throughout its briefing is misplaced for the same reason.

1 contentions, deductions, or conclusions of fact or law.” (*Blank v. Kirwan* (1985) 39 Cal.3d 311, 318.)
 2 The complaint is given a reasonable interpretation, reading it as a whole and its parts in their context.
 3 (*Id.*) The Court accepts as true, and liberally construes, all properly pleaded allegations of material fact,
 4 as well as those facts which may be implied or reasonably inferred from those allegations; its sole
 5 consideration is whether the plaintiff’s complaint is sufficient to state a cause of action under any legal
 6 theory. (*O’Grady v. Merchant Exchange Prods., Inc.* (2019) 41 Cal.App.5th 771, 776-777.)

DISCUSSION

I. Uber Cannot Be Held Vicariously Liable For The Tortious Acts Of Its Drivers.

Plaintiffs seek to hold Uber vicariously liable for IIED, sexual assault, sexual battery, and false imprisonment. (Compl. ¶¶ 250-292.) Uber contends that, as a matter of law, it cannot be held vicariously liable for the alleged sexual assaults committed by its drivers because such conduct falls outside the scope of employment. (Demurrer, 2; Opening Brief, 14-19.)² The Court agrees.

“California deems employers to be vicariously liable for the torts committed by their employees if, but only if, *the employee is acting within the scope of employment.*” (*Musgrove v. Silver* (2022) 82 Cal.App.5th 694, 707 (emphasis in original).) “Under certain circumstances, the employer may be subject to this form of vicarious liability even for an employee’s willful, malicious, and criminal conduct.” (*Patterson v. Domino’s Pizza, LLC* (2014) 60 Cal.4th 474, 491.) “The nexus required for respondeat superior liability—that the tort be engendered by or arise from the work—is to be distinguished from ‘but for’ causation. That the employment brought the tortfeasor and victim together in time and place is not enough.” (*Lisa M. v. Henry Mayo Newhall Memorial Hospital* (1995) 12 Cal.4th 291, 298.) That is, “the incident leading to injury must be an outgrowth of the employment; the risk of tortious injury must be inherent in the working environment or typical of or broadly incidental to the enterprise the employer has undertaken.” (*Id.* (cleaned up).)

California courts use two tests to regarding the “scope of employment under the respondeat

² For purposes of the demurrer, Uber accepts Plaintiffs’ allegations regarding Uber drivers’ status as employees. (Demurrer, 2; but see Reply, 10 fn. 1 [“As the demurrer made clear, the independent drivers are not Uber employees in any event.”].)

1 superior doctrine.” (*Marez v. Lyft, Inc.* (2020) 48 Cal.App.5th 569, 577.) Under the first test, “the
 2 employer is liable if the activities that caused the employee to become an instrument of danger to others
 3 were undertaken with the employer’s permission and were of some benefit to the employer, or in the
 4 absence of proof of benefit, the activities constituted a customary incident of employment.” (*Id.*, quoting
 5 *Moreno v. Visser Ranch, Inc.* (2018) 30 Cal.App.5th 568, 577.) Under the second test, “an employee’s
 6 conduct is within the scope of his or her employment if (1) the act performed was either required or
 7 incident to his duties or (2) the employer’s misconduct could be reasonably foreseen by the employer in
 8 any event.” (*Marez*, 48 Cal.App.5th at 577, quoting *Halliburton Energy Services, Inc. v. Dept. of
 9 Transportation* (2013) 220 Cal.App.4th 87, 94.) “Whether an employee was acting within the scope of
 10 employment is a question of fact, unless ‘the facts are undisputed and no conflicting inferences are
 11 possible.’” (*Doe v. Uber Technologies, Inc.* (N.D. Cal. 2016) 184 F.Supp.3d 774, 784, quoting *Mary M.
 12 v. City of Los Angeles* (1991) 54 Cal.3d 202, 213.)

13 Uber asserts *Lisa M.* is directly on point because “[i]f a hospital cannot be vicariously liable for a
 14 sexual assault carried out by an employee who was permitted to perform physical examinations on
 15 vulnerable patients alone in a confined space, then Uber certainly cannot be vicariously liable for a sexual
 16 assault carried out by an independent driver whose ‘job’ was providing rides to users of the Uber App.”
 17 (Opening Brief, 17; see *id.* at 16.) In *Lisa M.*, the plaintiff, a nineteen-year-old pregnant woman, was
 18 injured in a fall at a movie theater and sought medical treatment. (*Lisa M.*, 12 Cal.4th at 294.) The
 19 plaintiff underwent an ultrasound imaging examination during which the ultrasound technician molested
 20 the plaintiff. (*Id.* at 295.) Thereafter, the plaintiff filed suit against the ultrasound technician and the
 21 hospital for professional negligence, battery, IIED, and NIED. (*Id.* at 296.) The Court found the
 22 “injurious events were causally related to Tripoli’s employment as an ultrasound technician in the sense
 23 they would not have occurred had he not been so employed. Tripoli’s employment as an ultrasound
 24 technician provided the opportunity for him to meet plaintiff and to be alone with her in circumstances
 25 making the assault possible.” (*Id.* at 299.) However, the Court found:

26 a sexual tort will not be considered engendered by the employment unless its motivating emotions
 27 were fairly attributable to work-related events or conditions. Here the opposite was true: a
 technician simply took advantage of solitude with a naive patient to commit an assault for reasons

unrelated to his work. Tripoli's job was to perform a diagnostic examination and record the results. The task provided no occasion for a work-related dispute or any other work-related emotional involvement with the patient. The technician's decision to engage in conscious exploitation of the patient did not *arise out* of the performance of the examination, although the circumstances of the examination made it possible.

(*Id.* at 301 (emphasis in original).) The Court concluded that the "flaw in plaintiff's case for Hospital's respondeat superior liability is not so much that Tripoli's actions were personally motivated, but that those personal motivations were not generated by or an outgrowth of workplace responsibilities, conditions or events." (*Id.* at 301-302.) "Although the routine examination Tripoli was authorized to conduct involved physical contact with Lisa M., Tripoli's assault on plaintiff did not originate with, and was not a generally foreseeable consequence of, that contact." (*Id.* at 303.) The same reasoning applies here.

Plaintiffs generally allege Uber drivers engaged in sexual misconduct within the scope of their employment. (Compl. ¶¶ 11, 251, 257, 261, 264, 277, 284, 290.) Plaintiffs also allege "[t]he sexual assault and/or rape of intoxicated and unaccompanied women who have been placed in an improperly screened UBER driver's car with little to no supervision is incidental to and a foreseeable result of the act of transporting passengers." (*Id.* ¶ 264; see, e.g., *id.* ¶¶ 121-127 [5,981 sexual assaults in 2017 and 2018].) However, these allegations are insufficient as a matter of law. Plaintiffs do not allege Uber drivers were not acting solely for personal gratification or that the sexual assaults were engendered by their alleged employment. Rather, being in close proximity to passengers, in a confined environment, "brought the tortfeasor and victim together in time and place," which is insufficient to impose vicarious liability on Uber. (*Lisa M.*, 12 Cal.4th at 298.)

California appellate courts routinely find sexual assaults are beyond the scope of employment, and hence employers may not be held vicariously liable for such misconduct. (*John Y. v. Chaparral Treatment Center, Inc.* (2002) 101 Cal.App.4th 565, 575; see, e.g., *Farmers Ins. Group v. County of Santa Clara* (1995) 11 Cal.4th 992; *Roe v. Hesperia Unified School Dist.* (2022) 85 Cal.App.5th 13, 25; *John R. v. Oakland Unified School Dist.* (1989) 48 Cal.3d 438; *Doe 1 v. City of Murrieta* (2002) 102 Cal.App.4th 899; *Maria D. v. Westec Residential Sec., Inc.* (2000) 85 Cal.App.4th 125; *Debbie Reynolds Prof. Rehearsal Studios v. Superior Court* (1994) 25 Cal.App.4th 222; *Kimberly M. v. Los Angeles Unified*

1 *School Dist.* (1989) 215 Cal.App.3d 545; *Jeffrey E. v. Central Baptist Church* (1988) 197 Cal.App.3d
 2 718; *Rita M. v. Roman Catholic Archbishop* (1986) 187 Cal.App.3d 1453; *Alma W. v. Oakland Unified*
 3 *School Dist.* (1981) 123 Cal.App.3d 133.)

4 In those decisions, vicarious liability was rejected as a matter of law because it could not be
 5 demonstrated that the various acts of sexual misconduct arose from the conduct of the respective
 6 enterprises. In particular, the acts had been undertaken solely for the employees' personal
 7 gratification and had no purpose connected to the employment. Moreover, the acts had not been
 8 engendered by events or conditions relating to any employment duties or tasks; nor had they been
 9 necessary to the employees' comfort, convenience, health, or welfare while at work.

10 (Farmers Ins. Group, 11 Cal.4th at 1007.)

11 The sole exception is *Mary M.*, where the Court found that when "a police officer on duty misuses
 12 his official authority by raping a woman whom he has detained, the public entity that employs him can be
 13 held vicariously liable." (*Mary M.*, 54 Cal.3d at 221.) Plaintiffs contend that this case is similar to *Mary*
 14 *M.* because "Uber drivers abused their position of power to benefit [off] of Plaintiffs' vulnerabilities."
 15 (Opposition, 17.) However, as Uber points out, *Mary M.*'s holding is limited to sexual assaults by on-
 16 duty police officers, as the Supreme Court itself has expressly recognized. (See, e.g., *Farmers Ins.*
 17 *Group*, 11 Cal.4th at 1006-1007 ["except where sexual misconduct by on-duty police officers against
 18 members of the public is involved, the employer is not vicariously liable to the third party for such
 19 misconduct."]; *Lisa M.*, 12 Cal.4th at 204 ["We expressly limited our holding [in *Mary M.* to] the unique
 20 authority vested in police officers. Employees who do not have this authority and who commit sexual
 21 assaults may be acting outside the scope of their employment as a matter of law."]; *Z.V. v. County of*
 22 *Riverside* (2015) 238 Cal.App.4th 889, 891 ["there is considerable doubt that *Mary M.* has any
 23 applicability beyond the narrow context of an arrest performed by a uniformed, armed police officer in the
 24 normal course of that officer's duties."]; *M.P. v. City of Sacramento* (2009) 177 Cal.App.4th 121, 124
 25 [*Mary M.*'s holding "is, at best, limited to such [sex crime] acts by an on-duty police officer and does not
 26 extend to any other form of employment, including firefighting."].)

27 In the interest of completeness, the Court notes that it has identified one other appellate decision
 28 (which neither party cited) that applies these principles, although it does not advance Plaintiffs' position
 here. In *Samantha B. v. Aurora Vista Del Mar, LLC* (2022) 77 Cal.App.5th 85, the court reversed the trial

court's order granting an acute psychiatric hospital's motion for nonsuit on causes of action asserted by former patients alleging the hospital bore vicarious liability for sexual assaults on them committed by a mental health worker. While the court recognized the general rule that "an employer is not liable under the doctrine of respondeat superior for sexual assaults committed by an employee," it found that there was sufficient evidence for a jury to conclude the mental health worker was acting within the scope of his employment under the narrow exception recognized in *Lisa M.*: "a sexual tort will be considered to be within the scope of employment if 'its motivating emotions were fairly attributable to work-related events or conditions.'" (*Id.* at 107-108, quoting *Lisa M.*, 12 Cal.4th at 301.) The court's conclusion was informed by the unique relationship between mental health workers and psychiatric patients:

The duties of a mental health worker include helping patients with daily living activities. The workers are personally involved with the patients over an extended period of time. The patients are vulnerable; they may suffer from impaired judgment or other cognitive impairments. Sexual exploitation of the patients by employees is a foreseeable hazard arising from the circumstances of the job. That hazard was exponentially increased by [the hospital's] policies, including allowing male workers 20 minutes alone with patients and providing inadequate training on worker-patient boundaries.

(*Id.* at 108.) The court observed that in *Lisa M.*, the ultrasound technician's interaction with the victim was "brief" and his duties were "technical," and the circumstances of employment therefore were "highly unlikely to engender a personal relationship that might result in sexual exploitation." (*Id.*) In contrast, it observed, the mental health worker "becomes sexually involved with a patient as a result of mishandling the feelings predictably created by the therapeutic relationship." (*Id.*, quoting *Lisa M.*, 12 Cal.4th at 303.)³ Thus, *Samantha B.* recognizes a narrow exception to the general rule precluding vicarious liability for sexual torts, one that plainly does not apply here: Uber's drivers are not therapists or mental health workers, their interactions with their passengers typically are brief and technical and do not involve physical contact, and any sexual assaults they may engage in are not fairly attributable to work-related events or conditions.

³ As an alternative ground for its holding, the court found that there was substantial evidence from which a jury could have determined that the hospital ratified the worker's act by failing to investigate his actions because it was on notice that his reputation among other employees was so bad that he had earned the nickname "Rapey Juan." (*Id.* at 109.) Plaintiffs make no claim here that Uber ratified its drivers' alleged intentional torts.

1 Plaintiffs argue the number of sexual assaults that have occurred and Uber's knowledge of the
 2 sexual assaults render sexual assault a predictable outcome. (Opposition, 15-16.) However, "in
 3 determining whether a risk is unusual or startling for respondeat superior purposes, the inquiry should be
 4 whether the risk was one that may fairly be regarded as typical of or broadly incidental *to the enterprise*
 5 *undertaken by the employer*. Thus, it is not enough that a risk be neither unusual nor startling as a general
 6 matter; rather, the risk must be evaluated in the context of the employer's particular enterprise." (*Farmers*
 7 *Ins. Group*, 11 Cal.4th at 1009 (cleaned up); see also, e.g., *id.* at 1010 ["applying the same type of
 8 approach in other contexts, we would be forced to conclude, in direct conflict with *John R., supra*, 48
 9 Cal.3d 438, and numerous other California and out-of-state decisions, that sexual molestation by teachers
 10 and clergy is an inherent risk of schools and religious institutions simply because of the frequency with
 11 which such misconduct is claimed."].) Plaintiffs' allegations are insufficient to establish sexual assault is
 12 "typical of or broadly incidental" to ridesharing services.

13 Plaintiffs' reliance on *Doe v. Uber Technologies, Inc.* (N.D. Cal. 2016) 184 F.Supp.3d 774, is
 14 unpersuasive. (See Opposition, 18.) In *Doe*, the plaintiffs brought a similar action against Uber for
 15 sexual assaults by Uber drivers alleging claims for battery, assault, false imprisonment, and IIED under a
 16 theory of respondeat superior. (*Id.* at 779-780.) Uber moved to dismiss, arguing "it cannot be vicariously
 17 liable because, it claims, sexual assault falls outside the scope of an employee's duties." (*Id.* at 781.) The
 18 court could not determine "that as a matter of law sexual assault by Uber driver is always outside the
 19 scope of employment, if the drivers are in fact ultimately found to be employees. The California Supreme
 20 Court has left this question open." (*Id.* at 785.) However, after *Doe*, California voters approved
 21 Proposition 22, the Protect App-Based Drivers and Services Act, which classifies app-based drivers as
 22 independent contractors rather than employees. (See *Castellanos v. State of California* (2023) 89
 23 Cal.App.5th 131, 142-145; Bus. & Prof. Code §§ 7448-7467.) Therefore, *Doe* is not directly applicable to
 24 the instant action. In any event, other federal court decisions have criticized its holding and have reached
 25 a different conclusion. (E.g., *Doe v. Uber Technologies, Inc.* (N.D. Cal. Nov. 22, 2019) 2019 WL
 26 6251189, *5 ["This Court respectfully disagrees that such a holding can be squared with *Lisa M.* and the
 27 test it applies to sexual assault by employees on third parties."]; see also *Doe v. Uber Technologies, Inc.*

1 (N.D. Cal. May 1, 2020) 2020 WL 2097699, *2 [granting Uber's motion to dismiss false imprisonment,
 2 assault, and battery claims, following *Lisa M.*]; *Doe v. Uber Technologies, Inc.* (D.Md. June 9, 2021)
 3 2021 WL 2382837, *4 [same, applying Maryland law]; *Murray v. Uber Technologies, Inc.* (D.Mass.
 4 2020) 486 F.Supp.3d 468, 477 [same, applying Massachusetts law: "as a matter of law, sexual assault
 5 necessarily falls outside the scope of employment"].)

6 Uber's potential status as a common carrier does not alter the vicarious liability analysis. "Both
 7 California and federal cases consistently hold that, under California law, a common carrier relationship
 8 exists and a corresponding 'heightened standard of care applies to a passenger while in transit' with a
 9 common carrier . . . , and, under certain circumstances, for brief windows of time immediately before
 10 and/or after the passenger is in transit with the carrier." (*Jane Doe No. 1 v. Uber Technologies, Inc.*
 11 (2022) 79 Cal.App.5th 410, 420-421, quoting *Churchman v. Bay Area Rapid Transit Dist.* (2019) 39
 12 Cal.App.5th 246, 250-251 (cleaned up); see also *Brown v. USA Taekwondo* (2021) 11 Cal.5th 204, 216
 13 [common carrier-passenger relationship is a special relationship that gives rise to an affirmative duty to
 14 protect]; *Gomez v. Superior Court* (2005) 35 Cal.4th 1125, 1128 [common carriers are subject to a
 15 heightened duty of care].) A common carrier is "[e]very one who offers to the public to carry persons,
 16 property, or messages, excepting only telegraphic messages, is a common carrier of whatever he thus
 17 offers to carry." (Civ. Code § 2168.) The Public Utilities Code also defines common carrier as:

18 ... every person and corporation providing transportation for compensation to or for the public or
 19 any portion thereof, except as otherwise provided in this part. "Common carrier" includes: . . .
 20 Every railroad corporation; street railroad corporation; dispatch, sleeping car, dining car, drawing-
 room car, freight, freight line, refrigerator, oil, stock, fruit, car-loaning, car-renting, car-loading,
 and every other car corporation or person operating for compensation within this state.

21 (Pub. Util. Code § 211(a).)

22 Plaintiffs sufficiently allege Uber, as a ride-sharing company, is a common carrier because it
 23 provides transportation to the public (anyone can download the app and order a ride) in exchange for
 24 compensation. (Compl. ¶¶ 19-21, 190-191; see also *id.* ¶¶ 294-300; see, e.g., *Doe*, 2019 WL 6251189, at
 25 *6 ["Uber's status as an app-based transportation network does not preclude it as a matter of law from
 26 being held liable as a common carrier"]; *Doe*, 184 F.Supp.3d at 787 ["Plaintiffs have alleged sufficient

1 facts to plausibly claim that Uber is a common carrier.”].)⁴

2 Plaintiffs point to *Berger v. Southern Pac. Co.* (1956) 144 Cal.App.2d 1, a 1956 appellate decision
 3 that long predates *Lisa M.* and the body of cases discussed above, asserting that because Uber is a
 4 common carrier, “the scope of employment of the driver is immaterial to whether Uber can be held liable
 5 for these torts.” (Opposition, 11; see also *id.* at 12 [same].) As Plaintiffs acknowledged at the hearing,
 6 however, they do not rely upon *Berger* to establish that Uber is vicariously liable for torts committed by
 7 its drivers, but rather for the distinct proposition that as a common carrier, it owed them a duty of care to
 8 prevent their injuries.⁵ In *Berger*, the court found that a common carrier

9 is liable for acts of assault and battery upon the part of its employees resulting in injury to those it
 10 has agreed to transport upon its facilities. This liability extends not only to cases where the assault
 11 was in the line of the employee’s duty, but also to those instances where the act was merely that of
 12 an individual and entirely disconnected with the performance of the agent’s duties, as where the
 13 conductor of a train kisses a female passenger against her will. ... The liability of a common
 14 carrier for an assault by one of its employees on a passenger is not dependent on the question as to
 15 whether the employee was acting within the scope of his authority or in the line of his duty, but is
 16 based upon its broad duty as a common carrier to protect its passengers from assault.

17 (*Berger*, 144 Cal.App.2d at 7; see also *Lisa M.*, 12 Cal.4th at 306 [“Although, as we have concluded,
 18 [employee’s] criminal acts were not engendered by or broadly incidental to his work so as to render
 19 Hospital vicariously liable, Hospital’s duty of due care to its patient obliged it to take all measures
 20 dictated by ordinary prudence to protect against even such unusual sources of injury.”].)⁶ Because Uber
 21 has not demurred to Plaintiffs’ common carrier negligence cause of action, whether the Complaint states a
 22 cause of action for breach of a common carrier’s duty to its passengers is not an issue currently before the
 23 Court.

24 Thus, *Berger* does not support Plaintiffs’ contention that a common carrier may be held

25 ⁴ Uber does not challenge Plaintiffs’ second cause of action for common carrier negligence or Plaintiffs’
 26 eleventh and twelfth causes of action for negligence by misfeasance and negligence by nonfeasance,
 27 respectively, which are premised on Uber’s alleged common carrier status.

⁵ In a later case, the California Supreme Court cited *Berger* in connection with a claim alleging “a breach
 28 of the common law duty of an employer to exercise due care in the employment and supervision of an
 29 employee who inflicted intentional harm on her.” (*Victoria v. Superior Court* (1985) 40 Cal.3d 734, 745.)

⁶ Similarly, *Lopez v. Southern California Rapid Transit Dist.* (1985) 40 Cal.3d 780 involved a negligence
 29 action in which the Court found that the defendant transit district owed a duty to protect passengers
 28 aboard its buses from assaults by fellow passengers. The district was the sole defendant, and the opinion
 27 did not address any issue of vicarious liability.

1 vicariously liable for intentional sexual torts of its employees or agents committed *outside* the scope of
 2 their employment, nor does it constitute “binding precedent” on that subject. (Opposition, 11-12.)
 3 Accordingly, Uber’s demurrer to Plaintiffs’ vicarious liability claims is sustained without leave to amend.

4 **II. Plaintiffs Do Not Allege Sufficient Facts To State Fraud And Misrepresentation Claims.**

5 Plaintiffs seek to state four causes of action based on fraud and misrepresentation: (1) negligent
 6 misrepresentation (third cause of action); (2) intentional misrepresentation (fourth cause of action); (3)
 7 intentional concealment (thirteenth cause of action); and (4) fraud (sixteenth cause of action). Uber
 8 argues Plaintiffs fail to plead their fraud and misrepresentation claims with particularity. (Opening Brief,
 9 22.)⁷ The Court agrees.

10 “The essential elements of . . . intentional misrepresentation are: (a) misrepresentation (false
 11 representation, concealment, or nondisclosure); (b) knowledge of falsity (or scienter); (c) intent to
 12 defraud, i.e., to induce reliance; (d) actual and justifiable reliance; and (e) resulting damage.” (*Berry v.*
 13 *Frazier* (2023) 90 Cal.App.5th 1258, 307 Cal.Rptr.3d 778, 789.) The elements of fraud are the same,
 14 except only justifiable reliance must be alleged rather than actual and justifiable reliance. (*Amiodarone*
 15 *Cases* (2022) 84 Cal.App.5th 1091, 1109.) In addition, the elements of negligent misrepresentation are
 16 the same, except no scienter or intent to defraud is required. (*Nissan Motor Acceptance Cases* (2021) 63
 17 Cal.App.5th 793, 823.) The elements of intentional concealment are: “(1) the defendant must have
 18 concealed or suppressed a material fact, (2) the defendant must have been under a duty to disclose the fact
 19 to the plaintiff, (3) the defendant must have intentionally concealed or suppressed the fact with the intent
 20 to defraud the plaintiff, (4) the plaintiff must have been unaware of the fact and would not have acted as
 21 he did if he had known of the concealed or suppressed fact, and (5) as a result of the concealment or
 22 suppression of the fact, the plaintiff must have sustained damage.” (*RSB Vineyards, LLC v. Orsi* (2017)

23
 24 ⁷ Uber also argues the sixteenth cause of action for fraud alleges the same facts and theory of liability as
 25 the intentional misrepresentation cause of action, therefore, it should be dismissed as duplicative.
 26 (Opening Brief, 22.) The Court disagrees. Although similar, the two causes of action are not the same.
 27 (Compare Compl. ¶¶ 232-234 [Uber made false representations regarding safety of app, rides, and
 28 experience as well as properly screened driver backgrounds] with *id.* ¶¶ 329-330 [Uber made false
 representations that it was taking steps to ensure its rides were safe and reliable (e.g., the Safe Rides Fee),
 it properly screened drivers using background checks that were “often more rigorous” than the taxi
 industry, and held itself out as safe for young women].)

1 15 Cal.App.5th 1089, 1096-1097, quoting *Bank of America Corp. v. Superior Court* (2011) 198
 2 Cal.App.4th 862, 870.)

3 Fraud must be pled with particularity, which “necessitates pleading *facts* which show how, when,
 4 where, to whom, and by what means the false representations were made.” (*State ex rel. Edelweiss Fund,*
 5 *LLC v. JPMorgan Chase & Company* (2023) 90 Cal.App.5th 1119, 307 Cal.Rptr.3d 750, 766, quoting
 6 *Lazar v. Superior Court* (1996) 12 Cal.4th 631, 645 (internal quotations omitted).) General and
 7 conclusory allegations are insufficient. (*Amiodarone Cases*, 84 Cal.App.5th at 1109.)

8 **A. Plaintiffs Do Not Sufficiently Plead Reliance**

9 Uber contends reliance is “highly individualized and specific to each Plaintiff,” therefore,
 10 generally alleging reliance is insufficient. (Opening Brief, 23-25.) The Court agrees.

11 “To allege actual reliance on misrepresentations with the required specificity for a fraud count, the
 12 plaintiff must plead that he believed the representations to be true ... and that in reliance thereon (or
 13 induced thereby) he entered into a transaction.” (*Chapman v. Skype, Inc.* (2013) 220 Cal.App.4th 217,
 14 231-232, quoting *Beckwith v. Dahl* (2012) 205 Cal.App.4th 1039, 1063 (cleaned up); see *Small v. Fritz*
 15 *Companies, Inc.* (2003) 30 Cal.4th 167, 184 [negligent misrepresentation must also be pled with
 16 specificity]; *Hoffman v. 162 North Wolfe LLC* (2014) 228 Cal.App.4th 1178, 1193 [“A plaintiff
 17 establishes [actual] reliance when the misrepresentation or nondisclosure was an immediate cause of the
 18 plaintiff’s conduct which altered his or her legal relations, and when without such misrepresentation or
 19 nondisclosure he or she would not, in all reasonable probability, have entered into the contract or other
 20 transaction.” (cleaned up)].) The plaintiff must show that the reliance was reasonable by showing that (1)
 21 the matter was material in the sense that a reasonable person would find it important in determining how
 22 he or she would act and (2) it was reasonable for the plaintiff to have relied on the misrepresentation. (*Id.*
 23 at 1194.)

24 Plaintiffs allege that by “getting into the UBER, Plaintiffs reasonably relied on UBER’s
 25 representations that it would get them safely to their intended destination.” (Compl. ¶ 226; see *id.* ¶ 238.)
 26 Plaintiffs also allege that by “trusting and relying on Uber’s representations, Plaintiffs were placed in a
 27 uniquely vulnerable position that was taken advantage of by an UBER[] employee, an UBER driver.” (*Id.*

¶ 227; see *id.* ¶ 239.) Plaintiffs further allege they “actually and reasonably relied on the representations made by Defendant UBER when they agreed to utilize UBER’s services after being informed that UBER stringently screened its drivers and took measures to ensure it provided passengers safe transport.” (*Id.* ¶ 237; see *id.* ¶¶ 331-333.) As to fraud in particular, Plaintiffs allege they “were justified in relying on Defendants’ misstatements [because] UBER took advantage of information asymmetries. That is, UBER was in a much better position than Plaintiffs to know whether UBER was adequately training, screening, and supervising drivers and what steps it had taken and was taking to make UBER rides safe.” (*Id.* ¶ 334.)

These allegations are insufficient to plead reliance for all 362 individual Plaintiffs. (See Opening Brief, 23-25; Reply, 22-24.) It is implausible, to state the obvious, that every one of those individual Plaintiffs actually saw and relied on each and every one of the statements and other representations alleged in the Complaint.⁸ Plaintiffs do not identify the specific representations each Plaintiff relied upon and when those representations were made. The purpose of the long-form complaint is to set forth the causes of action as applied “to each plaintiff within the JCCP . . . except to the extent that a particular plaintiff has pled otherwise in a Short-Form Complaint.” (Apr. 14, 2022 Joint Case Management Statement, 6-7.) However, by filing a long-form complaint that applies to all Plaintiffs, Plaintiffs are not relieved of their obligation to plead fraud claims with particularity. (See, e.g., *Murphy v. BDO Seidman* (2003) 113 Cal.App.4th 687, 690, 698 [“Although the complaint is long, with numerous allegations, dozens of paragraphs, and more than 100 plaintiffs,” the plaintiffs sufficiently alleged reliance].) Therefore, to allege fraud and misrepresentation claims, Plaintiffs must plead with particularity, as required, to place Uber on notice of “certain definite charges which can be intelligently met” and “to enable the court to determine whether, on the facts pleaded, there is any foundation, *prima facie* at least, for the charge of fraud.” (*Tenet Healthsystem Desert, Inc. v. Blue Cross of California* (2016) 245 Cal.App.4th 821, 838, quoting *Committee on Children’s Television, Inc. v. General Foods Corp.* (1983) 35 Cal.3d 197, 216-217 (cleaned up).) The short-form complaints serve the purpose of providing the

⁸ Plaintiffs’ reliance on *Morgan v. AT&T Wireless Services, Inc.* (2009) 177 Cal.App.4th 1235 (Opposition, 20), a class action, is misplaced.

1 specific factual allegations as to each individual Plaintiff.⁹

2 **B. Plaintiffs Inadequately Plead False Statements By Uber**

3 Uber argues Plaintiffs do not “allege with specificity the how, when, where, and to which specific
 4 plaintiff any specific misrepresentation was made.” (Opening Brief, 25.) Uber contends Plaintiffs allege
 5 “a laundry list of allegedly false statements, none of which is connected to any specific plaintiff.” (*Id.*)
 6 Again, the Court agrees. Plaintiffs allege that beginning in 2014, Uber engaged in an active and
 7 aggressive marketing campaign regarding the safety of its services. (Compl. ¶ 66.) Plaintiffs allege that
 8 this marketing campaign, which continues to this day, includes “email messages sent to every UBER
 9 customer, including Plaintiffs.” (*Id.*) Plaintiffs’ allegations set forth specific statements regarding safety
 10 made by Uber “to its customers, including Plaintiffs, on its website.” (*Id.* ¶ 67.) However, as a threshold
 11 matter, Plaintiffs do not plead that all Plaintiffs saw the purported misrepresentations either by email or on
 12 Uber’s website. In addition, “when a plaintiff asserts fraud against a corporation, the plaintiff must
 13 ‘allege the names of the persons who made the allegedly fraudulent representations, their authority to
 14 speak, to whom they spoke, what they said or wrote, and when it was said or written.’” (*Cansino v. Bank*
 15 *of America* (2014) 224 Cal.App.4th 1462, 1469, quoting *Tarmann v. State Farm Mut. Auto. Ins. Co.*
 16 (1991) 2 Cal.App.4th 153, 157.) No such allegations are pled in the Complaint.

17 Accordingly, Uber’s demurrer as to Plaintiffs’ fraud and misrepresentation claims is sustained
 18 without leave to amend. Should any individual Plaintiffs seek to plead fraud and misrepresentation
 19 claims, those Plaintiffs are granted 30 days leave to amend the short-form complaints with the
 20 particularity required by California law, and Uber is granted 30 days to respond to those amended short-
 21 form complaints.

22
 23
 24 ⁹ Uber raises the issue of the class action settlement in *McKnight v. Uber Techs., Inc.*, No. 4:14-cv-05615
 25 (N.D. Cal. June 1, 2017), as it relates to misrepresentations. (Opening Brief, 26 fn. 12.) Uber states that
 26 it cannot determine which plaintiffs are in the *McKnight* class based on the operative pleading here. In
 27 particular, the Long-Form Complaint does not include the date Plaintiffs began using the Uber App and
 28 the date of the alleged incident. This information should be readily available in Plaintiffs’ short-form
 complaints such that Uber can determine whether a plaintiff is included in the *McKnight* class. The Court
 declines to order Plaintiffs to plead facts as to each individual Plaintiffs’ initial date of using the Uber
 App and date of the alleged incident in the long-form complaint.

1 **III. NIED**

2 Uber contends, and Plaintiffs concede, California does not recognize a separate tort for negligent
 3 infliction of emotional distress because it is simply the tort of negligence. (Opening Brief, 31;
 4 Opposition, 24.) The Court agrees. (*Downey v. City of Riverside* (2023) 90 Cal.App.5th 1033, 307
 5 Cal.Rptr.3d 666, 673 [“The negligent causing of emotional distress is not an independent tort, but the tort
 6 of negligence.”].) Uber asserts that because the fifth cause of action for NIED is duplicative of and
 7 subsumed by the negligence claims, it should be dismissed. (*Id.*) Plaintiffs oppose dismissal, denying
 8 that the allegations regarding the NIED claim are duplicative. (Opposition, 24.) However, Plaintiffs
 9 request leave to amend to consolidate Plaintiffs’ negligence allegations. (*Id.*)

10 The Court declines to sustain Uber’s demurrer on the ground the NIED claim is duplicative of
 11 Plaintiffs’ other negligence claims. Although similar, they are not identical. Uber’s demurrer is sustained
 12 with leave to amend to allow Plaintiffs to consolidate the negligence allegations.

13 **IV. Plaintiffs Cannot State A Cause Of Action For Strict Products Liability.**

14 Uber asserts Plaintiffs’ strict products liability claims fail as a matter of law because Plaintiffs do
 15 not plead facts to establish that the Uber App is a “product.” (Opening Brief, 32-36.) Rather, Uber
 16 argues Plaintiffs plead the Uber App provides a service. (*Id.*)

17 “‘Products liability’ refers to tort liability imposed on ‘those who supply goods or products for the
 18 use of others to purchasers, users, and bystanders for losses of various kinds resulting from so-called
 19 defects in those products.’” (*Sharufa v. Festival Fun Parks, LLC* (2020) 49 Cal.App.5th 493, 502,
 20 quoting *Merrill v. Navegar, Inc.* (2001) 26 Cal.4th 465, 478.) “However, products liability does not
 21 reach a party who is delivering a service to the consumer rather than supplying the product at issue.”
 22 (*Sharufa*, 49 Cal.App.5th at 502; see *Jimenez v. Superior Court* (2002) 29 Cal.4th 473, 453; *Grebding v.*
 23 *24 Hour Fitness USA, Inc.* (2015) 234 Cal.App.4th 631, 640; *Hennigan v. White* (2011) 199 Cal.App.4th
 24 395, 403.) Therefore, “[a]s a condition precedent to maintaining a strict products liability claim, a
 25 plaintiff must show the transaction in which she obtained the product was one in which the transaction’s
 26 primary objective was to acquire ownership or use of a product, and not one where the primary objective
 27 was to obtain a service.” (*Hennigan*, 199 Cal.App.4th at 403; *Brooks v. Eugene Burger Management*

1 *Corp.* (1989) 215 Cal.App.3d 1611, 1625 [plaintiffs “must show that the object or instrumentality
 2 claimed to be defective was in fact a ‘product’ as defined or contemplated by the Restatement of Torts,
 3 legislation or case law.”].) “Although the primary objective inquiry turns on the particular facts of each
 4 case, determining whether something is properly considered a ‘product’ is a gatekeeping function that
 5 controls the availability of a products liability cause of action. It is therefore a question of law for the
 6 court.” (*Sharufa*, 49 Cal.App.5th at 502; see *Brooks*, 215 Cal.App.3d at 1626.)

7 “A ‘product’ is broadly defined to include any ‘tangible personal property distributed
 8 commercially for use or consumption.’ (*Johnson v. United States Steel Corp.* (2015) 240 Cal.App.4th
 9 22, 31, quoting Rest.3d Torts, Products Liability § 19(a).) There are two exceptions where intangible
 10 property is considered a product for purposes of strict liability. (Rest.3d Torts, Products Liability § 19
 11 cmt. d.) The first type of intangible property is information in media such as books, maps, and
 12 navigational charts. (*Id.*) The second type is the transmission of intangible forces such as electricity and
 13 x-rays. (*Id.*) These intangible properties are considered products when their use and distribution are
 14 similar to the use and distribution of tangible personal property. (*Id.*)

15 Here, Plaintiffs allege Uber “designs, manufactures, produces and/or distributes a smart phone
 16 application” (the Uber App), which is “available to anyone to download onto a smart phone” at no cost.
 17 (Compl. ¶ 21; see *id.* ¶¶ 314, 321.) Plaintiffs also allege the Uber App “is a product designed, patented,
 18 and/or distributed by UBER in San Francisco, California. It is a product, designed and intended to
 19 ‘connect riders looking for transportation to independent transportation providers...looking for riders.’”
 20 (*Id.* ¶ 22.)

21 Based on the face of the Complaint, the Uber App is intangible property. Plaintiffs argue the Uber
 22 App is tangible property because it fits the Black’s Law Dictionary definition of “capable of being
 23 touched and seen.” (Opposition, 28; *Tangible*, Black’s Law Dictionary (11th ed. 2019).) However, this
 24 argument is unpersuasive. It is not the app that is being touched and seen, it is the phone. Indeed, the
 25 Uber App itself is software that is not capable of being touched and seen and, therefore, is intangible.
 26 (See, e.g., *Lucent Technologies, Inc. v. Board of Equalization* (2015) 241 Cal.App.4th 19, 42 [“the fact
 27 that placing a computer program on storage media physically alters that media does not thereby

1 transmogrify the software itself into tangible personal property; the media is tangible, the software is
 2 not.”].)

3 Even assuming the Uber App is a product, when a transaction involves both a service and a
 4 product, courts will look to the defendant’s dominant role in the transaction, as well as the primary
 5 objective or essence of the transaction. (*Murphy v. E.R. Squibb and Sons, Inc.* (1985) 40 Cal.3d 672, 677;
 6 *Hernandezcueva v. E.F. Brady Co. Inc.* (2015) 243 Cal.App.4th 249, 259.) First, if Uber is considered to
 7 be a TNC, it is defined by the California Legislature as “providing prearranged transportation services.”
 8 (Pub. Util. Code § 5431; see also *Uber Technologies Pricing Cases* (2020) 46 Cal.App.5th 963, 968,
 9 citing *Goncharov v. Uber Technologies, Inc.* (2018) 19 Cal.App.5th 1157, 1161 [“Uber provides
 10 transportation services to the public for a fee by connecting consumers to its ‘partner drivers’ through the
 11 use of a GPS-enabled smartphone application”].) Uber’s dominant role in creating and providing the app
 12 is to provide transportation services. Second, Plaintiffs allege the Uber App’s primary purpose or
 13 objective is “to connect riders looking for transportation to independent transportation providers . . .
 14 looking for riders.” (Compl. ¶ 22.) Further, the Uber App is free for all users, and it is responsible for
 15 tracking the rides and processing payments. (*Id.*)

16 Therefore, a customer’s primary objective when downloading and using the Uber App is to
 17 request a ride in a car and get paired with a driver who is dispatched to pick up and drive the customer to
 18 their destination in exchange for a fee. (*Id.* ¶ 21.) Customers do not purchase the App, nor is it in any
 19 meaningful sense the object of their transaction with Uber, but merely the mechanism by which that
 20 object—securing a ride to their destination—is accomplished. The Uber App is no more a “product” for
 21 these purposes than is a telephone that a customer uses to call a taxi. The Uber App is incidental to the
 22 transportation service provided. (See, e.g., *Murphy*, 40 Cal.3d at 679 [holding a pharmacist’s dominant
 23 role is selling prescription drugs and his service of giving advice is a subsidiary role]; *Ferrari v. Grand*
 24 *Canyon Dories* (1995) 32 Cal.App.4th 248, 258 [holding defendants who sponsored and conducted a
 25 rafting trip were not liable for injuries to the plaintiff because the primary objective of the transaction was
 26 providing a service of transportation down a river rather than providing the raft as a product].) It is
 27 essentially a means of obtaining a service, not a product in and of itself. (See, e.g., *Jane Doe No. 1*, 79

1 Cal.App.5th at 419 ["As to the strict liability cause of action, the [trial] court concluded the Uber app was
2 not a product, and thus a products liability theory of recovery was not legally viable."]; *Hennigan*, 199
3 Cal.App.4th at 403 [finding the plaintiff's primary objective in patronizing the defendant's salon was to
4 obtain a service, affixing permanent makeup to her eyebrows and eyelids, not to purchase a bottle of
5 pigment to apply to her face]; *Ziencik v. Snap, Inc.* (C.D. Cal. Feb. 3, 2023), 2023 WL 2638314, *4
6 [finding plaintiffs' products liability claims failed because non-tangible objects such as the Snapchat app
7 cannot be a "product" for purposes of strict products liability as "Snapchat is more like a service than a
8 product."]; *Jackson v. Airbnb, Inc.* (C.D. Cal. Nov. 4, 2022) ___ F.Supp.3d ___, 2022 WL 16752071, *9-
9 *10 ["Airbnb is a platform that connects users; it is more akin to a service than to a product."].)

10

11 **CONCLUSION**

12 For the foregoing reasons, the Court sustains Uber's demurrer as to Plaintiffs' vicarious liability
13 claims (sixth through tenth causes of action), fraud and misrepresentation claims (third, fourth, thirteenth,
14 and sixteenth causes of action), and strict products liability claims (fourteenth and fifteenth causes of
15 action) without leave to amend. The Court sustains Uber's demurrer as to the negligent infliction of
16 emotional distress claim (fifth cause of action) with leave to amend. Plaintiffs are granted 30 days leave
17 to amend. Individual Plaintiffs who have filed short-form complaints are granted 30 days leave to amend
18 those complaints to plead claims for fraud and misrepresentation, and Uber shall have 30 days to respond
19 to such amended short-form complaints.

20

21 IT IS SO ORDERED.

22

23 Dated: June 22, 2023



24 Ethan P. Schulman
25 Judge of the Superior Court

In Re Uber Rideshare Cases	Case No: CJC-21-005188
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**CERTIFICATE OF ELECTRONIC SERVICE
(CCP §1010.6 & CRC §2.251)**

I, R. Michael Diles, a Deputy Clerk of the Superior Court of the County of San Francisco, certify that I am over the age of 18 years, employed in the City and County of San Francisco, California and am not a party to the within action.

On June 22, 2023, I electronically served the attached **Order on Defendants Uber Technologies, Inc. and Rasier, LLC's Demurrer to Plaintiffs' Master Long-Form Complaint**. via File & ServeXpress on the recipients designated on the Transaction Receipt located on the File & ServeXpress website.

Dated: June 22, 2023

Brandon E. Riley, Clerk of the Court

By:



R. Michael Diles, Deputy Clerk

Exhibit 5

	Incident State	Plaintiff	Current Case Number	U.S. District Court Where Complaint was Originally Filed	Date of Alleged Incident	Consented to TOU Containing COL Provision¹
1	NY	A.H.M.	3:23-cv-03482	N.D. Cal.	11/22/2018	No
2	NY	R.T.	3:23-cv-04301	N.D. Cal.	11/6/2019	Yes
3	NY	Jane Doe LS 231	3:23-cv-04367	N.D. Cal.	July 2019	No
4	NY	Jane Doe LS 155	3:23-cv-04374	N.D. Cal.	2/1/2018	No
5	NY	A.I.	3:23-cv-04740	N.D. Cal.	9/17/2020	Yes
6	NY	E.E.	3:23-cv-04744	N.D. Cal.	9/30/2018	Yes
7	NY	Jane Doe LS 66	3:23-cv-05414	N.D. Cal.	1/22/2020	No
8	NY	L.E.	3:23-cv-05567	N.D. Cal.	8/23/2023	No
9	NY	Jane Doe LS 302	3:23-cv-05577	N.D. Cal.	November 2021	No
10	NY	S.E.	3:23-cv-05869	N.D. Cal.	1/14/2022	Yes
11	NY	Millicent Moore	3:24-cv-00482	N.D. Cal.	2/9/2014	No
12	NY	Maria Gacovino	3:24-cv-00501	E.D.N.Y. ²	6/15/2019	Yes
13	NY	Amber Moye	3:24-cv-00695	E.D.N.Y. ³	12/30/2018	No
14	NY	M.C.	3:24-cv-01347	N.D. Cal.	3/27/2021	Yes
15	NY	B.C.	3:24-cv-01917	N.D. Cal.	11/25/2022	No
16	NY	S.M.	3:24-cv-01920	N.D. Cal.	5/29/2023	No
17	TX	A.G.	3:23-cv-02071	N.D. Cal.	8/1/2018	No
18	TX	S.D.	3:23-cv-03852	N.D. Cal.	11/7/2021	Yes

¹ See Declaration of Peter Sauerwein in Support of Uber's Motion to Dismiss Ex. A.² Complaint was originally filed in the Supreme Court of the State of New York and subsequently removed to the United States District Court for the Eastern District of New York³ Complaint was originally filed in the Supreme Court of the State of New York and subsequently removed to the United States District Court for the Eastern District of New York

Exhibit 5

	Incident State	Plaintiff	Current Case Number	U.S. District Court Where Complaint was Originally Filed	Date of Alleged Incident	Consented to TOU Containing COL Provision¹
19	TX	Jane Doe LS 293	3:23-cv-04364	N.D. Cal.	10/17/2021	No
20	TX	Aundreya Rollo	3:23-cv-05105	S.D. Tex.	4/6/2021	Yes
21	TX	Jane Doe LS 35	3:23-cv-05233	N.D. Cal.	11/17/2017	No
22	TX	Jane Doe LS 253	3:23-cv-05236	N.D. Cal.	5/12/2018	No
23	TX	Jane Doe LS 241	3:23-cv-05413	N.D. Cal.	8/14/2020	No
24	TX	Katie Espinosa	3:23-cv-05417	N.D. Tex.	8/6/2021	Yes
25	TX	A.M. ⁴	3:23-cv-05807	N.D. Cal.	10/8/2021	Yes
26	TX	Jane Doe LS 185	3:23-cv-05922	N.D. Cal.	3/26/2020	No
27	TX	Bronwyn Mouton	3:23-cv-06429	N.D. Cal.	9/25/2017	Yes
28	TX	Courtney Wilson	3:24-cv-00203	N.D. Cal.	6/3/2023	Yes
29	TX	Angela Springs	3:24-cv-00535	N.D. Cal.	5/7/2023	Yes
30	TX	P.K.	3:24-cv-00572	N.D. Cal.	8/15/2019	Yes
31	TX	K.D.	3:24-cv-01006	N.D. Cal.	10/13/2023	Yes
32	TX	N.A.	3:24-cv-01042	N.D. Cal.	2/24/2022	Yes
33	TX	Damien Milhouse	3:24-cv-01201	N.D. Cal.	3/25/2022	Yes
34	FL	Jane Doe LS 237	3:23-cv-03973	N.D. Cal.	10/2/2019	Yes
35	FL	E.C.	3:23-cv-04299	N.D. Cal.	3/14/2019	No
36	FL	A.P.	3:23-cv-04308	N.D. Cal.	11/11/2020	No
37	FL	Jane Doe LS 249	3:23-cv-04369	N.D. Cal.	1/27/2017	Yes
38	FL	Jane Doe LS 203	3:23-cv-04371	N.D. Cal.	3/11/2018	Yes
39	FL	Jane Doe LS 164	3:23-cv-04373	N.D. Cal.	1/12/2018	Yes

⁴ Plaintiff A.M., case number 3:24-cv-01732, appears to be the same plaintiff with the same factual allegations as Jane Roe CL 10, 3:23-cv-06149.

Exhibit 5

	Incident State	Plaintiff	Current Case Number	U.S. District Court Where Complaint was Originally Filed	Date of Alleged Incident	Consented to TOU Containing COL Provision¹
40	FL	Jane Doe LS 251	3:23-cv-05187	N.D. Cal.	3/25/2021	No
41	FL	Jane Doe LS 384	3:23-cv-05292	N.D. Cal.	2/9/2022	Yes
42	FL	Jane Doe LS 76	3:23-cv-05319	N.D. Cal.	2/8/2018	No
43	FL	Jane Doe LS 264	3:23-cv-05322	N.D. Cal.	5/23/2020	Yes
44	FL	Jane Doe LS 365	3:23-cv-05328	N.D. Cal.	7/13/2020	No
45	FL	Jane Doe LS 388	3:23-cv-05346	N.D. Cal.	June 2016	No
46	FL	Jane Doe LS 244	3:23-cv-05372	N.D. Cal.	12/17/2020	Yes
47	FL	Jane Doe LS 265	3:23-cv-05377	N.D. Cal.	4/4/2021	Yes
48	FL	Jane Doe LS 98	3:23-cv-05412	N.D. Cal.	3/4/2016	No
49	FL	X.Y.	3:23-cv-05679	N.D. Cal.	2/14/2022	No
50	FL	C.W.	3:23-cv-05795	N.D. Cal.	4/18/2018	No
51	FL	H.K.	3:23-cv-05812	N.D. Cal.	12/23/2021	Yes
52	FL	Jane Doe EB 2	3:23-cv-05870	N.D. Cal.	12/4/2022	Yes
53	FL	F.W.	3:23-cv-05898	N.D. Cal.	7/1/2023	Yes
54	FL	Jane Doe LS 245	3:23-cv-05944	N.D. Cal.	1/8/2021	No
55	FL	Jane Doe LS 308	3:23-cv-05945	N.D. Cal.	11/27/2020	No
56	FL	Jane Doe LS 273	3:23-cv-05946	N.D. Cal.	8/1/2018	No
57	FL	H.B.	3:23-cv-05949	N.D. Cal.	10/13/2023	Yes
58	FL	Jane Roe CL 7	3:23-cv-06149	N.D. Cal.	12/3/2019	Yes
59	FL	N.A.	3:24-cv-01520	N.D. Cal.	10/22/2022	No
60	FL	M.Y.	3:24-cv-01821	N.D. Cal.	8/5/2021	No
61	FL	A.M.	3:24-cv-01829	N.D. Cal.	1/7/2024	No

Exhibit 5

	Incident State	Plaintiff	Current Case Number	U.S. District Court Where Complaint was Originally Filed	Date of Alleged Incident	Consented to TOU Containing COL Provision¹
62	FL	Brandon "Selena" Ortego	3:24-cv-01914	N.D. Cal.	10/27/2023	No
63	IL	Katherine Hylin	3:23-cv-01630	N.D. Cal.	3/9/2022	Yes
64	IL	Jane Doe LS 75	3:23-cv-03805	N.D. Cal.	8/12/2017	Yes
65	IL	Jane Doe LS 134	3:23-cv-03811	N.D. Cal.	2/1/2019	No
66	IL	Jane Doe LS 250	3:23-cv-03995	N.D. Cal.	11/24/2020	Yes
67	IL	R.M.	3:23-cv-04302	N.D. Cal.	8/13/2020	Yes
68	IL	J.C.	3:23-cv-04723	N.D. Cal.	1/30/2023	No
69	IL	Heather Worzalla	3:23-cv-04731	N.D. Cal.	9/13/2022	Yes
70	IL	Jane Roe CL 1	3:23-cv-04772	N.D. Cal.	9/18/2021	Yes
71	IL	C.S.	3:23-cv-05115	N.D. Ill.	7/8/2018	Yes
72	IL	Jane Doe LS 346	3:23-cv-05193	N.D. Cal.	2/8/2019	No
73	IL	Elunda Murphy	3:23-cv-05224	N.D. Ill.	3/23/2018	No
74	IL	Jane Doe LS 200	3:23-cv-05387	N.D. Cal.	March 2020	No
75	IL	Jane Doe LS 353	3:23-cv-05401	N.D. Cal.	12/7/2021	No
76	IL	Jane Doe LS 311	3:23-cv-05406	N.D. Cal.	12/11/2020	No
77	IL	Jillian Sullivan	3:23-cv-05418	N.D. Ill.	12/29/2017	Yes
78	IL	Jane Doe LS 103	3:23-cv-05442	N.D. Cal.	6/7/2018	No
79	IL	Marquita Harris	3:23-cv-05526	N.D. Cal.	3/31/2023	Yes
80	IL	Jane Roe CL 5	3:23-cv-05647	N.D. Cal.	11/18/2021	No
81	IL	Jane Roe CL 6	3:23-cv-05647	N.D. Cal.	2/15/2022	Yes
82	IL	J.B.	3:23-cv-05876	N.D. Cal.	3/26/2022	Yes
83	IL	L.M.	3:23-cv-05928	N.D. Ill.	9/2/2022	Yes

Exhibit 5

	Incident State	Plaintiff	Current Case Number	U.S. District Court Where Complaint was Originally Filed	Date of Alleged Incident	Consented to TOU Containing COL Provision¹
84	IL	Brianna Craig	3:23-cv-05932	N.D. Cal.	7/22/2023	Yes
85	IL	Cecilia Cazares	3:23-cv-06190	N.D. Cal.	12/31/2022	Yes
86	IL	A.P.	3:23-cv-06357	N.D. Cal.	12/12/2021	No
87	IL	T.H.	3:23-cv-06533	N.D. Ill.	5/11/2023	No
88	IL	C.O.	3:24-cv-00136	N.D. Cal.	8/14/2022	Yes
89	CA	Jane Doe LSA 340	3:23-cv-01165	N.D. Cal.	7/31/2019	N/A
90	CA	H.D.	3:23-cv-04617	N.D. Cal.	5/17/2021	N/A
91	CA	M.F.A.	3:23-cv-05560	C.D. Cal.	6/28/2023	N/A
92	CA	Mildred Hunter	3:23-cv-05622	N.D. Cal.	5/23/2017	N/A
93	CA	T.R.	3:23-cv-05625	N.D. Cal.	10/6/2022	N/A
94	CA	J.B.	3:23-cv-06692	N.D. Cal.	10/1/2017	N/A
95	CA	T.M.	3:23-cv-06705	N.D. Cal.	4/1/2018	N/A